

**MODIFICATION OF THE GANGLIOSIDE GM1 TO FACILITATE  
IMAGING AND FUNCTIONAL STUDIES**

A dissertation

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## Abstract

Since 1997, the lipid rafts model has been proposed to describe the structure and functions of cell membranes. Many efforts have been made to visualize the lipid rafts in living cells using various techniques, and revealed the lipid rafts have small size (20-200 nm) and short lifetime ( $<1$  s). Nonetheless, the direct evidence remains lacking due to limitation of available techniques. We have designed a new detecting system using fluorinated ganglioside GM1 to probe lipid rafts by nanoSIMS imaging.

We have synthesized a series of fluorinated ganglioside GM1 analogues (F-GM1s) by modification of natural GM1 that derived from bovine brain tissues using fluorinated fatty acid substitution on the lipid tails. (Chapter 2) Mono- and tri-fluorinated GM1 analogues have been obtained with fluorine atoms at different positions of the lipid tail. Higher order fluorination ( $-C_6F_{13}$ ) of GM1 has also been accomplished either on the fatty acid chain or both the fatty acid ( $C_6F_{13}$ -GM1) and the sphingosine chains (Di- $C_6F_{13}$ -GM1). This is the first report of modification of the sphingosine chain on ganglioside analogues.

We have evaluated the biophysical properties of F-GM1s using multiple techniques, including FACS, AFM and calcium signaling assay. (Chapter 3) As a result, the terminal mono-fluorinated version (18-F-GM1) exhibited the highest similarity to the native GM1 and could be potentially used as a probe to study its behaviors in membranes. The mono-fluorinated GM1 analogues have been employed to study phase behaviors in a quaternary mixture of supported lipid bilayers using SIMS imaging. By isotopic labeling, domains rich in F-GM1 and cholesterol have been observed. Additionally, 18-F-GM1

formed larger domains than 12-F-GM1 in bilayers, which was attributed to their different phase transition temperature revealed by a DSC assay.

We have designed and synthesized a NBD labeled GM1 analogue to study its endocytic pathway in cells. The GM1 analogue was incorporated in HeLa cells and analyzed by confocal fluorescence microscopy. The fluorescently labeled GM1 was found accumulated in lysosomes, Golgi, and ER, thus provided a potential method for drug delivery and functional studies.

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## *Chapter 1*

### *Introduction*

Plasma membranes that enclose the mammalian cells insulate and protect them from the environment. The membranes consist of lipids, proteins, sugars and other ancillary molecules. Apart from the diversity of proteins and sugars, lipodomics has recently revealed an unprecedented variety of membrane lipids, such as phospholipids, sphingolipids, glycolipids, and steroids.<sup>1,2</sup> Cells interact with each other, and selectively exchange substances with their environment mainly through the plasma membrane. Membrane proteins and lipids are involved in a variety of cell-cell interactions and signaling processes, bacteria and viruses usually attach and infect host cells by recognizing cell receptors on the plasma membrane.<sup>3</sup> Understanding the structure and functions of cell membranes will help us to control and adjust these biological processes. For many years, scientists have employed biochemical and biophysical techniques to study the properties and behavior of cell membranes. The mostly used techniques are atomic force microscopy (AFM)<sup>4,5</sup>, fluorescence resonance energy transfer (FRET)<sup>6</sup>, imaging mass spectrometry (IMS)<sup>7</sup>, stochastic optical reconstruction microscopy (STORM)<sup>8</sup>, and stimulated Emission Depletion (STED)<sup>9,10</sup>. These experimental techniques have revealed the lateral heterogeneity of lipid bilayers, an important discovery of cell membranes, which shows some lipid molecules can form domains in the membrane.<sup>11</sup> This property is believed to dominate cell-cell recognition and signal transduction and play an important role in a variety of biological processes and viral infection. The goal of the work in this thesis is to provide a new detecting system to investigate the structure and functions of cell membranes.

## 1.1 Lipid rafts in cell membranes

Since the discovery of the cell membrane as a physical structure by Plowe<sup>12</sup> in 1931, Numerous techniques have been developed to study it and several models have been proposed to describe the nature of cell membranes. Besides the lipid-protein model<sup>13</sup> and fluid mosaic model<sup>14</sup>, the most recent and widely accepted model is the lipid rafts model<sup>15</sup>. Nonetheless, the hypothesis remains controversial,<sup>16</sup> mainly due to the limitation of current available detecting techniques.

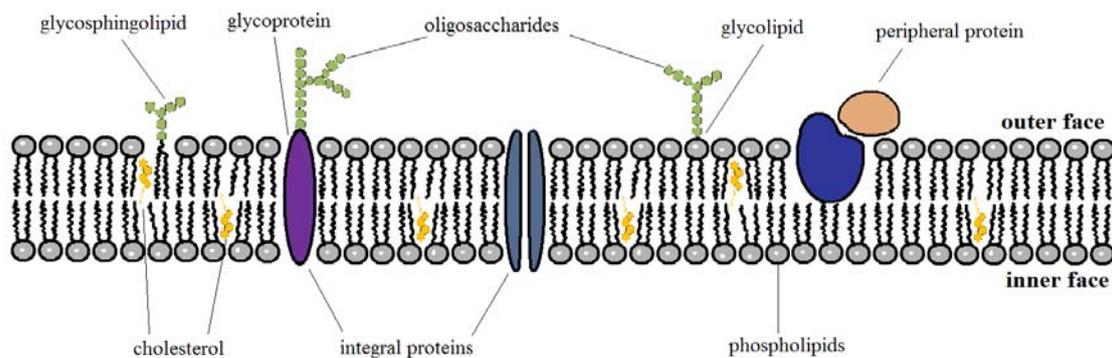


Fig. 1.1 Schematic representatives of plasma membranes in a cut view.

### 1.1.1 Lipid-protein sandwich model

In 1935, Danielli and Davson<sup>13</sup> proposed the first model of cell membranes involving lipid bilayers and proteins in the architecture. (Fig 1.2) In this model, proteins are adsorbed on both surfaces of lipid bilayers. The lipid molecules in the membrane, usually phospholipids, are amphiphilic and point their hydrophilic head groups towards the aqueous phase. Since proteins were thought to be hydrophobic at the inner core and hydrophilic at the outer part, they attach onto the hydrophilic surface of lipid bilayers.

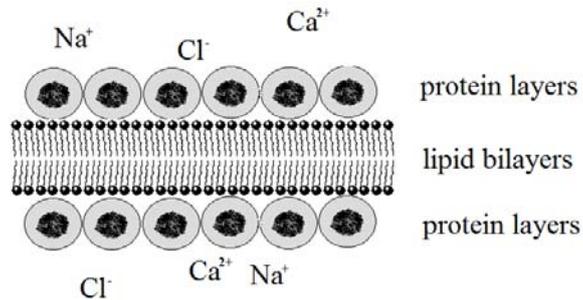


Fig 1.2 The lipid-protein sandwich model of cell membranes by Danielli and Davson<sup>13</sup>.

The lipid bilayers and proteins form a sandwich structure to fulfill the observed cell membrane functions: charged solutes, such as ions, can penetrate the membrane through water soluble part of proteins but not through the lipid membrane that contains bivalent cations, such as calcium ions. Hydrophobic molecules can penetrate the membrane through the lipophilic lipid bilayer region. Danielli and Davson's model explained the permeability based on the physio-chemical properties of hydrophilic and lipophilic regions of the membranes. This model predicted the participation of proteins in mass transport through the cell membranes. However, it could not describe the detailed structure due to the limited available experimental technologies. After nearly half a century, the "protein layers" was shown to be ion channel proteins embedded in plasma membranes that selectively transport ions and other solutes across the membrane.

### 1.1.2 Fluid mosaic model

In the 1960s, biological membranes from mammalian cells were extensively studied to understand their structure and functions. At that time, techniques for protein investigation have already been developed, such as X-ray crystallography and other physical methods.<sup>17-19</sup> Proteins have been visualized in plasma membranes as isolated dots by labeled antibodies using electron microscopy.<sup>20</sup> Based on experimental observations,

Singer and Nicolson<sup>14</sup> proposed a two-dimensional fluid mosaic model for mammalian cell membranes. In this model, the cell membrane is “an oriented, two-dimensional, viscous solution of amphipathic proteins and lipids in instantaneous thermodynamic equilibrium”. (Fig 1.3) Phospholipids form lipid bilayers by the lipid tails facing each other and ionic phosphate groups towards aqueous solutions. Integral proteins, such as cytochrome c on mitochondrial membranes, are embedded in the lipid bilayers. Peripheral proteins are loosely attached to the bilayers and can easily dissociate from the membrane. The proteins interact with each other and the neighboring lipid molecules surrounding to form mosaic structures interspersed in the membrane. The mosaic structure has no long-range order at tens of micrometer scale or higher, but the short-range order that can form aggregates by protein-protein or protein-lipid interactions was not ruled out.

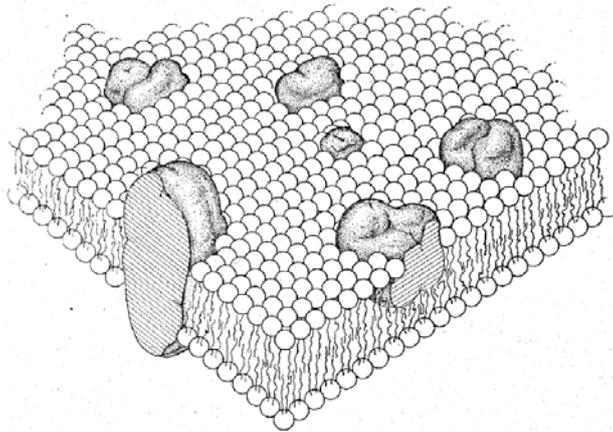


Fig 1.3 The fluid mosaic model by Singer. From reference 14. Reprinted with permission from AAAS.

The fluid mosaic model has successfully explained experimental observation on a certain level. For example, it predicted the mosaic membrane structure is in a fluid state

and the integral proteins can freely float in the plane of membrane to lead to a random distribution. Indeed, the homogenous distribution of proteins in membranes was observed by Frye and Edidin's experiment<sup>21</sup>, in which human and mouse cells in culture were fused with each other, and their antigen proteins were found to be distributed evenly in both cells. In addition, the membrane was postulated to be asymmetric, in which the outer and inner surfaces were not identical, due to the asymmetric distribution of oligosaccharides on the two surfaces. Several lectin binding experiments<sup>22,23</sup> supported this prediction, in which lectins were observed to bind to the outer surface but not the inner surface of plasma membranes. In most recent years, the oligosaccharides on the outer surface have been confirmed to be the glycocalyx<sup>24</sup> and other glycoproteins and glycolipids.

The fluid mosaic model had been accepted for decades. It successfully predicted protein-protein and protein-lipid interactions and their biophysical properties within the membranes. However, the homogeneity of lipid bilayers was soon challenged by the observation that some proteins preferentially resided in a certain area of plasma membranes. Further studies of this phenomenon lead to the most recent lipid rafts model.

### **1.1.3 The lipid rafts model**

Not long after the postulation of fluid mosaic model, biologists observed cell membrane behaviors that it could not explain. Many membrane proteins and lipids showed much smaller diffusion coefficients in cell membranes than in artificial membranes, in spite of their same phospholipids compositions (the fluid mosaic model proposed cell membranes were formed by phospholipid bilayers), suggesting the existence of more complicated interactions in cell membranes.<sup>25-27</sup> In addition, GPI-anchored protein receptors labeled

with gold exhibited clustering and temporary immobilization, indicating its higher affinity to a certain area in the membrane. Furthermore, the movement of membrane proteins and lipids in the plasma membrane was found not to be a simple Brownian diffusion in two dimensions. Thus, the homogeneity of cell membranes by the fluid mosaic model has been challenged and several models have been proposed to explain these observations. Of these emerging models, the lipid raft model is the most widely accepted one.

The concept of lipid rafts originated from the discovery of differential transport of lipids to the membrane of epithelial cells. In epithelial cells, the apical membranes are rich in glycosphingolipids and the basolateral membranes are rich in glycerolipids. Simons and coworkers have found that in epithelial Madin-Darby canine kidney (MDCK) cells, the newly synthesized glucosylceramide in the Golgi complex was preferentially delivered to apical membranes, whereas the glycerolipid phosphatidylcholine was delivered to the basolateral membranes, suggesting lipids could not pass the tight junction between apical and basolateral membranes in either direction.<sup>28,29</sup> In addition, the newly synthesized surface glycoproteins in epithelial cells are also differentially transported to apical and basolateral membranes. The sorting of these glycoproteins appeared to be at the *trans*-Golgi network, the same region where lipid sorting takes place.<sup>30</sup> Therefore, it was proposed that: (1) the homogeneity of cell membranes was not true; (2) the transport of lipids and glycoproteins were carried out by the same vesicles from the Golgi complex to either apical or basolateral membranes; (3) the lipid sorting was related to the protein sorting.

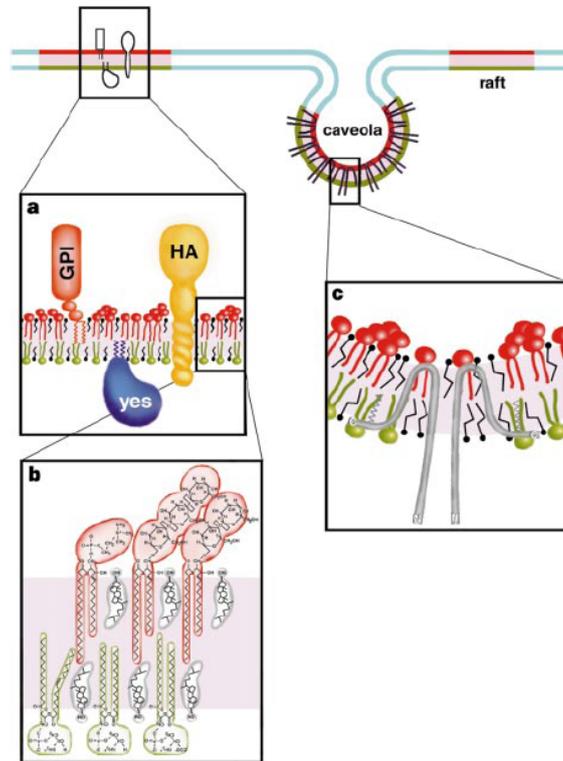


Fig.1.4 The model of lipid rafts and caveolae in the plasma membrane by Simons. Reprinted by permission from Macmillan Publishers Ltd: NATURE (reference 15), copyright (1997)

In the 1970's, It was observed that glycosphingolipids, sphingomyelin, and cholesterol were not soluble in the non-ionic detergent Triton X-100, and could be isolated from other cell membrane components by a Triton wash.<sup>31,32</sup> The insoluble residue was therefore called detergent-resistant materials (DRM). The detergent-insoluble lipids were studied in model membranes, which showed that DRM form liquid ordered phases and detergent-soluble lipids form liquid disordered phases at 37 °C,<sup>33,34</sup> suggesting that DRM are phase related and different phases may also exist on cell membranes. Brown<sup>35</sup> used Triton X-100 treatment to exploit the DRM in MDCK cells, and found that GPI-anchored proteins were not extracted with detergent (residing in DRM instead of in the detergent formed micelles), whereas basolateral marker proteins were soluble in the detergent. This observation supported Simons' hypothesis that

membrane proteins were sorted by the same processes as lipid sorting, indicating the potential co-localization of GPI-anchored proteins with sphingolipids and cholesterol in the membranes. Recently, this hypothesis was further supported by cholesterol-depletion studies in plasma membranes. By removing cholesterol in living cells with methyl- $\beta$ -cyclodextrin<sup>36</sup> treatment, the function of some membrane proteins could be disrupted, such as  $\text{Ca}^{2+}$  signaling in T cells<sup>37</sup> and GPI-anchored protein clustering<sup>38</sup>, suggesting the localization of membrane proteins is cholesterol dependent.

In 1997, Simons<sup>15</sup> proposed the lipid raft model, which described glycoproteins residing in sphingolipid rich domains as functional complexes in cell membranes. These domains—lipid rafts—are formed by detergent-resistant lipids and glycoproteins, and are localized on the exoplasmic leaflet (the outer layer; whereas the inner layer is defined as the endoplasmic leaflet) of cell membranes. The raft lipids, as well as glycoproteins, are preferentially delivered to the exoplasmic membrane by the *trans*-Golgi network, and differentiated from phosphatidylcholines that are transported to basolateral membranes. Combined with cholesterol, sphingolipids can form self-aggregates and separate from more fluid phosphatidylcholines, thus providing a region for GPI-anchored proteins to form functional clusters. Lipid rafts are not only involved in sorting of newly produced proteins, but also in endocytic pathways that transport proteins and other materials from the cell surface to inside the cells.

The lipid rafts theory has been extensively studied in model membranes, such as giant unilamellar vesicles (GUV)<sup>39-43</sup> and solid-supported lipid membranes<sup>44-46</sup>, phase separation, and domain formation has been observed in various sizes depending on lipid compositions. However, when the plasma membrane was studied in living cells, lipid

rafts are generally much smaller in size with shorter lifetimes than in model membranes. In the last decade, many efforts have been made to detect the lipid rafts on living cells (see details in Section 1.2), yet the direct evidence is still lacking.

#### **1.1.4 Other cell membrane models**

The existence of microdomains in cell membranes has been widely accepted, but how such domains are formed is still under debate.<sup>47</sup> Apart from the lipid rafts model, several other models have been proposed to explain the microdomain formation, mainly based on protein-protein and/or protein-lipid interactions. Some models disagree with the lipid rafts model; some can be viewed as the supplementary of the lipid rafts model.

*The protein-protein interaction model*<sup>48</sup> proposed that membrane domains are created by the interactions among membrane proteins, without assistance of membrane lipids, called the protein-protein interaction model. This model was raised based on the following observations. Membrane protein receptors on T cells can cluster and self-aggregate without actin participation. The clustered membrane proteins can form a network in plasma membranes to trap signaling complexes, such as CD2/ Lck/ LAT. However, mutation of proteins that interfered with protein binding perturbed the clustering, but membrane anchoring mutated proteins did not,<sup>48</sup> indicating that sphingolipids were not associated with the clustered protein networks. It was thus proposed that the membrane domains were created by protein-protein interactions rather than lipid rafts or actin cytoskeletons.

*The protein corralling model* proposed that the cytoskeleton form networks as a barrier to diffusion of membrane proteins and lipids. The long-range restriction of the

membrane diffusion by the cytoskeleton has been well documented.<sup>49</sup> Participation of the cytoskeleton in membrane domain formation was proposed by providing a track for the motion of membrane proteins. In some cases, disruption of the cytoskeleton perturbed the lateral movement of membrane proteins and lipids. Therefore, it was proposed that the signaling protein receptors were constrained in the corral regions to form signaling complexes in domain area. Recently, it was revealed that the aggregation of the GPI-anchored protein, CD59, was dependent on cholesterol, the actin skeleton network, and Gαi2-dependent Src-family kinase activation.<sup>50</sup> Another example was that the cell adhesion process, which required cell surface protein recognition, was also found to require participation of the cytoskeleton network.<sup>51</sup>

*The lipid shell model* proposes that membrane proteins form thermodynamically stable complexes with sphingolipids and cholesterol (lipid shells) surrounding them.<sup>52</sup> The protein-lipid shell complexes are laterally mobile and can wander between sphingolipid-cholesterol lipid rafts in the membrane, depending on the affinity of the sphingolipid self-association. The shell structure is formed by lipid-lipid, glycan-lipid, and protein-lipid interactions. The lipid shells can also associate with caveolae in the membrane. Protein-lipid shell complexes move along the membrane and stay in lipid rafts and/or caveolae, but require no lipid phase generation to enter and exit the raft structures. A GPI-anchored protein, Thy-1, has been used as an example of lipid shell model. Thy-1 (25 kDa) was found associated with 80 molecules of sphingolipid and cholesterol, forming a lipid shell of ~7 nm in thickness. The lipid shell model can be viewed as a supplementary part of the lipid rafts model, by suggesting detailed membrane domain structures and interactions between proteins and lipid rafts. Recently, the

transient clustering of Thy-1 proteins was found to be mediated by a transmembrane protein CBP, indicating the potential interactions between the protein-lipid shell complexes and other proteins in cell membranes.<sup>53,54</sup>

## **1.2 Visualization of lipid rafts**

The discovery of membrane microdomains has attracted many scientific efforts, but its study soon met the bottleneck of current techniques to visualize lipid rafts on cell membranes.<sup>55</sup> Traditional light microscopy and electron microscopy are limited by their resolutions and require chemical modifications that may interfere with functions of membrane components. A variety of new techniques have been developed and applied to cell membrane studies in the last two decades. However, the lipid raft theory remains controversial because of the lack of unambiguous experimental evidence.<sup>47,56</sup>

### **1.2.1 Current technologies to study lipid rafts**

The investigation of lipid rafts has advanced dramatically during the last twenty years based on the development of techniques and instruments. Since the 1960s, cell membranes have been studied extensively by electron microscopy at nanometer scale.<sup>57,58</sup> The requirement of high vacuum and modification of samples with heavy metals hampered the use of electron microscopy to investigate living cell membranes rafts. During the 1990s, the discovery of detergent-resistant material in cell membranes revived the clustering hypothesis once more. Various biophysical and biochemical methods have been applied to investigate lipid rafts. Nonpolar detergent extraction at 4 °C combined with cholesterol extraction has been used to figure out membrane protein association and interactions with lipid rafts.<sup>59,60</sup> The solubility of a protein in a detergent resistant

membrane after cholesterol extraction indicates its potential association with lipid rafts. In other words, if a protein becomes soluble after a methyl- $\beta$ -cyclodextrin wash, which can remove cholesterol from membranes, it will be residing in lipid rafts.<sup>61</sup> Labeled antibodies have been used widely to visualize the pattern of protein receptors and sphingolipids in cell membranes.<sup>62,63</sup> However, the indirect observation of interaction between protein receptors and lipid rafts is complicated by use of antibody probes, considering their relatively large size compared to lipid molecules.<sup>56,64</sup> During the last ten years, new techniques have been used to study lipid rafts in artificial and living cell membranes.

*Atomic force microscopy* (AFM) is used to scan surfaces of non-conducting samples with high resolution by using a cantilever that is equipped with a nanometer scale tip and can apply force onto the sample surface.<sup>65</sup> The position and angle of the cantilever is governed by a laser beam system on the back surface of the cantilever. By detecting the deflected laser during the scanning processes, AFM reveals the surface properties of the sample. AFM can be done in contact mode, tapping mode or phase imaging mode. Compared to its forerunner STM, the major advantage of AFM is the samples can be scanned in aqueous media with lateral resolution at the nanometer scale, and the height resolution of 0.1 nm. Soon after its invention in 1986<sup>66</sup>, AFM has been widely used to study biomembranes, such as membrane phase separation, domain nucleation and growth.<sup>67,68</sup> Distribution of ganglioside GM1 in model membrane bilayers has been studied by AFM, and revealed that the formation of GM1-cholesterol rich domains of 40-100 nm in diameter.<sup>69,70</sup> The ganglioside GM1 was also found to induce oligomerization and aggregation of amyloid beta peptide (A $\beta$ ), which accumulates in the

brain during Alzheimer's disease.<sup>71</sup> By modification of the tip on cantilevers with peptide and other types of ligands, AFM has been used to investigate protein-ligand interactions.<sup>72-75</sup> Binding affinity were inferred by the atomic force applied onto the cantilever to disassociate ligands from their protein receptors. AFM has also been used to study ligand-receptor interactions directly on bacteria or cell membranes.<sup>76</sup>

Fluorescence microscopy has been used for decades in cell membrane phase separation studies. Various modified markers, such as fluorescently labeled ganglioside GM1, and cross linked GPI-anchored proteins, have been used to label the lipid rafts in cell membranes. These methods are suitable for dynamics and single-molecule investigations in both artificial and living cell membranes.<sup>43,77-79</sup> However, the resolution of fluorescence microscopy was limited by inevitable background due to light diffracting and scattering and only achieved 0.1  $\mu\text{m}$ . In the last two decades, new light microscopy techniques have been developed and applied to study lipid rafts, and to image membrane molecules at high resolution, and in three dimensions.

*Fluorescence resonance energy transfer (FRET)* studies revealed localization of lipid modified proteins in membrane microdomains in living cells.<sup>80-84</sup> High resolution FRET has shown co-localization of GPI-anchored proteins and ganglioside GM1 within 10 nm range. Using high-spatial and temporal resolution FRET microscopy, Goswami and others have observed stable clustering of GPI-anchored proteins on the nanometer scale in CHO cell membranes, which could be perturbed by depletion of cholesterol.<sup>38</sup> Many other protein-protein interactions in mammalian cell membranes have been investigated by FRET microscopy using fluorescently labeled proteins, such as cholera toxin<sup>85</sup>, lipid anchored GFP<sup>86</sup>, and fibroblast growth factor receptor<sup>87</sup>. Single-molecule

tracking techniques<sup>49</sup>, such as immunogold labeling<sup>88,89</sup>, single fluorophore video imaging<sup>90</sup>, have also been applied to investigate protein distributions on cell membranes by FRET.

*Fluorescence correlation spectroscopy (FCS)* measures the fluorescence fluctuations of fluorescent active molecules or particles in a well defined area, thus provides with information about the molecules or particles such as average concentration, and diffusion rate (mobility). Dual color labeled *Fluorescence cross-correlation spectroscopy (FCCS)* has been used in biochemical reactions to study reaction rates, kinetics, and other interactions between two fluorescently labeled molecules. FCS and FCCS open a window to detect interactions between molecules in the 10 to 200 nm range, and have been used to study lipid rafts.<sup>91</sup> Heterogeneity of DPPC/DLPC/cholesterol ternary lipid membrane was observed by dual-color FCCS on giant unilamellar vesicles (GUVs). Their phase separation was found to be dependent on the ratio of ternary lipid mixture.<sup>92</sup> Burns<sup>93</sup> studied the mobility of fluorescently labeled ganglioside GM1 and phospholipid using FCS in the mixture of DPPC and DOPC on solid supported lipid bilayers. Fluorescently labeled GM1 showed significantly different mobility in the gel and disordered liquid phases, whereas the fluorescently labeled phospholipid showed unexpected mobility in the gel phase. The author attributed the unexpected mobility of phospholipids to the influence by the fluorophore. Furthermore, the relationship between membrane protein partitioning and lipid phase separation has been studied by FCS. For example, Caveolin-1 scaffolding domain peptides showed preferential binding to the liquid disordered ( $l_d$ ) phase in GUVs. Fluorescently labeled tumor necrosis factor (TNF) showed differential distribution in membrane domains by FCS, which was interpreted as

potential aggregation of TNF receptors in lipid rafts.<sup>94</sup> The diffusion, transport and organization of sphingolipid-binding domains of amyloid peptide A $\beta$  has been studied by FCCS on cell membranes, and its behaviors exhibited dependence on cholesterol, indicating A $\beta$  peptide interacting with cholesterol rich domains.<sup>95</sup>

To overcome the limitation of traditional fluorescence microscopy due to light diffraction and obtain high resolution in the nanometer range, in the last ten years, several new techniques have been developed and successfully deployed to visualize in the 10 nm range.<sup>96</sup> These new techniques include STORM and STED.

*Stochastic optical reconstruction microscopy (STORM)* uses photo-switchable probes containing two or more different fluorophores for single molecule detection.<sup>97</sup> Each probe molecule is labeled with one activator and one reporter fluorophore to generate a photo-switchable probe. The laser beam at the activator wavelength can turn on the reporter (the excited state); the laser beam at the reporter wavelength (longer than the activation wavelength) can switch the reporter fluorophore off (the ground state).<sup>98</sup> By switching individual fluorophore on and off, each time only one type of fluorophore can be excited and generate an optically resolvable fluorescence image. This process could be repeated many times until figure out the accurate position of the probe from distribution analysis, which has been achieved the lateral resolution of 20 nm. STORM has been employed to analyze the morphology of synapses in the brain.<sup>99</sup>

*Stimulated Emission Depletion (STED)* uses a focused laser beam to excite a fluorophore, followed by a ring-shaped depletion laser beam surrounding the excitation spot to suppress the light diffraction and bring the surrounding fluorescent molecules to the ground state before they emit light.<sup>100</sup> The depletion process, which was called

stimulated emission, reduces the fluorescence emission area of the fluorophore and increased the accuracy in finding the correct position in the sample.<sup>101</sup> STED has been used in direct observations and fast mapping of lipid molecules in living cell membranes with a lateral resolution of 20 nm on the <1 ms time scale.<sup>102,103</sup>

*Secondary ion mass spectrometry (SIMS)* provides a powerful non-optical tool to study cell membranes. Nano-SIMS and TOF-SIMS, two variants of the SIMS technology, both use a focused primary ion beam to break up molecules on the sample surface, and detect the generated secondary ions containing chemical information of the sample surface. The well defined focusing system enables distinguishing differences in a sample surface in the 100 nm range.<sup>104</sup> Nano-SIMS has been used to study phase separation in isotopic labeled mixture of <sup>13</sup>C<sub>18</sub>-DSPC or <sup>15</sup>N-DLPC on supported lipid bilayers.<sup>104</sup> TOF-SIMS has been used to study living cells and revealed the biological molecular details geographically at the 3-D level.<sup>105</sup>

### **1.2.2 Regulation of lipid rafts in living cells**

The investigation of living cell membranes remains difficult due to its extremely diverse components. In artificial membranes, ordered and disordered lipid phase separation has been observed and studied extensively in supported lipid bilayers and GUVs.<sup>106</sup> The sizes of lipid domains ranged from tens to hundreds of nanometers and the lifetime from minutes to hours. So far, direct observation of lipid rafts *in vivo* has still not been possible by available techniques. Indirect evidence, including recent STED and STORM studies of fluorescently labeled membrane proteins and lipids, indicate that lipid microdomains in living cell membranes are in the range of 20-200 nm in scales area<sup>107</sup> with lifetimes of 10 ms to 10 s, depending on cell type.<sup>54,108,109</sup> Compared to artificial membranes, living

cell membranes have far more diverse compositions and are subject to myriad environments.<sup>110</sup> Lipid molecules in cell membranes are involved in thermodynamic fluctuation equilibrium including the lipid flip-flop motion between two leaflets of the bilayer.<sup>111</sup> In cell membranes, lipid molecules and membrane proteins can form protein-lipid complexes which may influence raft formation, whereas artificial membranes that have shown large domains do not contain proteins except for probes that may disturb the lipid self-assembly.<sup>112,113</sup> In addition, F-actin has been found localized in membrane microdomains<sup>114</sup> and the actin cytoskeleton enhances aggregation of the rafts.<sup>115</sup> Furthermore, Lipid rafts existing in cell membranes are dynamically coupled to intracellular lipid reservoirs or vesicle trafficking processes, such as endocytosis, thus restrict their size and lifetime in the membrane.<sup>116</sup> Therefore, direct investigation of lipid rafts in cell membranes is limited by their small size and short lifetime.

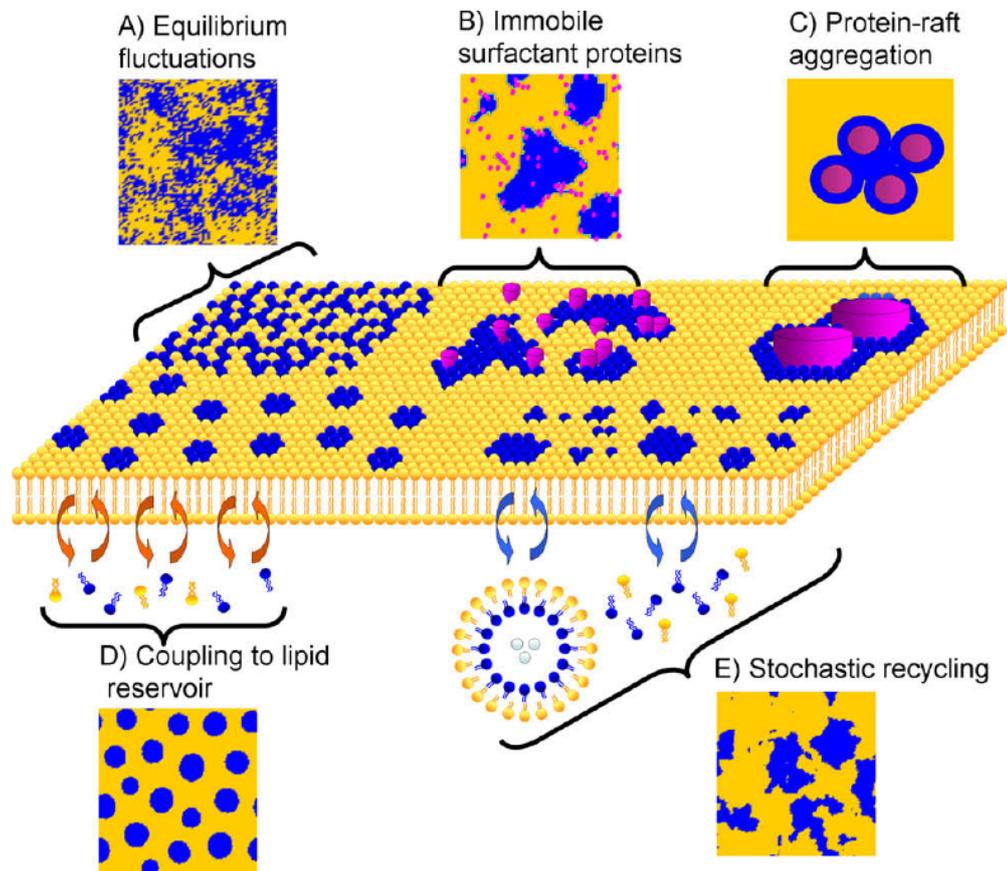


Fig. 1.5 Schematic representative of current model for lipid raft formation: A) thermal equilibrium fluctuation of plasma membrane leads to formation of rafts with small size and short lifetimes; B) immobile surfactant membrane proteins pin compositional lipid interfaces to form finite-sized rafts; C) protein-lipid interaction induces clustering of rafts to form stable rafts; D) the plasma membrane is coupled to internal lipid reservoir to suppress formation of large lipid domains; E) vesicular and non-vesicular lipid recycling results in suppression of macroscopic phase separation and microdomains. Reprinted from reference 110, Copyright (2010), with permission from Elsevier.

### 1.3 Organofluorine compounds

Organofluorine compounds are closely related to both scientific research and our daily life.<sup>117</sup> Elemental fluorine is abundant on earth but organofluorine compounds are scarce in nature. Fluorine chemistry has been developed to synthesize and investigate organofluorine compounds. Fluorine substitution regulates the activity of biologically related molecules, and has been used as an important tool for biological cycle modulation and drug design.<sup>118</sup> Fluorine chemistry has become a broad subject overlapping with material science, environmental science, and biology.<sup>119</sup>

#### 1.3.1 The properties of organofluorine compounds

Table 1.1. Physiochemical properties of CH<sub>2</sub>-X bond.<sup>120</sup>

Element	Electro-negativity	Bond length (CH <sub>2</sub> X, Å)	Van der Waals radius (Å)	Bond energy (kcal/mol)
H	2.1	1.09	1.20	99
F	4.0	1.39	1.35	116
O(OH)	3.5	1.43	1.40	85

Organofluorine compounds are organic molecules containing one or more fluorine atoms. Although the length of C-F bond (1.39 Å) is larger than the C-H bond (1.09 Å), C-F exhibits similar steric demand as C-H.<sup>120</sup> Single substitution of hydrogen with fluorine (CH for CF) has been reported to give very small influences on steric and geometric properties.<sup>121</sup> Monofluorinated substrate analogues usually fit the active sites of enzymes well and retain the biological activities of their native counterparts, for instance, fluoroacetyl-CoA, fluoropyruvate, and β-fluorinated amino acids mimic their hydrocarbon counterparts and showed high affinity to enzymes.<sup>122-125</sup> Double

substitution of hydrogen with fluorine ( $\text{CH}_2$  for  $\text{CF}_2$ ), however, leads to dramatic change of physical properties compared to single substitution. Dasaradhi<sup>126</sup> and co-workers have exploited the fluorinated tristearins on C-12 position, and found that the di-fluorination significantly lowered the m.p. from 72 to 58 °C, whereas the mono-fluorination only changed the m.p. from 72 to 73 °C. The similar fluorination induced m.p. lowering has been observed in di-fluorinated diacylphosphatidylcholines, indicating this is a general phenomenon in organofluorine compounds.<sup>127,128</sup> Crystallographic studies revealed a larger C-CX<sub>2</sub>-C angle than the ideal sp<sup>3</sup> tetrahedral angle (109.5°). For example, the C-CH<sub>2</sub>-P, C-CHF-P, and C-CF<sub>2</sub>-P phosphates exhibit bond angles of 112°, 113°, and 116°, respectively.<sup>129,130</sup> The larger bond angle leads to a distorted structure of the hydrocarbon chain, thus results in a larger molecular free volume and significant conformational disorder. In fact, 12-fluorostearic acid has shown to form as stable a supported monolayer in aqueous solution as stearic acid, whereas the monolayer formed by 12,12-difluorostearic acid is relatively unstable.<sup>126</sup> CF<sub>3</sub> displays more steric requirement than CH<sub>3</sub>, by comparing molar volumes of many corresponding analogues, the size of CF<sub>3</sub> group is found to be similar to an isopropyl group. The van der Waals hemisphere volume of CF<sub>3</sub> was reported by Seebach<sup>131</sup> to be 42.6 Å<sup>3</sup>, and that of CH<sub>3</sub> was 16.8 Å<sup>3</sup>. Fluorine element has the highest electronegativity (3.98) and lowest polarizability ( $0.56 \times 10^{-24}$  cm<sup>3</sup>) in element periodic table. C-F bond (488 kJ/mol) is one of the strongest covalent bonds in chemistry. Therefore organofluorine compounds are usually more stable and more resistant to biological degradations, suggesting their potential application in enzyme inhibition and drug design.<sup>132</sup>

### 1.3.2 The synthetic development and applications of organofluorine compounds

Elemental fluorine ( $F_2$ ) has been known as an extremely toxic gas for more than a century, and there was no available safe fluorination method before 1970s. Not until recently, the development in fluorine chemistry confers a variety of convenient and selective fluorination reagents for organofluorine compound production.<sup>117,133</sup> The nucleophilic reagents *diethylaminosulfer trifluoride* (DAST), *2,2-difluoro-1,3-dimethylimidazolidine* (DFI), are able to convert alcohol to monofluoride, and *bis(2-methoxyethyl)aminosulfur trifluoride* (Deoxofluor) can substitute the oxygen of carbonyl group with  $-CF_2-$  efficiently. The high negativity of fluorine makes common fluoride compounds usually nucleophilic, but recently the safe electrophilic fluorination reagents are also commercially available instead of toxic  $F_2$  gas. These reagents provide “ $F^+$ ” in organic synthesis, such as *1-Chloromethyl-4-fluoro-1,4-diazoniabicyclo[2.2.2]octane bis(tetrafluoroborate)* (Selectfluor) and *N-fluorobenzene-sulfonimide* (NFSI). The trifluoromethyl analogues can be obtained readily using *trimethyl(trifluoromethyl silane* (Ruppert-Prakash reagent) or trifluoroacetamides.<sup>134</sup>

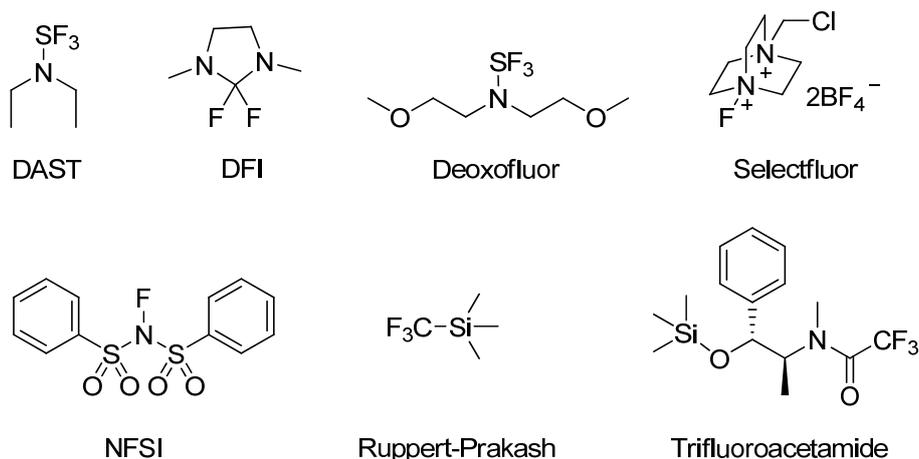


Fig. 1.6 Examples of current fluorination reagents.

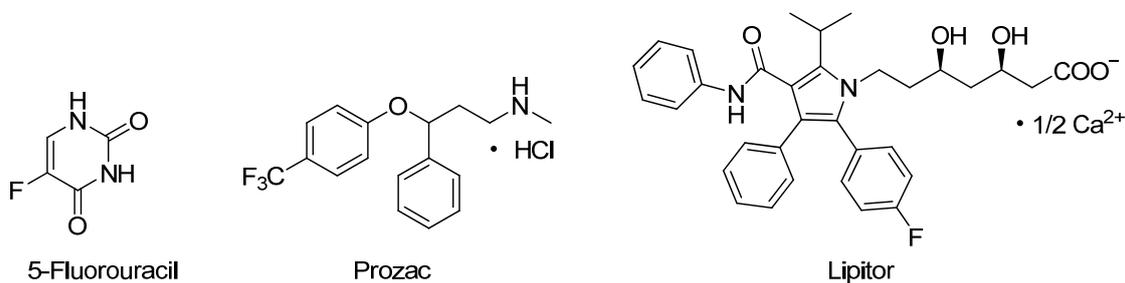


Fig. 1.7 Schematic examples of fluorinated drug molecules.

Organofluorine compounds are an important and diverse subset in drug discovery and therapeutics. Since the first fluorine containing drug 5-fluorouracil was approved in 1957, over 220 fluorinated drugs are now on the market and have taken a significantly increased percentage of total approved drugs annually in the last ten years.<sup>135,136</sup> For example, the anticancer drug 5-fluorouracil blocks DNA and RNA synthesis by inhibiting thymidylate synthase. Haloperidol is a type of long-documented antipsychotic drug, for which the detailed mechanism remains unknown, but so far the effective non-fluorinated analogue has not been found. The best known fluorinated antipsychotic agent fluoxetine (Prozac), as an antidepressant, is a trifluoromethyl modified derivative.<sup>117</sup> Atorvastatin (Lipitor) is able to lower cholesterol level by competitively inhibiting HMG-CoA reductase, which catalyzes the rate limiting step in cholesterol biosynthesis, and is now one of the top-selling pharmaceuticals in the world.

### 1.3.3 The self-assembly of fluorocarbons and fluorous chemistry

The heterogeneous phase behavior of biological membranes has been well documented, and many models have been constructed to mimic the phase separation of biological membranes. Recently, the fluorous phase separation from the hydrocarbon environment

has drawn attention.<sup>137</sup> The immiscibility of fluorocarbons and hydrocarbons leads to the separation of the mixture, and provides a potential method to control the phase separation in biological membranes. Fluorocarbon substituted lipids and polymers have been applied to liposomes, solid support lipid bilayers, and mammalian cells to investigate their properties and behaviors in the membranes.

The fluorocarbon amphiphilic lipids form bilayer membranes, emulsions and vesicles in aqueous solution, which exhibit better stability and less permeability than hydrocarbon membranes.<sup>138,139</sup> The vesicles formed by fluorocarbon analogues are strikingly stable, which could be sterilized by heating, even with only one fatty acid chain perfluorinated.<sup>104</sup> When mixed with hydrocarbon lipids, such as the natural lipid DMPC, the fluorocarbon lipids self-assemble and result in phase separation.<sup>140</sup> Webb and coworkers reported a synthetic fluorocarbon amphiphilic lipid that can cause phase separation from the phospholipid gel phase at 1 mol % concentration.<sup>141,142</sup> Schmidt synthesized a perfluorinated glycolipid that can form membrane domains to modulate cell adhesion and rolling.<sup>143,144</sup>

Recent studies showed that the semifluoro ( $-C_6F_{13}$ ) substituted phospholipid (F6PC) segregated from DPPC and exhibited a 50 nm scale strip-patterned phase separation in solid support bilayers, in spite of their similar structures and close transition temperatures.<sup>145</sup> AFM examination showed that the microdomain structure depended on the composition ratio of fluorocarbon and hydrocarbon phospholipids. Attenuated total reflectance infrared spectroscopy (ATR-IR) revealed the tilt angle difference between DPPC and F6PC<sup>146</sup>, which lead to the height difference between the distinct phases in AFM studies.<sup>132</sup> In mammalian cells, ligand conjugated F6PC lipids were able to insert

into cell membranes, followed by internalization of the conjugates to deliver the ligands into the cells. The higher permeability of F6PC than DPPC conferred more efficient delivery of cargo into the cytoplasm, indicating the potential application of fluorocarbon lipids in drug delivery.<sup>147</sup>

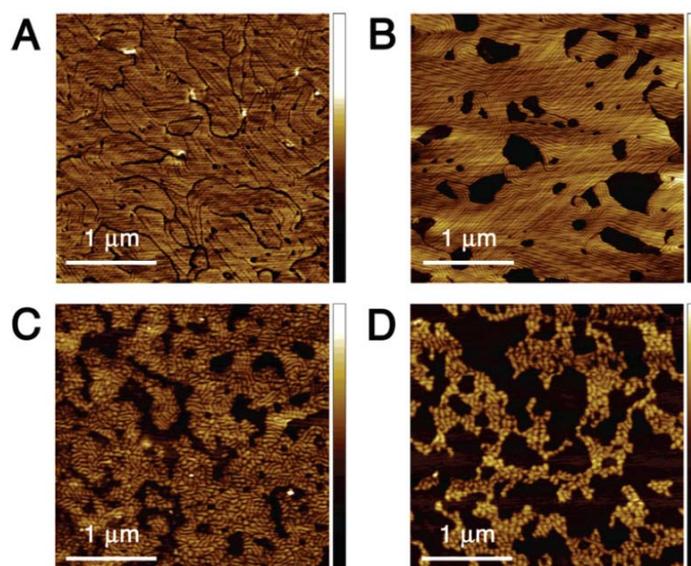


Fig 1.8 AFM images of supported lipid bilayers composed of various DPPC: F6PC ratios: (A) 85:15 (1.1 nm Z scale); (B) 65:35 (2.6 nm Z scale); (C) 45:55 (2.1 nm Z scale); (D) 25:75 (2.2 nm Z scale). F6PC:  $-C_6F_{13}$  substituted phospholipid. Reprinted with permission from reference 145. Copyright (2007) American Chemical Society.

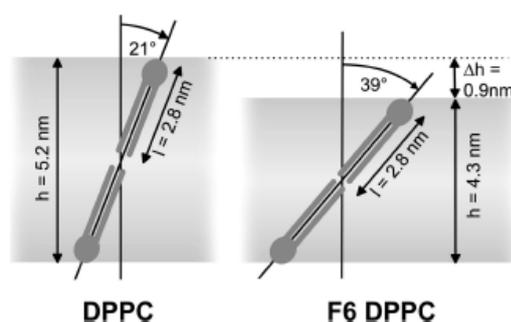


Fig 1.9 Simplified geometric model to relate the bilayer thickness  $h$  of DPPC and F6 DPPC with the apparent tilt angle  $\Theta$ . By assuming the same empirical linear length  $l$  of 2.8 nm for both the DPPC and F6-DPPC lipid molecules, the bilayer thickness  $h$  is calculated in this simplified model as  $2l \cos \Theta$ . F6 DPPC:  $-C_6F_{13}$  substituted phospholipid. Reprinted with permission from reference 139. Copyright (2008) American Chemical Society.

The immiscibility of perfluorocarbon and semifluorocarbon compounds against both aqueous and organic phases forms a distinct “fluorous phase”. The unique property of high degree fluorocarbon leads to a fluorous chemistry and quickly developed in both lab research and industry.<sup>148</sup> In 1994, Horváth<sup>149</sup> reported a convenient method to separate a fluorocarbon modified catalyst from organic reaction mixture using a “fluorous biphasic system”. The fundamental theory of fluorous chemistry has been studied for decades. The hydrophobicity of fluorous compounds has been described by Mukerjee<sup>150</sup>, who found that the perfluorocarbons were even more hydrophobic than hydrocarbons, leading to their immiscibility against water. The solubility of fluorocarbons in organic solvents was described by Scott’s simplified model.<sup>151</sup> In this model, the partial molal free energy of mixing of two non-electrolyte solvents is expressed as the sum of the entropy of mixing and the heat of mixing at temperature T, where  $x_1$  and  $x_2$  are the respective mole fractions,  $v_1$  and  $v_2$  are the molal volumes of each solvent, and  $\phi_1$  and  $\phi_2$  are the volume fractions. In this model, the solubility parameters  $\delta_1$  and  $\delta_2$  are defined as  $\delta = (\Delta E^v/v)^{1/2}$ , where  $\Delta E^v$  is the energy of vaporization of pure solvent and  $v$  is molal volume, and the miscibility of the two nonpolar solvents is determined by  $\delta_1$  and  $\delta_2$ , since the difference between  $\delta_1$  and  $\delta_2$  determines the heat of mixing. If  $\delta_1 = \delta_2$ , the heat of mixing will be zero and two solvent will be miscible at any conditions; if the difference between  $\delta_1$  and  $\delta_2$  is so large that the entropy of mixing ( $RT\ln x$ ) is negligible, the two solvents will be completely immiscible; under conditions in between the two solvents will be partially soluble. Experimental results revealed perfluorocarbons with significantly low  $\delta$  values, conferring their weak interactions with water and all other organic molecules.<sup>152,153</sup>

$$\overline{\Delta F_1} = RT \ln x_1 + v_1(\delta_1 - \delta_2)^2 \phi_2^2 \quad (1a)$$

$$\overline{\Delta F_2} = RT \ln x_2 + v_2(\delta_1 - \delta_2)^2 \phi_1^2 \quad (1b)$$

Nowadays, perfluorocarbon compounds have become an essential part of both industry and our daily life.<sup>154</sup> The most common example is the polytetrafluoroethylene (Teflon), which is used due to its resistance to both water and oil, and stability in various harsh conditions.<sup>136</sup> Perfluorinated alkanes are used as blood substitute as they are great oxygen carriers and metabolically stable in the human body. The fluorocarbon-tag (FC-tag) has been employed in purification in a variety of biological materials, including peptides, oligosaccharides, and oligonucleotides.<sup>155-159</sup> The fluororous phase modified cartridges are commercially available to facilitate isolation of FC-tagged molecules by solid phase extraction.<sup>160,161</sup>

In the following chapters, a series of fluorinated ganglioside GM1 will be synthesized to study lipid rafts in biological membranes. The synthesis of semi-fluorinated GM1 analogue will be exploited to facilitate future investigations of phase separation modulation.

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## *Chapter 2*

### *Isolation, purification and fluorination of ganglioside GM1*

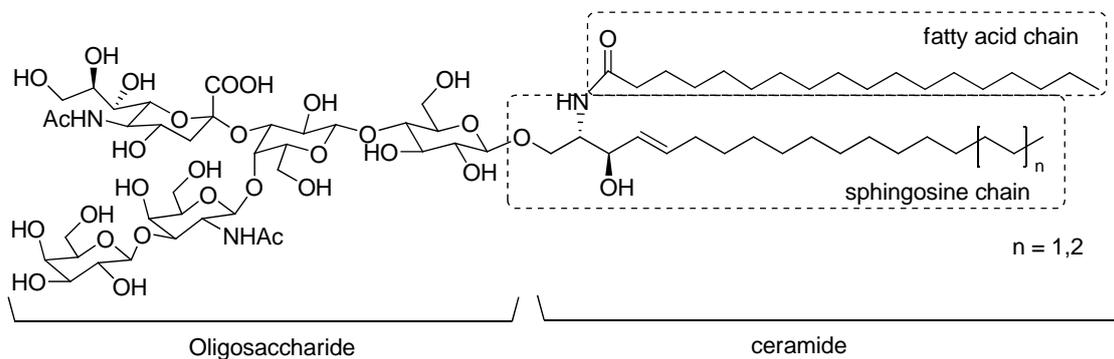
## 2.1 Background and motivation

The lipid rafts theory has become a basic concept of the heterogeneity of mammalian cell membrane, which is crucial in a variety of biological processes involving signal transduction, cellular recognition, and internalized trafficking.<sup>1</sup> However, the investigation of lipid rafts remains indirect mainly because of the inevitable perturbation of probing reporters and limitation of current available technologies.<sup>2-5</sup> Light microscopy plays an important role in cellular moiety studies, such as cell machineries and some proteins, but the resolution is restrained by light diffraction.<sup>6</sup> The most recent STORM and STED provided a new method to break the diffraction barrier, they still require fluorescence labeling though.<sup>6-8</sup> The common fluorescently active probes had premier performance in protein studies but are not suitable for membrane lipid interactions, mainly due to the ineligible steric and electronic effects on lipid molecules, which might interfere with the native affinity and patterning in the membranes.<sup>9</sup> Electron microscope and AFM have high resolution at nm scale, however, the former needs metallic labeling and the latter provides physical properties other than chemistry composition.<sup>10,11</sup> Therefore, new probes and new technologies are in need to investigate more detailed membrane structures.

In the lipid rafts theory, the plasma membrane microdomains are formed by clustering of detergent resistant membranes that are rich in glycosphingolipids and cholesterol. To examine the membrane phase behavior, we have designed and synthesized a series of fluorinated ganglioside GM1, ranging from mono-, tri- to semi-fluorocarbon substitution of the hydrocarbon chains. The fluorinated gangliosides are suitable to analyze using the platform of nano-SIMS, in which the fluorine atom highly

increases the sensitivity of the secondary ions and facilitate the detection of gangliosides. The self-assembly of gangliosides was found dependent on hydrogen bonding of the sugar moiety, thus the substitution on the hydrocarbon chains will not disturb their aggregation in the membranes. Minimal substitutions of fluorine atoms will not change the physical properties of gangliosides, so that their phase behavior in the membranes should not change. The segregation property of perfluorocarbons will facilitate the clustering of gangliosides in the membranes, therefore provides a potential method to control the lipid rafts formation and regulate the signal transduction and cell recognition. In this chapter, the synthesis of F-GM1 analogues will be discussed.

## 2.2 Isolation and purification of GM1 from bovine brain tissues



Scheme 2.1 The structure of natural ganglioside GM1.

Ganglioside GM1 is a member of ganglioside family that contains an oligosaccharide head and a ceramide tail.<sup>12</sup> GM1 is expressed in the outer leaflet of the plasma membrane and rich in the nervous system and almostly found in every vertebrate tissue.<sup>13</sup> GM1 participates in the physiological activities in brain and neuron, and has important impacts in Guillain-Barré syndrome<sup>14,15</sup>, alzheimer's disease<sup>16</sup>, Neuroplasticity

and healing<sup>17</sup>. GM1 is also the receptor of bacterial toxins<sup>18</sup> and antibodies in autoimmunity<sup>19</sup>. GM1 was found to be abundant in detergent resistant membrane and believed to play an important role in lipid rafts formation. In our work, GM1 was obtained by isolation and purification from fresh bovin brain tissues. The processing method was derived from Folch's reported scheme<sup>20</sup> with modification, so that it will be suitable for large quantity raw material processes. By using this method, gram scales of ganglioside GM1 is available for synthetic and biological application.

a. Brain acetone powder preparation

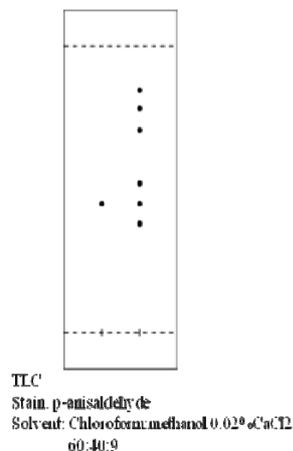
Fresh bovine brain samples 30 lbs, after removing bone debris, brain membrane and blood vessels, were weighed and added 10 times ice cold acetone, then homogenized for 30 min. The mixture was filtered. Residues were combined and dried under reduced pressure at 40 °C to afford bovine brain acetone powder ~ 3 lbs.

b. Extraction of total lipids

Bovine brain acetone powder was added 10 times in volume chloroform: methanol: water (50:50:10) and stirred for 1 h. The solution was collected. Residue was added 5 volume s of chloroform: methanol: water (50:50:10) and stirred for 1 h to collect the solution, and repeat twice. All the solutions were combined and added 1/2 volume 50 mmol/l NaCl solution, stirred for 2 h, and leave the two layers to settle after mixing extensively. Finally the lower layer was collected and dried under reduced pressure at 40 °C afford the total lipids.

c. GM1 isolation from total lipids by flash column chromatography

The flash column chromatography required several times of silica gel column purification. Firstly, the sample was loaded on the silica gel column under solvent C, and eluted with solvent C to remove most of cholesterol and phospholipids, then eluted with solvent D to roughly separate the gangliosides from other lipids. The crude gangliosides was collected and further purified by flash column chromatography with solvent D and finally afford 1.3 g pure GM1.



Solvent A: chloroform: methanol = 5: 1 (v: v)

Solvent B: chloroform: methanol: water = 60: 40: 9

Solvent C: dichloromethane: methanol = 5: 1 (v: v)

Solvent D: dichloromethane: methanol: water = 60: 40: 9

d. TLC

TLC plate: Silica gel 60 F<sub>254</sub>

Stain: Resorcinol/HCl or p-anisaldehyde

Solvent: Chloroform: methanol: 0.02% CaCl<sub>2</sub> = 60: 40: 9

e. ESI-MS

ESI-MS was taken under negative mode.

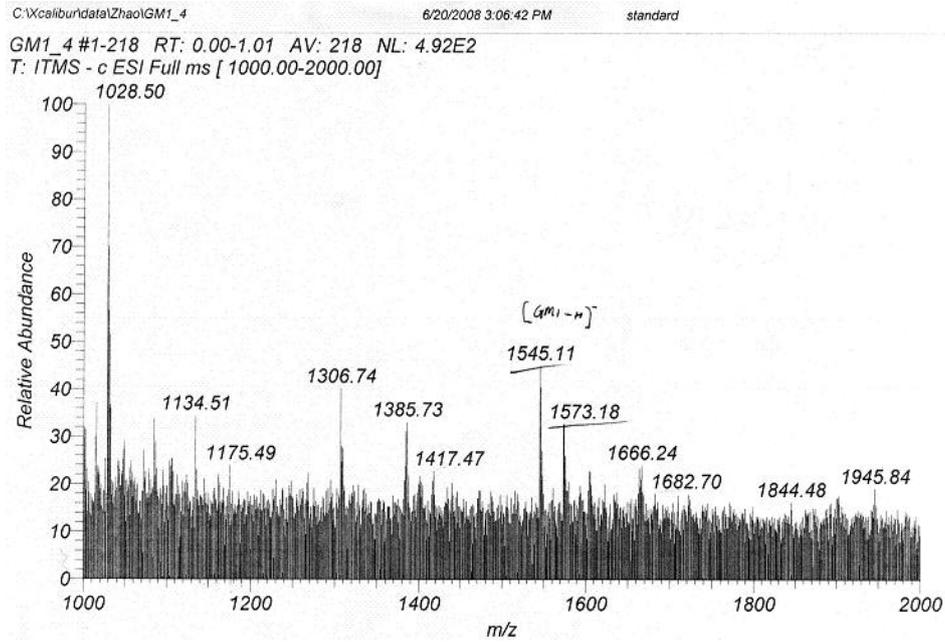


Figure 2.1 ESI-MS of GM1 standard.

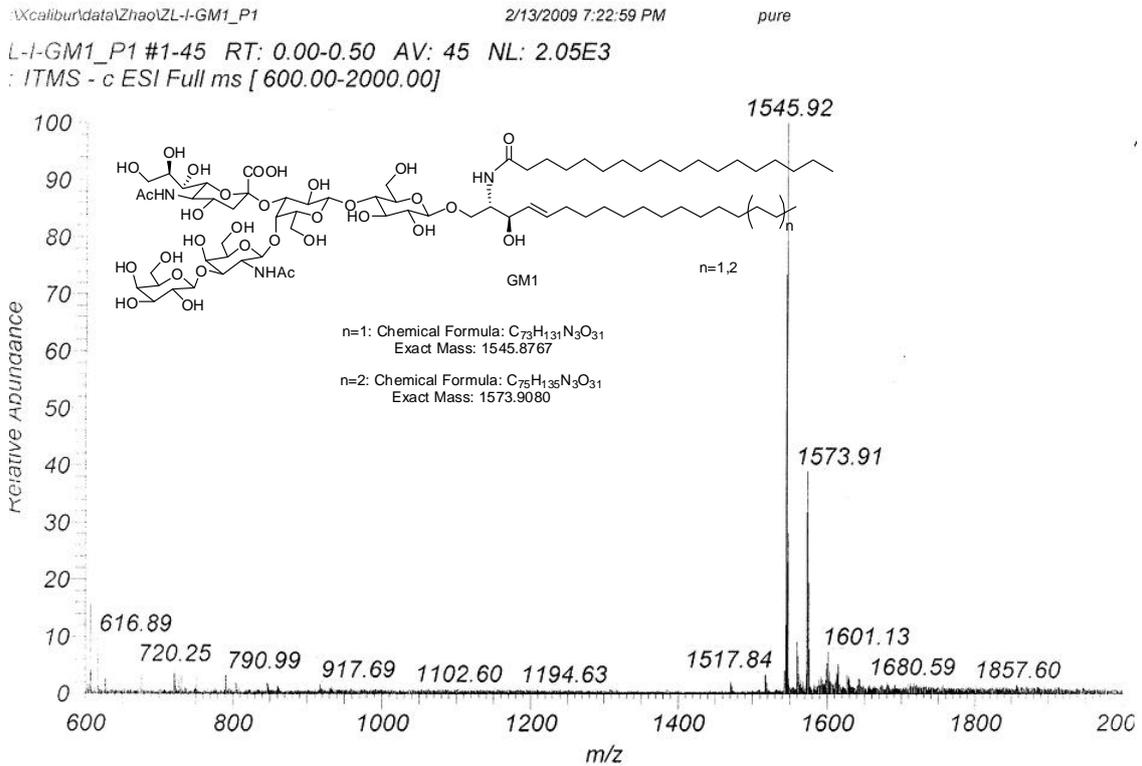


Figure 2.2 ESI-MS of GM1 isolated from bovine brain samples.

f. HPLC analysis of GM1

Column: Zorbax C8, analt, 4.6 mm × 150 mm, 5 μm

Solvent A: MeOH / H<sub>2</sub>O (10:90, v:v)

Solvent B: AcCN

Flow: 1 ml / min

Gradient: Solvent A / Solvent B: 20 to 80%

(The HPLC data is shown in the appendix)

### 2.3 Synthesis of fluorinated GM1 derivatives

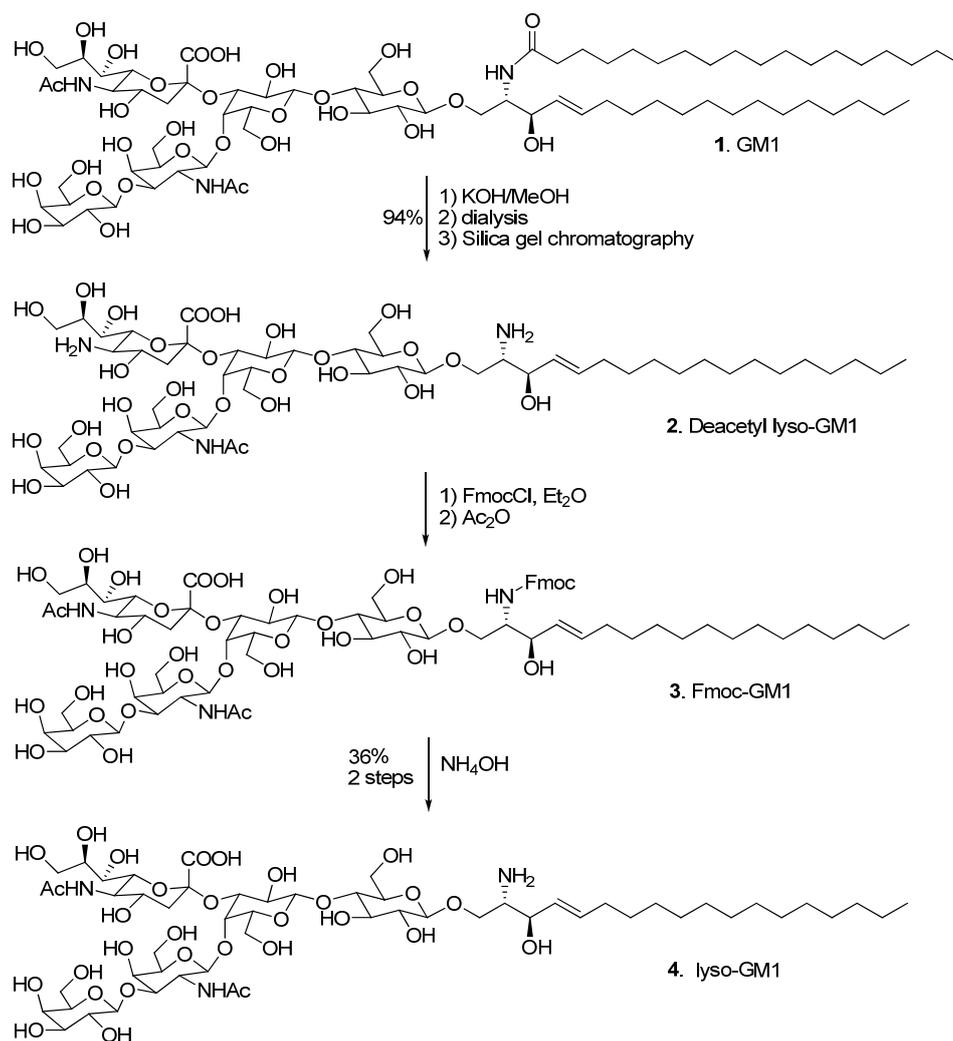
Ganglioside GM1 is an amphiphilic molecule containing a hydrophilic oligosaccharide head and a hydrophobic ceramide moiety. To facilitate detection of GM1 in biological systems, derivatization on the acetyl group of sialic acid and the fatty acid chain has been employed to generate radioactive<sup>21,22</sup>, paramagnetically<sup>23</sup> or fluorescently<sup>24-28</sup> active analogues. In our approach to fluorinated GM1, the fatty acid chain is used for fluorine substitution since the fluorocarbon does not change hydrophobicity of the aliphatic chain and the oligosaccharide will not be disturbed for hydrogen bonding to retain the self-assembly property of GM1.

In the synthetic scheme, ganglioside GM1 was first hydrolyzed by alkaline hydrolysis followed by selectively protection and deprotection of amine group to obtain lyso-GM1, which has only one free amine on the sphingosine group. Fluorinated fatty acids, including monofluoro at different position, trifluoro, and semiperfluoro versions,

were synthesized separately and activated by *N*-hydroxysuccinimide. The activated fluoro-fatty acid was then coupled to lyso-GM1 under anhydrous condition to give the fluorinated derivatives of GM1. To obtain the semiperfluoro substituent on both aliphatic chains, we modified unsaturated sphingosine chain by ozonolysis to cleave the double bond and generate an aldehyde intermediate, followed by wittig coupling with a ylide to replace the sphingosine with semifluorocarbon substituted chain. This is the first reported functional modification of the sphingosine chain on gangliosides.

### **2.3.1 Synthesis of lyso-GM1**

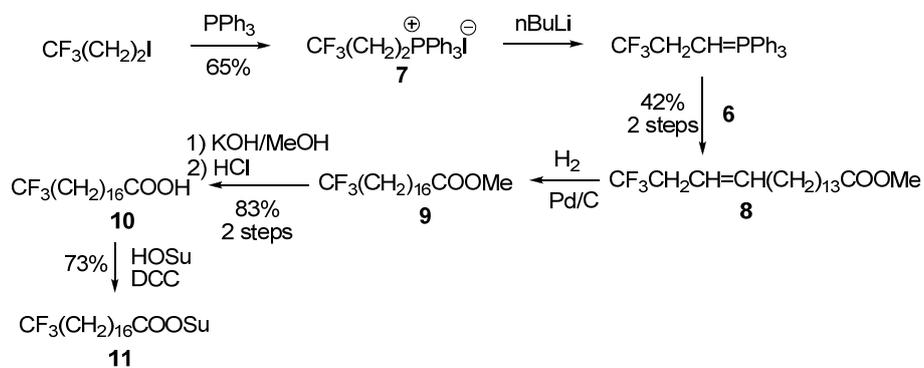
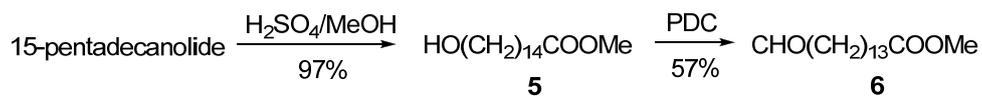
The natural ganglioside GM1, derived from bovine brain tissue, was hydrolyzed in KOH/MeOH solution under high pressure in a sealed thick-walled reaction tube at 100 °C for 2 days. The hydrolysis process upon time has been well established by Sonnino<sup>29</sup>. Both of the amide bonds on the ceramide and the sialic acid were cleaved, whereas the acetyl amide bond on the GalNAc was more stable and remained uncleaved. After removal of solvent, the residue was neutralized carefully with 1 M acetic acid and dialyzed against water to get rid of the salts. The crude materials was then lyophilized and purified through silica gel column by the reported method<sup>26</sup>. The amine group on the sphingosine chain of DeAc-lysoGM1 was selectively protected with Fmoc by a dual-phase reaction at 0 °C under kinetic control, and then the more steric hindered amine group on sialic acid was subsequently acetylated by excess acetic anhydride. Finally, the Fmoc group was deprotected readily in ammonia hydroxide solution to give lyso-GM1 for further modification.



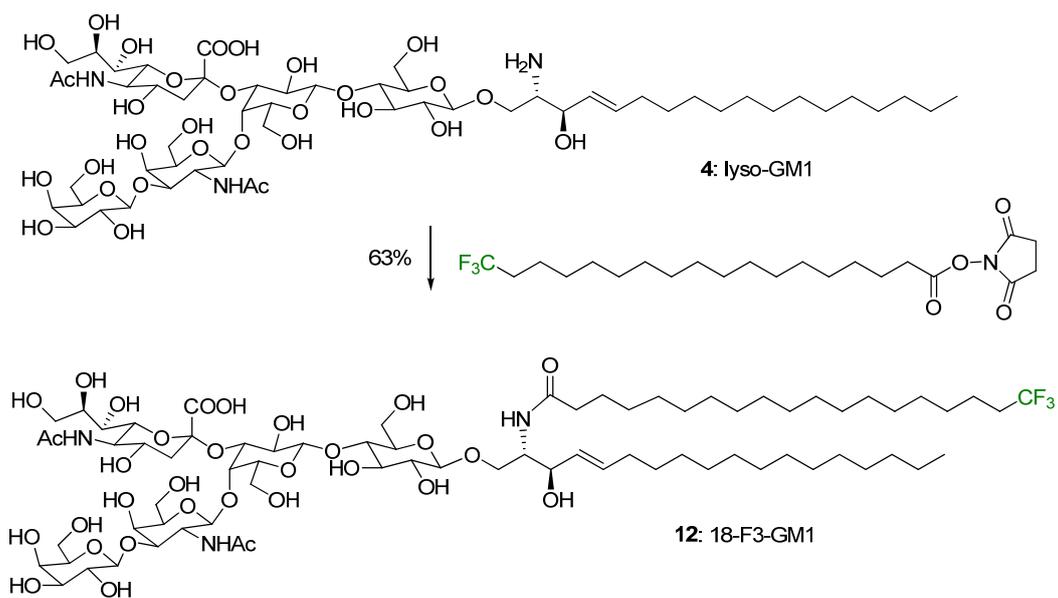
Scheme 2.2 Synthetic scheme of lyso-GM1

### 2.3.2 Synthesis of 18-F3-GM1

The trifluoromethyl substituted stearic acid was achieved using a [3+15] Wittig coupling reaction<sup>30</sup>, followed by hydrogenation and hydrolysis to give the product. Briefly, the synthesis of 18,18,18-trifluorooctadecanoic acid (**10**) commenced from methyl 15-oxopentadecanoate (**6**) by elongation of the fatty acid chain with triphenyl(3,3,3-trifluoropropyl) phosphonium iodide (**7**) using Wittig chemistry. Methyl 15-oxopentadecanoate (**6**) was obtained from 15-pentadecanolide via an acid catalyzed ring opening in methanol to give methyl 15-hydroxypentadecanoate (**5**), followed by oxidation of the alcohol with pyridinium dichromate (PDC). The yield over these two steps was 55%. Triphenyl(3,3,3-trifluoropropyl)phosphonium iodide (**7**) was readily obtained from 3,3,3-trifluoropropyl iodide by reflux in CH<sub>3</sub>CN with PPh<sub>3</sub> with 65% yield. The phosphonium salt was treated with n-BuLi to obtain the corresponding ylide, and then reacted with aldehyde **6** at room temperature for 36 h to give methyl 18,18,18-trifluorooctadec-15-enoate (**8**) with 42% yield. Hydrogenation and subsequent hydrolysis of **8** proceeded without event to afford the trifluoromethylated fatty acid with 83% yield over two steps. CF<sub>3</sub>-stearic acid was activated as *N*-succinimidyl 18,18,18-trifluorostearate (**11**) for coupling with lyso-GM1 (Scheme 2.3). The NHS ester **11** was then conjugated with lyso-GM1 to afford the trifluoromethyl GM1 (Scheme 2.4).



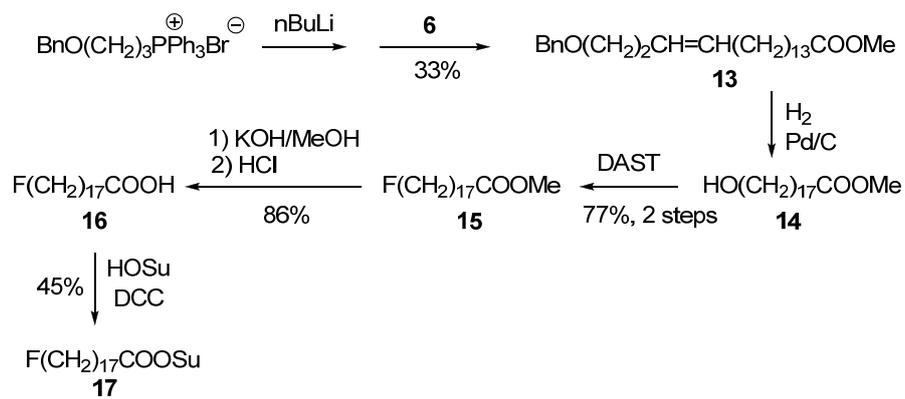
Scheme 2.3 Synthesis of NHS-activated 18,18,18-trifluorostearic acid



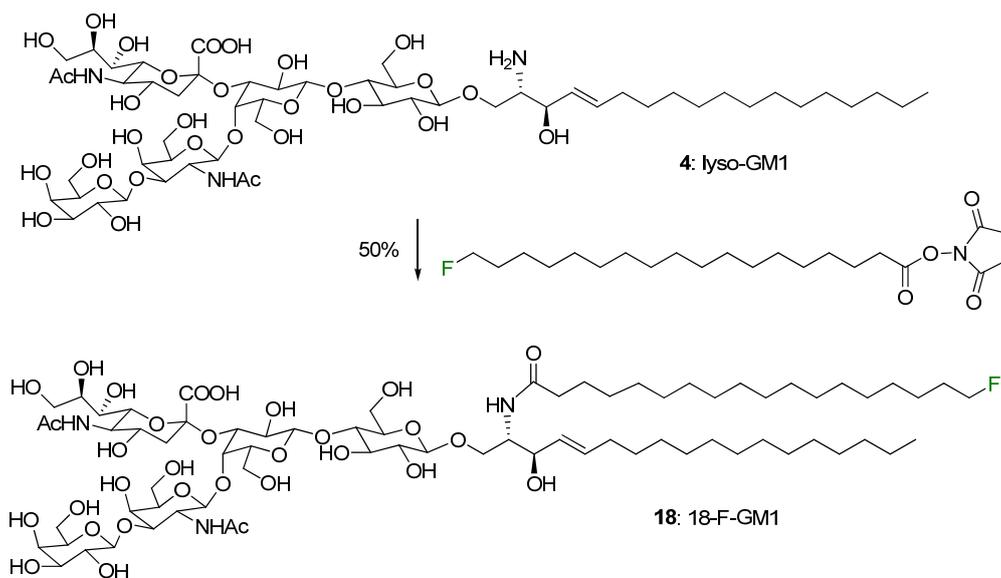
Scheme 2.4 Synthesis of 18-F3-GM1

### 2.3.3 Synthesis of 18-F-GM1

The monofluorinated stearic acid was synthesized using a [3+15] Wittig coupling for hydrocarbon chain elongation. The synthesis started with a benzyl protected Wittig reagent and the aldehyde **6** coupled using Wittig chemistry to give stearic acid analog **13** with 33% yield.<sup>31</sup> The hydrogenation and deprotection of benzyl group of **13** were completed in one step, followed by fluorine substitution of terminal hydroxyl group to afford the terminal fluoro-stearic acid methyl ester **15** with 77% yield over two steps. After hydrolysis deprotection of methyl ester, the terminal fluoro-stearic acid **16** was activated by *N*-hydroxysuccinimide and DCC and successively conjugated with lyso-GM1 to afford the terminal monofluoro-GM1 **18** with 19% yield for three steps (Scheme 2.5 & 2.6).



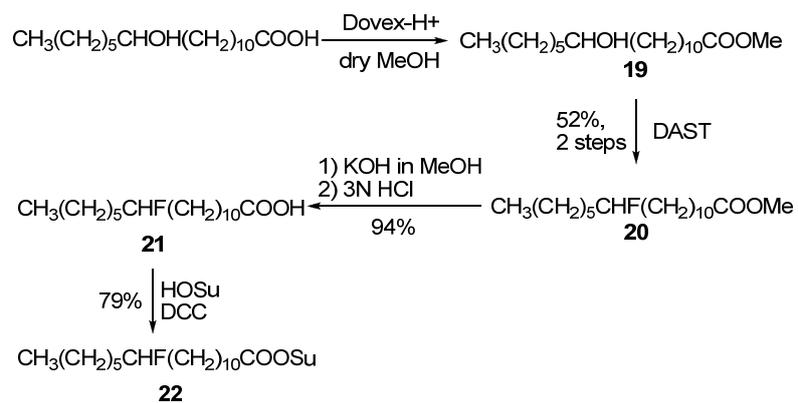
Scheme 2.5 Synthesis of NHS-activated 18-fluorostearic acid



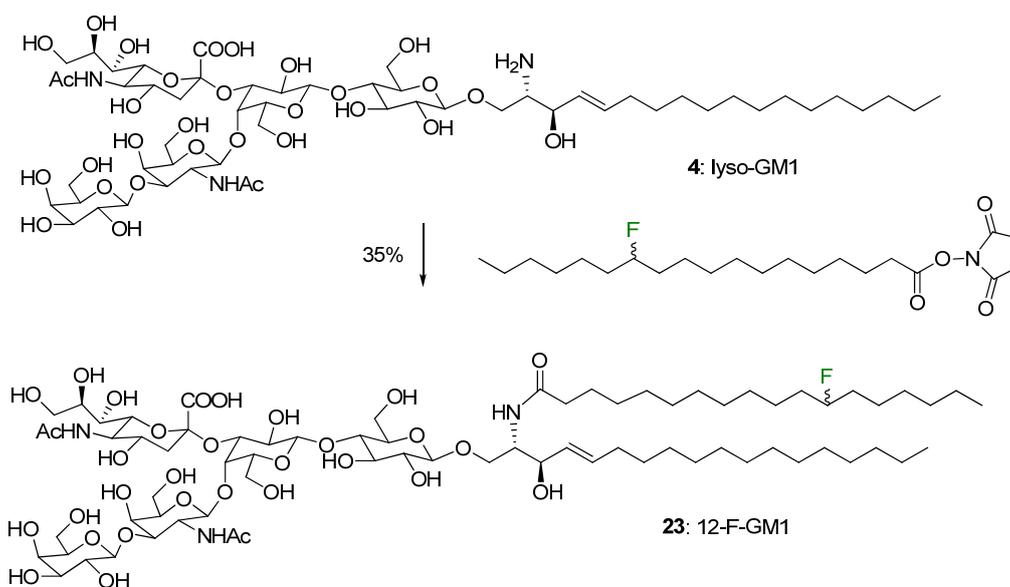
Scheme 2.6 Synthesis of 18-F-GM1

### 2.3.4 Synthesis of 12-F-GM1

Since the 12-hydroxylstearic acid is commercially available, the 12-fluoro-stearic acid **21** is readily obtained by introducing a fluorine atom to substitute the OH with DAST reagent following a methyl ester protection step with 52% yield for two steps. Starting with the racemic mixture 12-hydroxylstearic acid resulted in racemic product after the S<sub>N</sub>2 substitution. The methyl deprotection of followed by *N*-hydroxysuccinimide activation provided the activated 12-F-stearic acid succinimidyl ester **22** with 74% yield for two steps. The ester **22** was finally coupled with lyso-GM1 to afford the 12-fluorinated GM1 **23** (Scheme 2.7 & 2.8). Proton decoupled <sup>19</sup>F-NMR revealed the product of 12-F-GM1 was a mixture of two diastereomers ( $\delta = -182.045$  and  $-182.052$ ).



Scheme 2.7 Synthesis of 12-fluorostearic acid



Scheme 2.8 Synthesis of 12-F-GM1

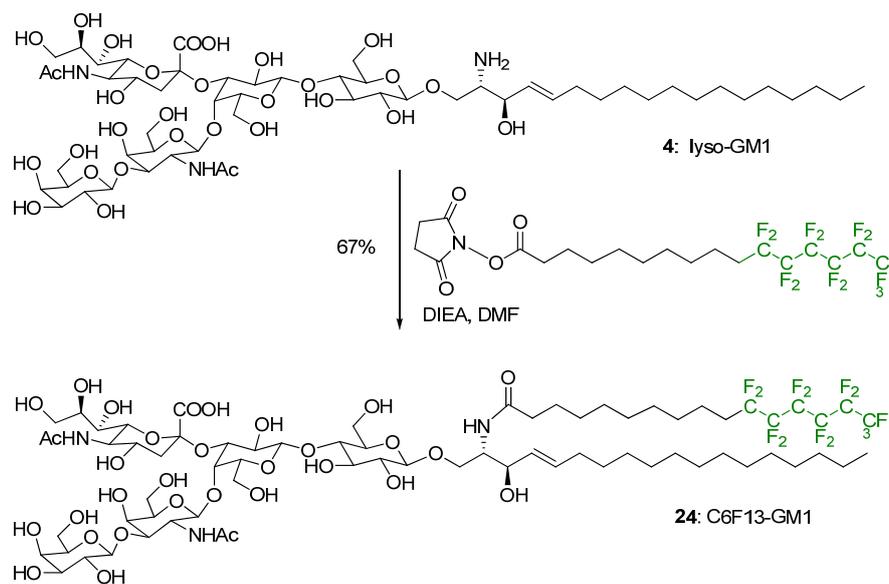
### 2.3.5 Synthesis of C6F13 modified GM1

The two lipid tails of GM1 were modified with C6F13 in two steps successively. The C6F13 substituted stearic acid is available in our lab.<sup>32</sup> The C6F13 substituted GM1 on fatty acid chain was simply obtained by NHS activation of C6F13 stearic acid and coupling with lyso-GM1 to give C6F13-GM1 **24** with 67% yield. (Scheme 2.9)

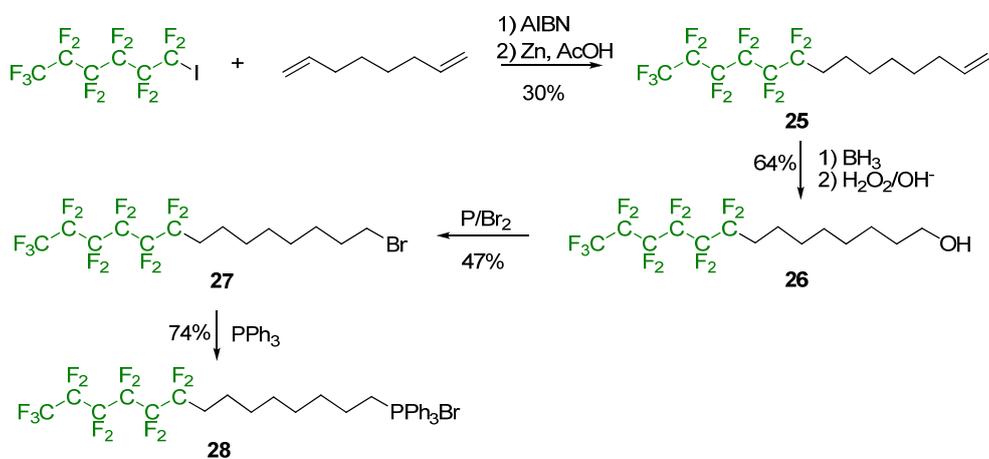
The modification of sphingosine hydrocarbon chain was accomplished using ozonolysis cleavage followed by coupling of the aldehyde product with a Wittig reagent containing terminal C6F13. The synthesis of C6F13 Wittig reagent started from a semi-perfluorinated olefin **25**, which was generated by a radical induced coupling with perfluorocarbon iodide and octadiene with 30% yield.<sup>33</sup> The selective hydroboration of olefin **25** followed by oxidation with hydrogen peroxide in basic condition afforded semiperfluoro terminated alcohol **26** with 64% yield.<sup>34</sup> Bromination reaction converted the terminal alcohol **26** to the bromide **27** with 47% yield, which is resistant to any TLC stains and had to be analyzed by NMR for flash chromatography fractions. The bromide **27** was then treated with triphenylphosphine in acetonitrile at reflux to give the salt of Wittig reagent **28**, which was easily purified by washing with anhydrous ether to get rid of non-polar starting materials and leave the pure product as a white solid with 74% yield. (Scheme 2.10)

To cleave the sphingosine chain at the double bond position, the C6F13-GM1 was treated with ozone at -78 °C followed by treatment with triphenylphosphine for ring opening to afford the GM1 aldehyde **29** with 71% yield. Ozonolysis at low temperature efficient prevented the oligosaccharide cleavage from the ceramide.<sup>35</sup> The trioxolane

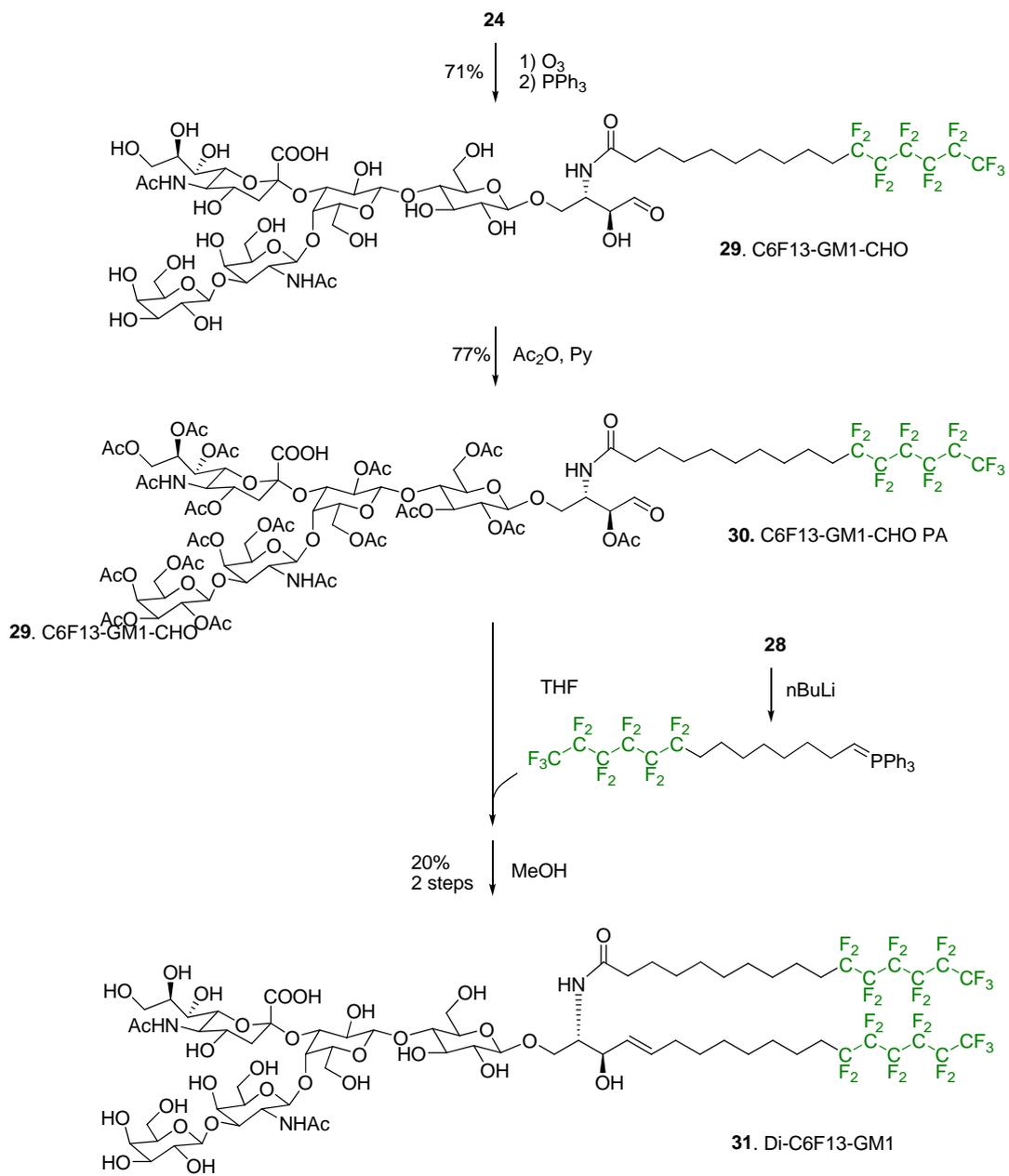
intermediate of C6F13-GM1 required 3 days to open the ring, whereas that of natural GM1 needed no more than 24 h (data not shown), presumably due to stabilizing effect of fluorocarbons. The aldehyde **29** was fully protected by acetyl groups using acetic anhydride to give the peracetylated C6F13-GM1 aldehyde **30** with 77% yield. Previous study revealed that Wittig coupling directly on the unprotected aldehyde **29** was impossible, which only yielded hydrolyzed products (data not shown). The peracetylated aldehyde **30** was reacted with the *n*-Buli treated ylide **28** for two days then quenched with methanol to afford the Di-C6F13-GM1 **31** with 20% yield for two steps. The acetyl groups were deprotected during the methanol quenching step under the basic condition. (Scheme 2.11) It turned out that the unreacted C6F13-GM1 ran in the same fractions as the product on flash chromatography, and the flash column is not able to separate them. Thus the fluororous phase cartridge was employed to separate these two analogues using their different affinity to the solid fluororous phase due to their varied fluorocarbon chains.



Scheme 2.9 Synthesis of C6F13-GM1



Scheme 2.10 Synthesis of C6F13 substituted triphenylphosphine bromide



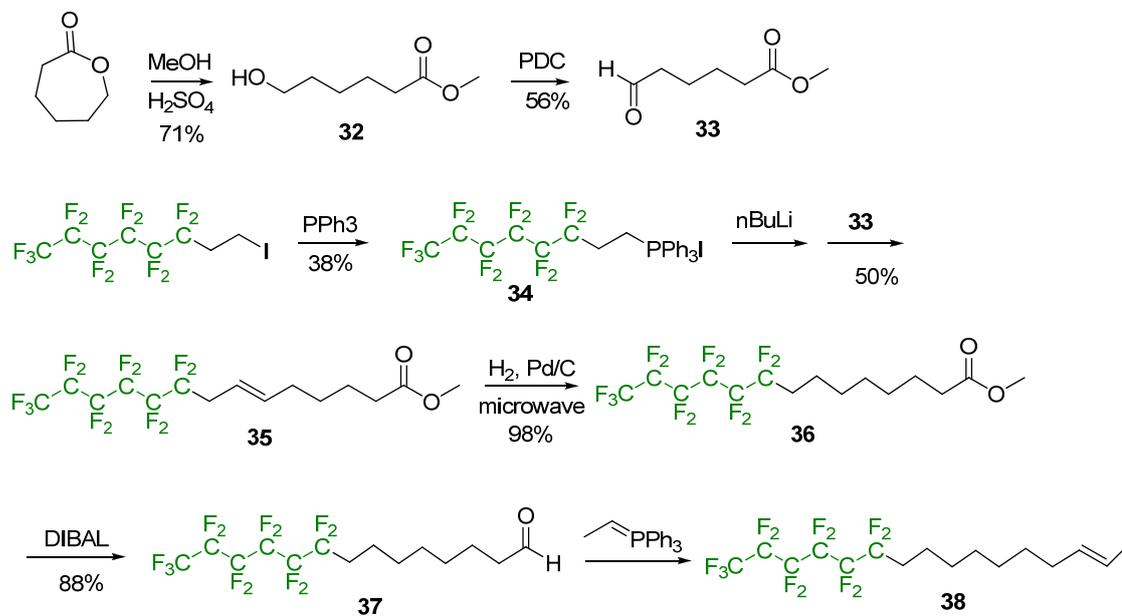
Scheme 2.11 Synthesis of Di-C6F13-GM1

### 2.3.6 Olefin metathesis to substitute sphingosine with fluorocarbon chain lead to a mixture of alkenes.

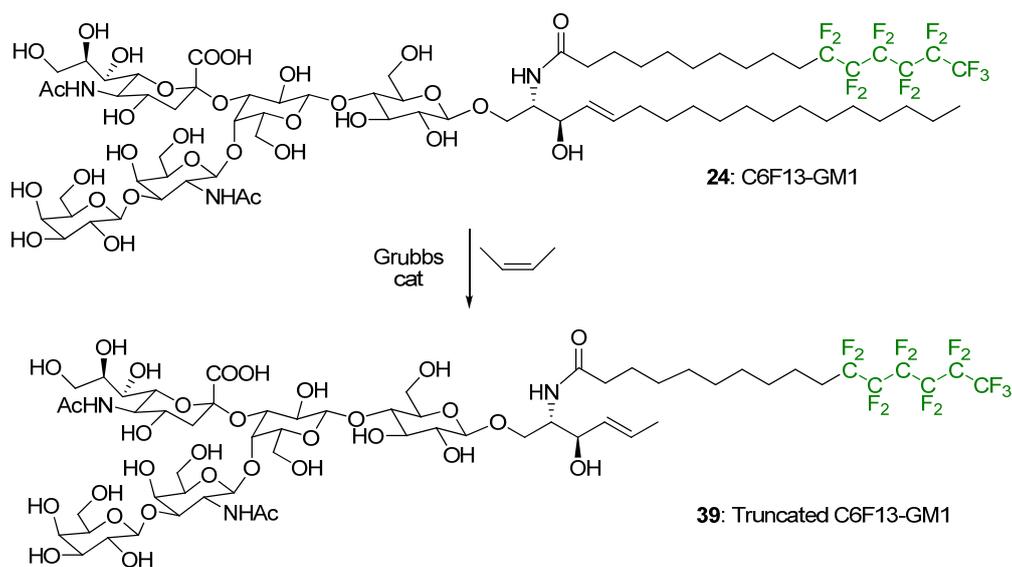
The synthesis of Di-C6F13-GM1 was also tested using catalyzed olefin cross-metathesis due to its mild reaction condition. The synthesis of C6F13 substituted olefin started with C6F13 substituted ethylene iodide, which was converted to Wittig reagent **34** and elongated by Wittig coupling. Caprolactone was treated with sulfuric acid in methanol to give methyl 6-hydroxy-hexanoate **32**, followed by PDC oxidation to provide with methyl 6-oxohexanoate **33** with 40% for two steps. The Wittig reagent **34** was treated with *n*-BuLi and aldehyde **33**, successively, to complete the chain elongation in two days with 50% yield. Hydrogenation of the coupling product **35** gave C6F13 substituted methyl ester **36**, followed by DIBAL reduction provided with C6F13 substituted aldehyde **37** with 86% yield for two steps. The Wittig coupling of the aldehyde **37** with ethyl ylide afforded the (*E*)-olefin **38** with 52% yield, which configuration was confirmed by NMR. (Scheme 2.12)

The C6F13-GM1 **24** was modified using catalyzed olefin metathesis to truncate the sphingosine chain and facilitate the further metathesis with C6F13-olefin. By Grubbs-Hoveyda II catalysis and microwave assistance, C6F13-GM1 was truncated at the double bond to generate 2-alkene tethered product **39**. (Scheme 2.13, Fig 2.3) The C6F13 substituted 2-alkene **38** was used for cross metathesis with truncated C6F13-GM1 **39** with by Grubbs-Hoveyda II catalyst. The olefins were capped with methyl groups in order to avoid reported migration of terminal alkenes in catalyzed cross metathesis reactions.<sup>36</sup> (Scheme 2.14) However, by-products were observed with one or two more

methylene groups that cannot be separated from the product. (Fig 2.4) These unprecedented results are involved in the thesis to facilitate further studies in future.



Scheme 2.12 Synthesis of C<sub>6</sub>F<sub>13</sub> substituted olefin



Scheme 2.13 Synthesis of truncated C6F13-GM1 olefin

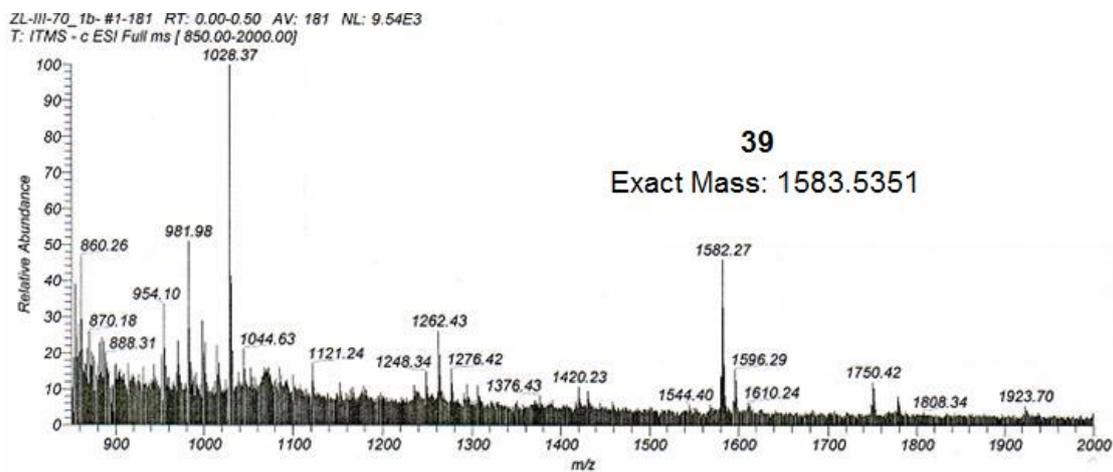
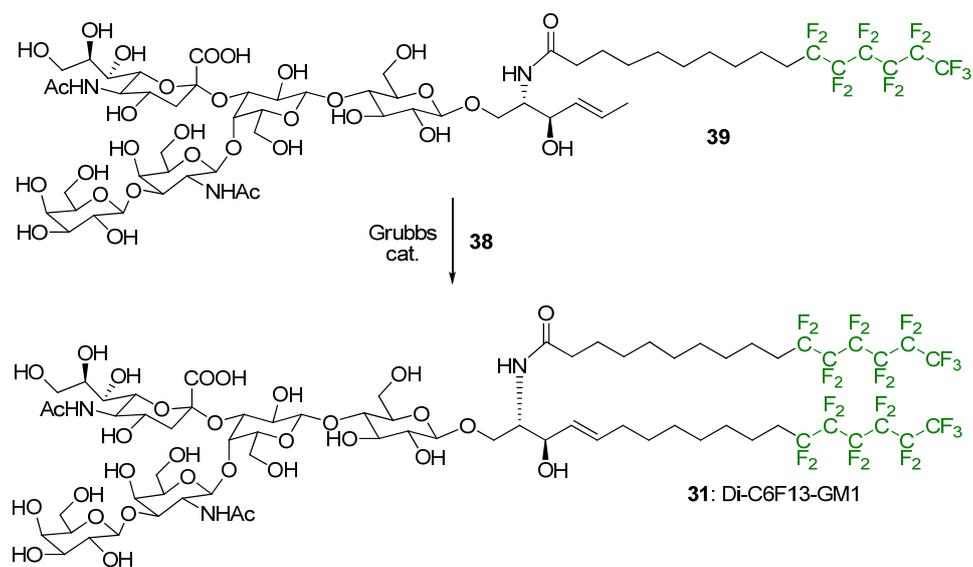


Fig. 2.3 ESI-MS of Truncated C6F13-GM1



Scheme 2.14 Synthetic route of Di-C6F13-GM1

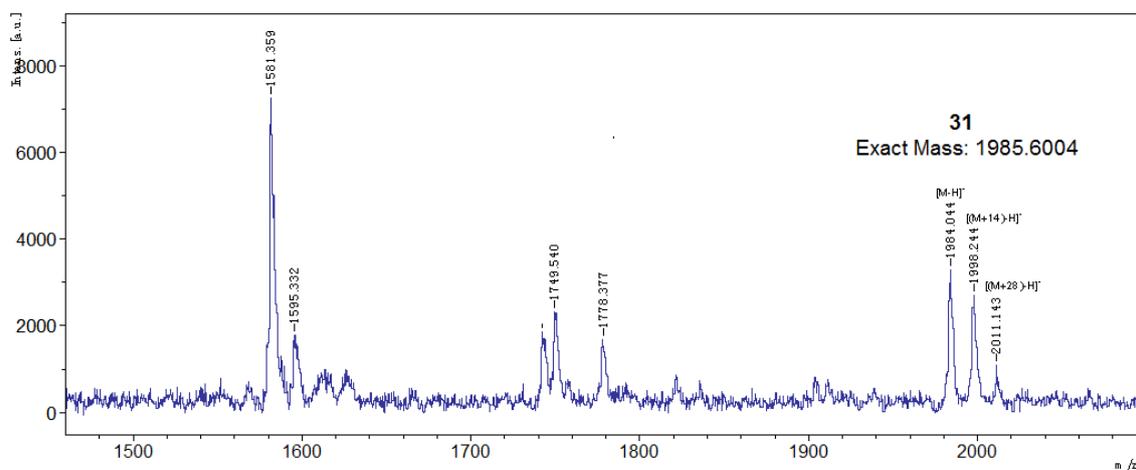


Fig. 2.4 ESI-MS spectrum of Di-C6F13-GM1 products by olefin metathesis

## 2.4 Experimental section

**Materials and instruments.** The fluoro-reagents were purchased from SynQuest Labs Inc. (Alachua, FL, USA), and other reagents were from Sigma-Aldrich, USA. The dialysis membrane 3,500 MWCO was from Thermo Scientific Inc. (Rockford, IL, USA). Silica gel 60A 230-400 mesh for column chromatography was from Whatman Inc. (Piscataway, NJ, USA). Routine NMR spectra were obtained from Bruker DPX-300 NMR and Bruker Avance III 500 MHz NMR instruments. The low resolution mass spectra were obtained from Finnigan LTQ Mass Spectrometer, and the GM1 derivatives were analyzed under negative mode. HRMS spectra were obtained by Agilent 6210 Time-of-Flight LC/MS in The Center for Mass Spectrometry (CMS) facility at The Merkert Chemistry Center in Boston College.

**Deacetyl-lyso-GM1 (2):** GM1 (208 mg, 0.13 mmol) was dissolved in KOH in MeOH (0.8 M, 50 mL) in a thick-walled reaction tube, and stirred at 100 °C for 2 d. The solvent was removed under *vacuo* and the resulting residue was neutralized slowly with 1 M acetic acid to pH 7.0, and then dialyzed against H<sub>2</sub>O (2 × 1.0 L). The solution in the dialysis bag after freeze-drying, yielded the crude product (160 mg, 94%).

ESI-MS:  $m/z$  [C<sub>53</sub>H<sub>94</sub>N<sub>3</sub>O<sub>29</sub> - H]<sup>-</sup> calcd: 1236.6, found: 1236.7;  $m/z$  [C<sub>55</sub>H<sub>98</sub>N<sub>3</sub>O<sub>29</sub> - H]<sup>-</sup> calcd: 1264.6, found: 1264.7.

**N-Fmoc-lyso-GM1 (3):** Deacetyl-lyso-GM1 (160 mg, 0.13 mmol) was partitioned in 12 mL of a 1:1 mixture of aq. NaHCO<sub>3</sub> (0.1 M) and Et<sub>2</sub>O and cooled on a dry ice bath until the aqueous phase froze. Fmoc-Cl (40 mg, 0.16 mmol) was added and the mixture was stirred at 4 °C for 24 h. TLC and ESI-MS showed disappearance of the starting materials.

Acetic anhydride (40  $\mu$ L) was added and the mixture was stirred at 4  $^{\circ}$ C for 12 h. After removal of solvent under *vacuo*, the residue was purified by flash column chromatography (CHCl<sub>3</sub>-MeOH-H<sub>2</sub>O, 60:40:5, v/v/v) to give the crude product (164 mg, 84%).

ESI-MS:  $m/z$  [C<sub>70</sub>H<sub>106</sub>N<sub>3</sub>O<sub>32</sub> - H]<sup>-</sup> calcd: 1500.6, found: 1500.6;  $m/z$  [C<sub>72</sub>H<sub>110</sub>N<sub>3</sub>O<sub>32</sub> - H]<sup>-</sup> calcd: 1528.7, found: 1528.7.

**Lyso-GM1 (4):** *N*-Fmoc-lyso-GM<sub>1</sub> (164 mg, 0.11 mmol) was treated with sat. NH<sub>4</sub>OH (5.0 mL) and stirred at r.t. for 6 h. TLC and ESI-MS showed complete conversion of the starting material. Upon removal of solvents under reduced pressure, the resultant residue was purified by flash column chromatography (CHCl<sub>3</sub>-MeOH-2M ammonia, 60:40:5, v/v/v) to afford the pure product (60 mg, 43%).

ESI-MS:  $m/z$  [C<sub>55</sub>H<sub>96</sub>N<sub>3</sub>O<sub>30</sub> - H]<sup>-</sup> calcd: 1278.6, found: 1278.7;  $m/z$  [C<sub>57</sub>H<sub>100</sub>N<sub>3</sub>O<sub>30</sub> - H]<sup>-</sup> calcd: 1306.6, found: 1306.7.

**Methyl 15-Hydroxypentadecanoate (5):** To 5% H<sub>2</sub>SO<sub>4</sub> in MeOH (20 mL) was added 15-pentadecanolide (2.0 g, 8.3 mmol). The reaction mixture was heated at reflux for 2 h, and then cooled to room temperature. Et<sub>2</sub>O (50 mL) was added to the mixture and the organic layer was washed with H<sub>2</sub>O (40 mL), sat. NaHCO<sub>3</sub> (2  $\times$  20 mL), H<sub>2</sub>O (2  $\times$  20 mL), and brine (2  $\times$  20 mL), then dried over anhyd. Na<sub>2</sub>SO<sub>4</sub>. Upon removal of solvent and drying under *vacuo*, the desired product was obtained as a white solid (2.2 g, 97%).

<sup>1</sup>H NMR (CDCl<sub>3</sub>, 500 MHz):  $\delta$  = 3.67 (s, 3 H), 3.64 (t,  $J$  = 6.5 Hz, 2 H), 2.30 (t,  $J$  = 7.5 Hz, 2 H), 1.64-1.48 (m, 4 H), 1.25 (s, 20 H).

$^{13}\text{C}$  NMR ( $\text{CDCl}_3$ , 125 MHz):  $\delta = 174.32, 63.09, 51.40, 34.12, 32.82, 29.59, 29.55, 29.42, 29.23, 29.14, 25.73, 24.96$ .

**Methyl 15-Oxopentadecanoate (6):** A 250-mL round-bottomed flask was charged with methyl 15-hydroxypentadecanoate (1.0 g, 3.68 mmol) and anhyd.  $\text{CH}_2\text{Cl}_2$  (200 mL). To this solution was added PDC (2.76 g, 7.34 mmol) with stirring at r.t., to give a dark-brown solution. The mixture was further stirred for 12 h, then the solvent was removed and the resultant residue was washed with EtOAc-hexane (10%, 200 mL). The organic layers were combined and the solvent removed. The residue was purified by flash column chromatography (EtOAc-hexane, 10%) to give the product as a white powder (0.57 g, 57%).

$^1\text{H}$  NMR ( $\text{CDCl}_3$ , 500 MHz):  $\delta = 9.76$  (s, 1 H), 3.66 (s, 3 H), 2.42 (dt,  $J = 34, 7.5$  Hz, 2 H), 2.30 (t,  $J = 7.5$  Hz, 2 H), 1.62 (m, 4 H), 1.25 (s, 18 H).

$^{13}\text{C}$  NMR ( $\text{CDCl}_3$ , 125 MHz):  $\delta = 202.90, 174.35, 51.42, 43.91, 34.12, 33.66, 29.53, 29.39, 29.33, 29.23, 29.14, 29.03, 24.95, 24.70, 22.10$ .

**Triphenyl(3,3,3-trifluoropropyl)phosphonium Iodide (7):** To a solution of 3,3,3-trifluoropropyl iodide (348 mg, 1.55 mmol) in anhyd. acetonitrile (10 mL),  $\text{Ph}_3\text{P}$  (560 mg, 2.14 mmol) was added with stirring. The mixture was heated at reflux for 24 h. Solvent was removed and the residue was washed with  $\text{Et}_2\text{O}$  ( $3 \times 10$  mL). The solid residue was collected and dried under *vacuo* to give the pure product (495 mg, 65%).

$^1\text{H}$  NMR ( $\text{CDCl}_3$ , 500 MHz):  $\delta = 7.87$  (m, 9 H), 7.74 (m, 6 H), 4.08 (m, 2 H), 2.65 (m, 2 H).

$^{19}\text{F}$  NMR ( $\text{CDCl}_3$ , 470 MHz):  $\delta = -68.73$  (t,  $J = 7.0$  Hz).

**Methyl 18,18,18-Trifluorooctadec-15-enoate (8):** Triphenyl(3,3,3-trifluoropropyl) phosphonium iodide (330 mg, 0.68 mmol) was suspended in anhyd. THF (3 mL). To this mixture was added *n*-BuLi (1.6 M in THF, 0.66 mL) at r.t. When the solids dissolved to give a brown solution, methyl 15-oxopentadecanoate **6** (164 mg, 0.61 mmol) in anhyd. THF (3 mL) was added at 0 °C. The mixture was allowed to reach r.t. and stirred for 36 h. The solvent was removed and the resultant residue was purified by flash column chromatography (EtOAc-hexane, 10%) to afford the pure product as a white powder (90 mg, 42%).

$^1\text{H}$  NMR ( $\text{CDCl}_3$ , 500 MHz):  $\delta = 5.70$  (m, 1 H), 5.37 (m, 1 H), 3.66 (s, 3 H), 2.84 (m, 2 H), 2.29 (m, 2 H), 2.05 (t,  $J = 6.0$  Hz, 2 H), 1.60 (m, 2 H), 1.37 (m, 2 H), 1.26 (s, 18 H).

$^{13}\text{C}$  NMR ( $\text{CDCl}_3$ , 125 MHz):  $\delta = 174.35$ , 132.13, 113.35, 118.14, 51.43, 43.12, 34.15, 33.68, 29.55, 29.41, 29.35, 29.25, 19.17, 29.05, 24.89, 24.75, 22.14.

**Methyl 18,18,18-Trifluorooctadecanoate (9):** To a solution of methyl 18,18,18-trifluorooctadec-15-enoate (90 mg, 0.26 mmol) in toluene (1.0 mL), was added 10% Pd/C (10 mg). The mixture was irradiated under  $\text{H}_2$  gas in a microwave reactor (50 W) with stirring at 80 °C, for 1 h. The solvent was removed, and  $^1\text{H}$  NMR confirmed that the hydrogenation was complete. The material was directly used in the hydrolysis reaction.

**18,18,18-Trifluorostearic Acid (10):** Methyl 18,18,18-trifluorooctadec-15-enoate obtained above was treated with KOH in MeOH (0.9 M, 1 mL) at r.t. for 1 h, then diluted with  $\text{H}_2\text{O}$  (3 mL) and acidified with 1 M HCl to pH 2. The solution was extracted with

Et<sub>2</sub>O (3 × 5 mL), washed with H<sub>2</sub>O (2 × 5 mL) and brine (2 × 5 mL), dried over anhyd. Na<sub>2</sub>SO<sub>4</sub> and the solvent was removed under *vacuo*. The crude material was purified using flash column chromatography (EtOAc-hexane, 25%) to afford the pure product (72 mg, 83% over the two steps).

<sup>1</sup>H NMR (CDCl<sub>3</sub>, 500 MHz): δ = 2.38 (t, *J* = 8.0 Hz, 2 H), 2.08 (m, 2 H), 1.67 (m, 2 H), 1.36 (m, 2 H), 1.28 (s, 24 H).

<sup>13</sup>C NMR (CDCl<sub>3</sub>, 125 MHz): δ = 178.65, 127.32, 36.45, 33.84, 30.89, 29.62, 29.59, 29.53, 29.34, 29.17, 29.07, 28.79, 28.70, 24.37, 21.83.

**N-Succinimidyl 18,18,18-Trifluorostearate (11):** To a solution of *N*-hydroxysuccinimide (34.5 mg, 0.30 mmol) and 18,18,18-trifluorostearic acid (72 mg, 0.21 mmol) in anhyd. dioxane (5 mL), was added DCC (103 mg, 0.50 mmol) at r.t., and the mixture was stirred overnight to allow the completion of the reaction. The precipitate was removed by filtration and the solvent was evaporated. The residue was purified by flash column chromatography (EtOAc-hexane, 15%) to give the product as a white powder (68 mg, 73%).

<sup>1</sup>H NMR (CDCl<sub>3</sub>, 500 MHz): δ = 2.83 (s, 4 H), 2.60 (t, *J* = 8.0 Hz, 2 H), 2.06 (m, 2 H), 1.74 (m, 2 H), 1.54 (s, 4 H), 1.26 (s, 22 H).

<sup>13</sup>C NMR (CDCl<sub>3</sub>, 125 MHz): δ = 169.11, 168.67, 127.32, 33.85, 33.62, 30.96, 29.62, 29.59, 29.53, 29.34, 29.17, 29.07, 28.79, 28.70, 25.59, 24.58, 21.85.

<sup>19</sup>F NMR (CDCl<sub>3</sub>, 470 MHz): δ = -69.59 (t, *J* = 11.0 Hz).

**18-F3-GM1 (12):** To a solution of *N*-succinimidyl 18,18,18-trifluorostearate (18 mg, 41  $\mu$ mol) and lyso-GM1 (27 mg, 21  $\mu$ mol) in anhyd. DMF (2 mL), was added DIPEA (10  $\mu$ L). The mixture was stirred at r.t. for 4 h, then the solvent was evaporated and the residue was purified using flash column chromatography (CHCl<sub>3</sub>-MeOH-H<sub>2</sub>O, 60:40:5, v/v/v) to give trifluoro-GM1 **12** as a white powder (28 mg, 63%).

<sup>1</sup>H NMR (CDCl<sub>3</sub>, 500 MHz)  $\delta$ = 5.67 (d of t, 1H), 5.44 (d of d, 1H), 4.92, (d, 1H), 4.44 (d, 1H), 4.40 (d, 1H), 4.29 (d, 1H), 4.15 (b, 3H), 4.07 (m, 1H), 4.01 (m, 2H), 3.96 (m, 1H), 3.86 (m, 7H), 3.76 (m, 2H), 3.69 (m, 8H), 3.52 (m, 7H), 3.40 (m, 4H), 3.27 (m, 1H), 2.71 (m, 2H), 2.11 (m, 4H), 2.00 (m, 8H), 1.90 (t, 1H), 1.55 (m, 4H), 1.28 (m, 50H), 0.89 (m, 3H)

<sup>19</sup>F NMR (CDCl<sub>3</sub>, 470 MHz):  $\delta$  = -67.81 (t, *J* = 11.2 Hz).

ESI-MS: *m/z* [C<sub>73</sub>H<sub>128</sub>F<sub>3</sub>N<sub>3</sub>O<sub>31</sub> - H]<sup>-</sup> calcd: 1598.8, found: 1599.1; *m/z* [C<sub>75</sub>H<sub>132</sub>F<sub>3</sub>N<sub>3</sub>O<sub>31</sub> - H]<sup>-</sup> calcd: 1626.8, found: 1627.1.

HRMS-ESI: *m/z* [C<sub>73</sub>H<sub>128</sub>F<sub>3</sub>N<sub>3</sub>O<sub>31</sub> + Na]<sup>+</sup> calcd: 1622.8381, found: 1622.8344.

**Methyl 18-(benzyloxy)octadec-15-enoate (13).** The 3-benzyloxypropyl triphosphonium bromide (1.98 g, 4.0 mmol) was suspended in 20 ml dry THF and cooled to -78 °C, then added *n*-butyl lithium 3.6 ml (1.6M in hexane, 5.8 mmol). The mixture was allowed to reach r.t. and stirred for 20 min. Methyl Methyl 15-Oxopentadecanoate (1.0 g, 3.7 mmol) in 1 ml dry THF was added dropwise at -78 °C to give a dark red solution. The mixture was stirred at r.t. for 48 h. After the reaction was complete, methanol (2 ml) was added to quench the reaction. Solvent was removed under *vacuo*, residue was purified flash

column chromatography (EtOAc-hexane, 3%) to afford 480 mg product as a white solid with 33% yield.

$^1\text{H}$  NMR ( $\text{CDCl}_3$ , 500MHz)  $\delta$ = 7.35 (d,  $J$ =4.5 Hz, 4H), 7.28 (m, 1H), 5.46 (m, 1H), 5.40 (m, 1H), 4.53 (s, 2H), 3.67 (s, 3 H), 3.48 (t,  $J$ =7 Hz, 2H), 2.35 (m, 3H), 2.02 (m, 2H), 1.62 (m, 2.27H), 1.26 (s, 21H)

$^{13}\text{C}$  NMR ( $\text{CDCl}_3$ , 125 MHz)  $\delta$ = 174.30, 138.60, 132.69, 132.10, 128.33, 127.60, 127.48, 126.16, 125.37, 72.85, 70.33, 70.08, 51.39, 34.12, 33.10, 32.66, 29.64, 29.31, 29.57, 29.46, 29.26, 29.17, 27.99, 27.36, 24.97

**Methyl 18-hydroxyoctadecanoate (14).** Methyl 18-(benzyloxy)octadec-15-enoate (480 mg, 1.2 mmol) was dissolved in toluene 10mL and added Pd/C 100 mg. The mixture was stirred under hydrogen gas at r.t. for 24 h. Pd/C was filtered out. Solvent was removed under *vacuo*, residue was purified by flash column chromatography (EtOAc-hexane, 10%) to afford 460 mg product with 96% yield.

$^1\text{H}$  NMR ( $\text{CDCl}_3$ , 500 MHz)  $\delta$ = 3.67 (s, 3H), 3.64 (t,  $J$ =7 Hz, 2H), 2.302 (t,  $J$ =7.5 Hz, 2H), 2.59 (m, 4. H), 1.25 (s, 28H)

$^{13}\text{C}$  NMR ( $\text{CDCl}_3$ , 125 MHz)  $\delta$ = 174.33, 63.07, 51.40, 34.12, 32.82, 29.64, 29.62, 29.60, 29.58, 29.43, 29.24, 29.15, 25.74, 24.96

**Methyl 18-fluorooctadecanoate (15).** Methyl 18-hydroxyoctadecanoate (460 mg, 1.2 mmol) was dissolved in dry THF and cooled to  $-78\text{ }^\circ\text{C}$ . Diethylaminosulfur trifluoride (DAST, 280 mg, 1.75 mmol) was added dropwise. The mixture was stirred at  $-78\text{ }^\circ\text{C}$  for 2 h and allowed to reach r.t. and stirred overnight. After the reaction was complete, methanol 2 ml was added to quench. Solvent was removed and residue was purified by

flash column chromatography (EtOAc-hexane, 5 %) to give 292 mg product with 77 % yield.

$^1\text{H}$  NMR ( $\text{CDCl}_3$ , 500 MHz)  $\delta$ = 4.44 (d of t,  $J_1=48$  Hz,  $J_2=6$  Hz, 2H), 3.67 (s, 3H), 2.30 (t,  $J=8$  Hz, 2H), 1.66 (m, 4H), 1.25 (s, 30H)

$^{13}\text{C}$  NMR ( $\text{CDCl}_3$ , 125 MHz)  $\delta$ = 174.28, 84.83, 83.56, 51.37, 34.10, 31.57, 30.49, 30.33, 29.64, 29.62, 29.54, 29.44, 29.24, 29.15, 25.16, 25.12, 24.96, 22.63, 14.07

$^{19}\text{F}$  NMR ( $\text{CDCl}_3$ , 470 MHz)  $\delta$ = -218.4 (t of t,  $J_1=48$  Hz,  $J_2=24$  Hz)

**18-fluorooctadecanoic acid (16).** Methyl 18-fluorooctadecanoate (188 mg, 0.59 mmol) was treated with KOH in MeOH (2 M, 5 mL) and stirred at r.t. overnight. Solvent was evaporated. The residue was acidified with 1 M HCl to pH 2. The precipitate was collected by vacuum filtration and dried under *vacuo* and afforded 153 mg product (86%). The product was confirmed pure by NMR and directly used for next step.

$^1\text{H}$  NMR ( $\text{CDCl}_3$ , 500 MHz)  $\delta$ = 4.44 (d of t,  $J_1=47$  Hz,  $J_2=7$  Hz, 2H), 2.35 (t,  $J=8$  Hz, 2H), 1.67 (m, 5H), 1.26 (s, 29H)

$^{19}\text{F}$  NMR ( $\text{CDCl}_3$ , 470 MHz)  $\delta$ = -218.4

**N-succinimidyl 18-fluorostearate (17).** Hydroxysuccinimide (75 mg, 0.65 mmol) and 18-fluorostearic acid (153 mg, 0.50 mmol) was dissolved in 5 ml anhydrous THF and added DCC (207 mg, 1.0 mmol) at 0 °C, then stirred at r.t. overnight. The precipitate was removed by filtration. Solvent was removed under *vacuo*, and residue was purified by flash column chromatography (EtOAc-hexane, 15 to 20%). The product was collected as a white powder, with a yield of 90 mg (45 %).

$^1\text{H}$  NMR ( $\text{CDCl}_3$ , 500 MHz)  $\delta$ = 4.44 (d of t,  $J_1=48$  Hz,  $J_2=6$  Hz, 2H), 2.83 (s, 4H), 2.60 (t,  $J=8$  Hz, 2H), 1.70 (m, 4H), 1.39 (t,  $J=7$  Hz, 4H), 1.26 (s, 21H)

$^{13}\text{C}$  NMR ( $\text{CDCl}_3$ , 125 MHz)  $\delta$ = 169.13, 168.67, 84.88, 83.58, 30.95, 30.49, 30.34, 29.64, 29.61, 29.54, 29.51, 29.34, 29.24, 29.08, 28.79, 25.59, 25.16, 25.12, 24.58

$^{19}\text{F}$  NMR ( $\text{CDCl}_3$ , 470 MHz)  $\delta$ = -218.4

**18-F-GM1 (18).** N-succinimidyl 18-trifluorostearate (35 mg, 87  $\mu\text{mol}$ ) and lysoGM1 **4** (60 mg, 48  $\mu\text{mol}$ ) was suspended in 8 ml dry DMF and added 10  $\mu\text{l}$  diisopropylethyl amine. The mixture was stirred at r.t. for 12 h. Solvent was removed under *vacuo* and residue was purified using flash column chromatography ( $\text{CHCl}_3$ -MeOH- $\text{H}_2\text{O}$ , 60:40:5, v/v/v) to give 18-fluoro-GM1 as a white powder (38 mg, 52%).

ESI-MS:  $m/z$  [ $\text{C}_{73}\text{H}_{130}\text{FN}_3\text{O}_{31}\text{-H}$ ] $^-$  calcd: 1562.9, found: 1562.6;  $m/z$  [ $\text{C}_{75}\text{H}_{134}\text{FN}_3\text{O}_{31}\text{-H}$ ] $^-$  calcd: 1590.9, found: 1590.6.

HRMS-ESI:  $m/z$  [ $\text{C}_{73}\text{H}_{130}\text{FN}_3\text{O}_{31}\text{+Na}$ ] $^+$  calcd: 1586.8565, found: 1586.8460;  $m/z$  [ $\text{C}_{75}\text{H}_{134}\text{FN}_3\text{O}_{31}\text{+Na}$ ] $^+$  calcd: 1614.8883, found: 1614.8820.

$^1\text{H}$  NMR ( $\text{CDCl}_3$ , 500 MHz)  $\delta$ = 5.68 (d of t, 1H), 5.44 (d of d, 1H), 4.92 (d, 1H), 4.45 (d, 1H), 4.41 (d, 1H), 4.35 (t, 1H), 4.29 (d, 1H), 4.19 (d, 1H), 4.15 (s, 1H), 4.07 (t, 1H), 4.00 (s, 2H), 3.97 (m, 1H), 3.87 (m, 5H), 3.76 (m, 2H), 3.69 (m, 6H), 3.53 (m, 5H), 3.40 (m, 3H), 3.27 (s, 1H), 2.74 (m, 1H), 2.17 (t, 1H), 2.00 (s, 3H), 1.99 (s, 3H), 1.91 (t, 1H), 1.69 (m, 2H), 1.57 (b, 2H), 1.38 (b, 3H), 1.29 (b, 35H), 0.90 (t, 3H)

$^{19}\text{F}$  NMR ( $\text{CDCl}_3$ , 470 MHz)  $\delta$ = -218.4 (m)

**Methyl 12-hydroxyoctadecanoate (19).** The 12-hydroxyoctadecanoic acid (0.60 g, 2.0 mmol) was treated with Dovex-H<sup>+</sup> (100 mg) in 10 ml anhydrous methanol. After the reaction was complete, Dovex-H<sup>+</sup> resin were filtered, and solvent was removed under *vacuo*. The product was directly used for the next step.

<sup>1</sup>H NMR (CDCl<sub>3</sub>, 500 MHz)  $\delta$  = 3.66 (s, 3H), 3.58 (s, 1H), 2.30 (m, 2H), 2.02 (s, 1H), 1.61 (m, 2H), 1.42 (m, 4H), 1.27 (b, 22H), 0.88 (t, 3H)

<sup>13</sup>C NMR (CDCl<sub>3</sub>, 125 MHz)  $\delta$  = 174.34, 72.07, 51.41, 37.49, 37.47, 31.11, 31.84, 29.68, 29.57, 29.49, 29.40, 29.37, 29.22, 29.13, 25.63, 25.61, 24.95, 22.61, 14.06

**Methyl 12-fluorooctadecanoate (20).** Methyl 12-hydroxyoctadecanoate obtained above was dissolved in 30 mL dry THF and cooled down to -78 °C. DAST (0.36g, 2.2 mmol) was added dropwise at the same temperature. The mixture was stirred at -78 °C for 2 h and allowed to reach r.t. for 12 h. Methanol 5 ml was added to quench the reaction. Solvent was removed under *vacuo* and residue was purified by flash column chromatography (EtOAc-hexane, 5 %) to give 310 mg product with 52 % yield for 2 steps.

<sup>1</sup>H NMR (CDCl<sub>3</sub>, 500 MHz)  $\delta$ = 4.45 (m, 1H), 3.67 (s, 3H), 2.30 (t, 2H, *J* = 8 Hz), 1.62 (m, 4H), 1.53 (m, 2H), 1.46 (m, 2H), 1.28 (b, 20H), 0.89 (t, 3H)

<sup>13</sup>C NMR (CDCl<sub>3</sub>, 125 MHz)  $\delta$ = 174.31, 94.59 (d, *J* = 33 Hz), 51.41, 35.26, 35.09, 34.11, 31.75, 29.50, 29.40, 29.23, 29.18, 29.14, 25.14, 25.11, 25.08, 24.95, 22.58, 14.05

<sup>19</sup>F NMR (CDCl<sub>3</sub>, 470 MHz)  $\delta$ = -180.46

**12-fluorooctadecanoic acid (21).** Methyl 12-fluorooctadecanoate (250 mg, 0.79 mmol) was treated with KOH in MeOH (2 M, 5 mL) and stirred at r.t. overnight. Solvent was evaporated. The residue was acidified with 1 M HCl to pH 2. The precipitate was collected by vacuum filtration and dried under *vacuo* and afforded 225 mg product (94 %). The product was confirmed pure by NMR and directly used for next step.

$^1\text{H}$  NMR ( $\text{CDCl}_3$ , 500 MHz)  $\delta$ = 4.45 (m, 1H), 2.35 (t, 2H), 1.7-1.4 (m, 8H), 1.28 (b, 20H), 0.89 (t, 3H)

$^{13}\text{C}$  NMR ( $\text{CDCl}_3$ , 125 MHz)  $\delta$ = 179.37, 94.61, 35.26, 35.10, 33.92, 31.75, 29.49, 29.38, 29.21, 29.18, 29.05, 25.14, 25.11, 25.08, 24.68, 22.58, 14.05

$^{19}\text{F}$  NMR ( $\text{CDCl}_3$ , 470 MHz)  $\delta$ = -180.40

**N-succinimidyl 12-fluorooctadecanoate (22).** Hydroxysuccinimide (123 mg, 1.07 mmol) and 12-fluorooctadecanoic acid (215 mg, 0.71 mmol) was dissolved in 2 ml anhydrous THF and added DCC (293 mg, 1.42 mmol) at 0 °C, then stirred at r.t. overnight. The precipitate was filtered. Solvent was removed under *vacuo*, and residue was purified by flash column chromatography (EtOAc-hexane, 15 to 20%). The product was collected as a white powder, with a yield of 225 mg (79 %).

$^1\text{H}$  NMR ( $\text{CDCl}_3$ , 500 MHz)  $\delta$  = 4.55-4.37 (m, 1H), 2.83 (s, 4H), 2.60 (m, 2H), 1.75 (m, 2H), 1.60 (m, 2H), 1.52-1.37 (m, 6H), 1.28 (b, 18H), 0.89 (t, 3H)

$^{13}\text{C}$  NMR ( $\text{CDCl}_3$ , 125 MHz)  $\delta$  = 169.13, 168.67, 94.61, 35.26, 35.10, 31.75, 30.95, 29.49, 29.47, 29.42, 29.30, 29.18, 29.05, 28.77, 25.60, 25.11, 24.58, 22.58, 14.05

$^{19}\text{F}$  NMR ( $\text{CDCl}_3$ , 470 MHz)  $\delta$  = -180.42

**12-F-GM1 (23).** N-succinimidyl 12-fluorooctadecanoate (20 mg, 50  $\mu\text{mol}$ ) and lysoGM1 **4** (32 mg, 25  $\mu\text{mol}$ ) was suspended in 0.5 ml dry DMF and added 10 ml diisopropylethyl amine. The mixture was stirred at r.t. for 12 hrs. Solvent was removed under *vacuo* and residue was purified using flash column chromatography ( $\text{CHCl}_3$ -MeOH- $\text{H}_2\text{O}$ , 60:40:5, v/v/v) to give 12-F-GM1 as a white powder (18 mg, 35%).

$^1\text{H-NMR}$  ( $\text{CD}_3\text{OD}$ , 500MHz)  $\delta$ = 5.68 (d of t, 1H), 5.44 (d of d, 1H), 4.92 (d, 1H), 4.55-4.37 (m, CHF, 1H), 4.44 (d, 1H), 4.42 (d, 1H), 4.29 (d, 1H), 4.18 (m, 3H), 4.04 (t, 1H), 4.01 (t, 2H), 3.39 (m, 1H), 3.87 (m, 6H), 3.77 (m, 2H), 3.71 (t, 3H), 3.68 (m, 3H), 3.53 (m, 7H), 3.41 (m, 4H), 3.34 (d, 1H), 3.27 (m, 1H), 2.73 (m, 1H), 2.17 (t, 2H), 2.01 (s, 3H), 1.99 (s, 3H), 1.91 (t, 1H), 1.57 (m, 5H), 1.46 (m, 3H), 1.31 (b, 46H), 0.90 (m, 6H)

$^{19}\text{F NMR}$  ( $\text{CDCl}_3$ , 470 MHz)  $\delta$ = -182.045, -182.052

ESI-MS:  $m/z$  [ $\text{C}_{73}\text{H}_{130}\text{FN}_3\text{O}_{31} + \text{H}$ ] $^+$  calcd: 1564.9, found: 1565.0;  $m/z$  [ $\text{C}_{75}\text{H}_{134}\text{FN}_3\text{O}_{31} + \text{H}$ ] $^+$  calcd: 1592.9, found: 1593.0.

HRMS-ESI:  $m/z$  [ $\text{C}_{73}\text{H}_{130}\text{FN}_3\text{O}_{31} + \text{Na}$ ] $^+$  calcd: 1586.8565, found: 1586.8490;  $m/z$  [ $\text{C}_{75}\text{H}_{134}\text{FN}_3\text{O}_{31} + \text{Na}$ ] $^+$  calcd: 1614.8878, found: 1614.8793.

**C6F13-GM1 (24).** LysoGM1 **4** (120 mg, 94  $\mu\text{mol}$ ) and NHS activated C6F13-containing stearic acid (83 mg, 141 $\mu\text{mol}$ ) were suspended in 5 ml dry DMF, and added DIEA 0.10 ml at 0  $^\circ\text{C}$  to give a clear solution. The mixture was stirred at r.t. overnight. Solvent was removed under *vacuo*. The residue was purified by flash column chromatography ( $\text{CHCl}_3$ -MeOH- $\text{H}_2\text{O}$ , 60:40:5, v/v/v) to give 110 mg (67%) of pure product.

$^1\text{H-NMR}$  ( $\text{CD}_3\text{OD}$ , 500MHz)  $\delta$ = 5.68 (d of t, 1H), 5.44 (d of d, 1H), 4.92 (d, 1H), 4.59 (s, 0.5H), 4.44 (d, 1H), 4.41 (d, 1H), 4.29 (d, 1H), 4.42 (s, 0.5H), 4.15 (m, 2H), 4.07 (t, 1H), 4.00 (s, 2H), 3.96 (m, 1H), 3.89 (m, 3H), 3.83 (m, 3H), 3.76 (m, 2H), 3.68 (m, 6H), 3.53 (m, 7H), 3.40 (m, 3H), 3.35 (s, 3H), 2.71 (m, 1H), 2.67 (s, 1H), 2.17 (t, 2H), 2.10 (m, 1H), 2.00 (s, 3H), 1.99 (s, 3H), 1.91 (t, 1H), 1.58 (m, 3H), 1.28 (b, 30 H), 0.90 (t, 3H)

$^{19}\text{F NMR}$  ( $\text{CDCl}_3$ , 470 MHz)  $\delta$  = -82.88, -115.83, -123.43, -124.38, -124.98, -127.80

ESI-MS:  $m/z$  [ $\text{C}_{71}\text{H}_{114}\text{F}_{13}\text{N}_3\text{O}_{31} - \text{H}$ ] $^-$  calcd: 1750.7, found: 1750.5;  $m/z$  [ $\text{C}_{73}\text{H}_{118}\text{F}_{13}\text{N}_3\text{O}_{31} - \text{H}$ ] $^-$  calcd: 1778.8, found: 1778.6.

HRMS-ESI:  $m/z$  [ $\text{C}_{71}\text{H}_{114}\text{F}_{13}\text{N}_3\text{O}_{31} + \text{Na}$ ] $^+$  calcd: 1774.7121, found: 1774.7063;  $m/z$  [ $\text{C}_{73}\text{H}_{118}\text{F}_{13}\text{N}_3\text{O}_{31} + \text{Na}$ ] $^+$  calcd: 1802.7439, found: 1802.7372.

**9,9,10,10,11,11,12,12,13,13,14,14,14-tridecafluorotetradec-1-ene (25)**. Octadiene (4.4 g, 0.4 mol) and tridecafluorohexyl iodide (8.8 g, 0.2 mol) were mixed with AIBN (0.2 g, 1.2 mmol) in a thick-walled flask and purged with argon and heated at 70°C for 24 h. The mixture was then transferred to a round bottom flask charged with 40 mL glacial acetic acid and 4.0 g zinc powder and stirred at rt for 24 h. Zinc powder was removed through celite. Solvent was removed under *vacuo* and residue was distilled under reduced pressure. The crude product was collected between 45-55°C and further purified through flash column chromatography with pentane to give a colorless liquid (4.1g, 30%).

$^1\text{H NMR}$  ( $\text{CDCl}_3$ , 500 MHz):  $\delta$  = 5.80 (m, 1H), 4.98 (m, 2H), 2.04 (m, 4H), 1.61 (m, 2H), 1.41 (m, 6H)

$^{13}\text{C}$  NMR ( $\text{CDCl}_3$ , 125 MHz):  $\delta = 138.80, 118.46, 117.24, 114.42, 111.11, 110.30, 108.59, 108.43, 33.60, 30.89, 28.94, 28.64, 28.60, 20.06$

$^{19}\text{F}$  NMR ( $\text{CDCl}_3$ , 470 MHz):  $\delta = -81.26, -114.87, -122.43, -123.36, -124.05, -126.61$

**9,9,10,10,11,11,12,12,13,13,14,14,14-tridecafluorotetradecan-1-ol (26):**

Tridecafluorotetradec-1-ene **25** (4.0 g, 9.3 mmol) was dissolved in 50 mL anhydrous THF and cooled to 0 °C. Borane in THF (1.03 M, 3.1 mL, 3.1 mmol) was added dropwise and the reaction was allowed to warm up to r.t. and stirred for 2 h. The mixture was cooled to 0 °C again and added MeOH 5 mL, NaOH (20%) 5 mL and H<sub>2</sub>O<sub>2</sub> (30%) 5 mL. The mixture was stirred at r.t. for 2 h and acidified with 1 M HCl to pH 3~4 and diluted with water, then extracted with ether (30 mL  $\times$  3), washed with water, brine, dried on anhydrous Na<sub>2</sub>SO<sub>4</sub>. After removal of the solvent, the residue was purified through flash column chromatography (EtOAc-Hexane 5% to 15% to 20%) to afford the pure product as a colorless liquid (2.65g, 64%).

$^1\text{H}$  NMR ( $\text{CDCl}_3$ , 500 MHz):  $\delta = 3.64$  (q, 2H), 2.05 (m, 2H), 1.59 (m, 4H), 1.35 (b, 8H)

$^{13}\text{C}$  NMR ( $\text{CDCl}_3$ , 125 MHz):  $\delta = 118.46, 117.22, 111.11, 110.29, 108.58, 108.42, 62.97, 32.70, 30.87, 29.16, 29.14, 29.02, 25.64, 20.07$

$^{19}\text{F}$  NMR ( $\text{CDCl}_3$ , 470 MHz):  $\delta = -81.25$  (t), -114.87 (t), -122.43 (s), -123.37 (s), -124.05 (s), -126.62 (s)

**14-bromo-1,1,1,2,2,3,3,4,4,5,5,6,6-tridecafluorotetradecane (27).** Tridecafluorotetradecanol (1.54 g, 3.44 mmol) and red phosphorus (200 mg, 6.45 mmol) was suspended in 25 mL dry dichloromethane and added bromine (1.0 g, 6.25 mmol) dropwise at 0 °C. The

mixture was allowed to stir at r.t. for 24 h. The reaction was quenched with aq. Na<sub>2</sub>CO<sub>3</sub> (1 M, 5 mL), extracted with ether (30 mL × 3), washed with water, brine, dried on anhydrous Na<sub>2</sub>SO<sub>4</sub>. After solvent removed, the residue was purified through flash column chromatography with hexane to give the pure product as a colorless liquid (0.82 g, 47%).

<sup>1</sup>H NMR (CDCl<sub>3</sub>, 500 MHz): δ = 3.41 (t, 2H, *J* = 6.8 Hz), 2.05 (m, 2H), 1.86 (m, 2H), 1.60 (m, 2H), 1.35 (m, 8H)

<sup>13</sup>C NMR (CDCl<sub>3</sub>, 125 MHz): δ = 118.44, 117.23, 111.11, 110.23, 108.56, 108.42, 33.80, 32.71, 30.88, 29.02, 28.98, 28.49, 28.04, 20.07

<sup>19</sup>F NMR (CDCl<sub>3</sub>, 470 MHz): δ = -81.25, -114.87, -122.42, -123.36, -124.04, -126.62

**9,9,10,10,11,11,12,12,13,13,14,14,14-tridecafluorotetradecanyl triphenylphosphonium bromide (28).** Tridecafluorotetradecanyl bromide **27** (0.82g, 1.60 mmol) and triphenylphosphine (1.40g, 5.34mmol) were dissolved in 50 mL dry acetonitrile and heated at reflux for 24 h. Solvent was removed under *vacuo* and residue was washed with dry ether (15 mL × 3) to give pure product as a white solid (0.92 g, 74%).

<sup>1</sup>H NMR (CDCl<sub>3</sub>, 500 MHz): δ = 7.87 (m, 6H), 7.79 (m, 3H), 7.70 (m, 6H), 3.91 (t, 2H), 2.00 (m, 2H), 1.67 (m, 4H), 1.53 (m, 2H), 1.28 (m, 6H)

<sup>13</sup>C NMR (CDCl<sub>3</sub>, 125 MHz): δ = 134.87, 133.75, 130.42, 128.50, 118.96, 118.28, 30.29, 30.16, 28.96, 28.90, 28.79, 22.69

<sup>19</sup>F NMR (CDCl<sub>3</sub>, 470 MHz): δ = -81.25 (t), -114.87 (m), -122.42 (s), -123.36(s), -124.04(s), -126.61 (m)

**C6F13-GM1-CHO (29).** C6F13-GM1 **24** (250 mg, 0.14 mmol) was dissolved in CHCl<sub>3</sub>/MeOH (1:1) 30 mL and cooled to -78°C. The solution was bubbled with ozone for 10 min to give a blue solution, followed by oxygen bubbling to remove the remaining ozone until blue color disappeared completely. Triphenylphosphine (370 mg, 1.4 mmol) was added and stirred at r.t. for 48 h. Solvent was removed under *vacuo*. The residue was purified by flash chromatography (CHCl<sub>3</sub>-MeOH-H<sub>2</sub>O, 60:40:9) to give 160 mg product with 71% yield.

<sup>19</sup>F NMR (CDCl<sub>3</sub>, 470 MHz) δ = -81.25, -114.85, -122.41, -123.36, -124.03, -126.61

ESI-MS: *m/z* [C<sub>57</sub>H<sub>86</sub>F<sub>13</sub>N<sub>3</sub>O<sub>32</sub> - H]<sup>-</sup> calcd: 1570.5, found: 1569.1

HRMS-ESI: *m/z* [C<sub>57</sub>H<sub>86</sub>F<sub>13</sub>N<sub>3</sub>O<sub>32</sub> + Na]<sup>+</sup> calcd: 1594.4879, found: 1594.4804

**C6F13-GM1-CHO Peracetate (30).** C6F13-GM1 aldehyde **29** (35 mg, 22 μmol) was dissolved in 20 mL 25% acetic anhydride in pyridine and stirred at r.t. for 24 h. Solvent was removed under *vacuo* and residue was purified by preparative TLC plate with ethyl acetate. The yield was 35 mg (77%).

<sup>19</sup>F NMR (CDCl<sub>3</sub>, 470 MHz) δ = -81.24, -114.86, -122.41, -123.35, -124.03, -126.59

ESI-MS: *m/z* [C<sub>89</sub>H<sub>118</sub>F<sub>13</sub>N<sub>3</sub>O<sub>48</sub> - H]<sup>-</sup> calcd: 2242.7, found: 2242.0

**Di-C6F13-GM1 (31).** Tridecafluorotetradecanyl triphenylphosphonium bromide **28** (80 mg, 100 μmol) was suspended in 5 mL freshly dried THF and added nBuLi (1.6 M, 200 μL, 280 μmol) dropwise at -78 °C, then stirred at r.t. for 0.5 h. C6F13-GM1-CHO Peracetate **30** (35 mg, 15 μmol) was added at -78 °C and the mixture was stirred at r.t.

for 48 h. The mixture was quenched with 1 mL MeOH for 2 h. Solvent was removed under *vacuo*. The residue was purified through flash column chromatography (CHCl<sub>3</sub>-MeOH-H<sub>2</sub>O 60:40:5 v/v/v) to give 6 mg product with 20% yield. However, the product still contained trace amount of C6F13-GM1, which will be further purified through Fluorous SPE cartridge.

*FPE cartridge purification.* The new FPE cartridge was rinsed with 2 mL DMF and then washed with 10 mL MeOH/H<sub>2</sub>O (80%) and 10 mL MeOH/H<sub>2</sub>O (20%). Di-C6F13GM1 crude mixture was loaded to column with 0.5 mL DMF then washed with 10 mL 20% MeOH/H<sub>2</sub>O to elute all non-fluorous compounds. The column was then washed with 80% MeOH/H<sub>2</sub>O 10 mL to elute C6F13-GM1, and finally MeOH 10 mL to get Di-C6F13-GM1. The Di-C6F13-GM1 was obtained as a whit solid of 4 mg (67% recovery).

<sup>19</sup>F NMR (CDCl<sub>3</sub>, 470 MHz)  $\delta$  = -82.90, -115.83, -123.42, -124.38, -124.99, -127.80

ESI-MS: *m/z* [C<sub>71</sub>H<sub>101</sub>N<sub>3</sub>O<sub>31</sub> F<sub>26</sub> - H]<sup>-</sup> calcd: 1984.6, found: 1984.2

HRMS-ESI: *m/z* [C<sub>71</sub>H<sub>101</sub>N<sub>3</sub>O<sub>31</sub> F<sub>26</sub> + Na]<sup>+</sup> calcd: 2008.5896, found: 2008.5879

**Methyl 6-hydroxy-hexanoate (32).** Caprolactone (5.0 g, 44 mmol) was dissolved in 40 mL dry methanol and added sulfuric acid 2.5 mL dropwise. The solution was heated at reflux for 2 h and cooled to rt. Ether 70 mL was added to the mixture and washed with brine, sat. NaHCO<sub>3</sub>, brine, and dried over dry Na<sub>2</sub>SO<sub>4</sub>. Solvent was removed under *vacuo* to give 4.5 g of product as a colorless oil (70% yield), which was used directly in the next step.

$^1\text{H}$  NMR ( $\text{CDCl}_3$ , 500 MHz):  $\delta$  = 3.68 (s, 3H), 3.65 (t, 2H), 2.24 (t, 2H), 1.65 (m, 4H), 1.40 (m, 2H)

**Methyl 6-oxohexanoate (33).** Methyl 6-hydroxy-hexanoate (2.0 g, 13.7 mmol) was dissolved in 150 mL of dry dichloromethane and added pyridium dichromate (10.2 g, 27.1 mmol) and stirred at r.t. for 24 h. The solid was removed by centrifugation and solvent removed under *vacuo*. The residue was purified by flash column chromatography (EtOAc-Hexane 15%) to give 1.12 g pure product (yield of 56%) as colorless oil.

$^1\text{H}$  NMR ( $\text{CDCl}_3$ , 500 MHz):  $\delta$  = 9.77 (s, 1H), 3.67 (s, 3H), 2.47 (t, 2H), 2.35 (t, 2H), 1.67 (m, 4H)

$^{13}\text{C}$  NMR ( $\text{CDCl}_3$ , 125 MHz):  $\delta$  = 202.17, 173.88, 51.77, 43.71, 33.91, 24.59, 21.75

**3,3,4,4,5,5,6,6,7,7,8,8,8-tridecafluorooctyl triphenylphosphium iodide (34).** 3,3,4,4,5,5,6,6,7,7,8,8,8-tridecafluorooctyl iodide (0.95 g, 2.0 mmol) and triphenylphosphine (1.05 g, 4.0 mmol) was dissolved in 15 mL dry acetonitrile and heated at reflux for 24 h. Solvent was removed under *vacuo* and residue was washed with dry ether (10 mL  $\times$  3) to give pure product 0.76 g (38% yield), which was used directly for next step.

$^1\text{H}$  NMR ( $\text{CDCl}_3$ , 500 MHz):  $\delta$  = 7.89 (m, 9H), 7.76 (m, 6H), 4.14 (m, 2H), 2.59 (m, 2H)

$^{13}\text{C}$  NMR ( $\text{CDCl}_3$ , 125 MHz)  $\delta$  = 137.46, 137.37, 135.90, 135.88, 134.11, 134.03, 133.99, 133.84, 131.09, 130.99, 128.88, 128.69, 128.63, 117.40, 116.70, 24.94, 16.46

$^{19}\text{F}$  NMR ( $\text{CDCl}_3$ , 470 MHz)  $\delta$  = -80.78, -114.24, -121.91, -122.84, -123.53, -126.11

**Methyl 9,9,10,10,11,11,12,12,13,13,14,14,14-tridecafluorotetradec-6-enoate (35).**

Tridecafluorooctyl triphenylphosphium iodide **34** (0.74 g, 1.0 mmol) was dissolved in 20 mL dry THF and added *n*-BuLi in hexane (1.6M, 0.62 mL, 2.0 mmol) dropwise at -78 °C. The mixture was stirred for 20 min at r.t. to give a dark red solution and added methyl 6-oxohexanoate **33** (158 mg, 1.1 mmol) and stirred at r.t. for 48 h. The mixture was quenched with methanol (2 ml). Solvent was removed under *vacuo* and residue was purified by flash column chromatography (EtOAc-hexane 2.5%) to give 235 mg of product (50% yield) as a colorless oil.

<sup>1</sup>H NMR (CDCl<sub>3</sub>, 500 MHz): δ = 5.74 (m, 1H), 5.43 (m, 1H), 3.67 (s, 3H), 2.54 (d of t, 2H), 2.32 (t, 2H), 2.09 (t, 2H), 1.65 (m, 2H), 1.42 (m, 2H)

<sup>13</sup>C NMR (CDCl<sub>3</sub>, 125 MHz): δ = 173.92, 136.54, 115.97, 51.45, 33.83, 29.46, 28.58, 27.04, 24.45

<sup>19</sup>F NMR (CDCl<sub>3</sub>, 470 MHz): δ = -81.27, -113.61, -122.43, -123.37, -123.57, -126.63

**Methyl 9,9,10,10,11,11,12,12,13,13,14,14,14-tridecafluorotetradecanoate (36).** Methyl tridecafluorotetradec-6-enoate **35** (235 mg, 0.49 mmol) was dissolved in 15 mL toluene and added Pd/C 50 mg. The solution was purged with H<sub>2</sub> gas and irrigated with microwave (80 °C, 250 Watts) under H<sub>2</sub> gas for 2 h. Solvent was removed under *vacuo* and residue was filtered through a short silica gel column to give 217 mg pure product (92%) as colorless oil.

<sup>1</sup>H NMR (CDCl<sub>3</sub>, 500 MHz): δ = 3.67 (s, 3H), 2.31 (m, 2H), 2.05 (m, 2H), 1.62 (m, 4H), 1.35 (b, 6H)

$^{13}\text{C}$  NMR ( $\text{CDCl}_3$ , 125 MHz):  $\delta = 174.36, 51.67, 34.22, 31.11, 29.11, 29.07, 25.02, 20.28$

$^{19}\text{F}$  NMR ( $\text{CDCl}_3$ , 470 MHz):  $\delta = -81.27, -114.87, -122.43, -123.36, -124.49, -126.61$

**9,9,10,10,11,11,12,12,13,13,14,14,14-tridecafluorotetradecanal (37).** Methyl tridecafluorotetradecanoate **36** (217 mg, 0.46 mmol) was dissolved in 10 mL dry toluene and cooled to  $-78\text{ }^\circ\text{C}$ . DIBAL (1.2M in toluene, 0.53 ml, 0.64 mmol) was added dropwise and stirred at  $-78\text{ }^\circ\text{C}$  for 10 min. The mixture was quenched with 2 ml methanol. Sodium hydroxide (1 M, 5 mL) was added to give a clear solution. The solution was extracted with ether (10 ml  $\times$  3) and washed with brine, dried on dry sodium sulfate. Solvent was removed under *vacuo* and residue was purified by flash column chromatography (EtOAc-hexane 10%) to give 182 mg product (88% yield) as colorless oil.

$^1\text{H}$  NMR ( $\text{CDCl}_3$ , 500 MHz):  $\delta = 9.77$  (s, 1H), 2.44 (m, 2H), 2.05 (m, 2H), 1.63 (m, 4H), 1.36 (b, 6H)

$^{13}\text{C}$  NMR ( $\text{CDCl}_3$ , 125 MHz):  $\delta = 202.72, 44.04, 31.11, 29.20, 29.11, 22.16, 20.30$

$^{19}\text{F}$  NMR ( $\text{CDCl}_3$ , 470 MHz):  $\delta = -81.26, -114.88, -122.43, -123.37, -124.05, -126.61$

**(E)-11,11,12,12,13,13,14,14,15,15,16,16,16-tridecafluorohexadec-2-ene (38).** Ethyl triphenylphosphium (257 mg, 0.61 mmol) was suspended in 8 ml dry THF and cooled to  $-78\text{ }^\circ\text{C}$ . *N*-butyl lithium in hexane (1.6 M, 0.44 mL, 0.7 mmol) was added dropwise and stirred at r.t. for 20 min to give a dark red solution. Then 9,9,10,10,11,11,12,12,13,13,14,14,14-tridecafluorotetradecanal **37** (182 mg, 0.41 mmol) in 2 ml dry THF was added dropwise at  $-78\text{ }^\circ\text{C}$  and the mixture was stirred at r.t. for 48 h. Methanol 2 ml was added to quench the reaction. Diethyl ether 10 mL was added into the

mixture, and washed with water and brine, dried on sodium sulfate. Solvent was removed under *vacuo* and residue was purified by flash column chromatography with hexane to give 103 mg product (52% yield) as a colorless oil.

$^1\text{H}$  NMR ( $\text{CDCl}_3$ , 500 MHz):  $\delta$  = 5.41 (m, 2H), 2.03 (m, 4H), 1.61 (m, 5H), 1.33 (b, 8H)

$^{13}\text{C}$  NMR ( $\text{CDCl}_3$ , 125 MHz):  $\delta$  = 131.67, 130.88, 124.98, 124.03, 32.71, 31.14, 29.66, 29.31, 26.97, 20.32, 18.10, 12.94

$^{19}\text{F}$  NMR ( $\text{CDCl}_3$ , 470 MHz):  $\delta$  = -81.26, -114.88, -122.43, -123.37, -124.06, -126.61

**Truncated C6F13-GM1 (39).** C6F13-GM1 **24** (1.0 mg, 0.57  $\mu\text{mol}$ ) and Grubbs-Hoveyda catalyst 2<sup>nd</sup> generation 1.0 mg was dissolved in 1 mL methanol-toluene (1:1) and purged with *cis*-2-butene gas until saturation. The mixture was irradiated with microwave under *cis*-2-butene (40 °C, 10 Watts, 200 psi) for 2 h. Truncated C6F13-GM1 **39** was confirmed by ESI-MS as a major product.

**Di-C6F13-GM1 (31, by olefin metathesis):** Truncated C6F13-GM1 **39** (1.0 mg, 0.63  $\mu\text{mol}$ ) and Grubbs-Hoveyda II catalyst 1.0 mg was dissolved in 1 mL methanol/toluene (1:1), then added C6F13-olefin **38** (1.0 mg, 2.2  $\mu\text{mol}$ ). The mixture was irradiated with microwave (40 °C, 10 Watts, 200 psi) for 12 h. Di-C6F13-GM1 was confirmed by MALDI-TOF as a major product. However, a by-product with an additional  $\text{CH}_2$  group was observed and it was proven impossible to avoid in the reaction or purified after the reaction.

## 2.5 Conclusions

The lipid rafts model has been widely accepted to describe the formation of microdomains on the plasma membranes. However, the investigation of lipid rafts is asking for breakthrough in detecting probes and technologies. We have developed a new method to study lipid interactions and dynamic behavior of lipid molecules in the membrane, by using a fluorinated ganglioside GM1 marker to increase the sensitivity in SIMS profile.

The ganglioside GM1 was derived from bovine brain tissues by modified purification procedure of extraction and flash column chromatography, and gram scale of GM1 for synthetic labeling. The modification of fluorinated GM1 focused on the fatty acid chain and sphingosine chain in order to avoid disturbing hydrophilicity of oligosaccharide and self-assembly of GM1. The amide bond of fatty acid chain was cleaved by alkaline hydrolysis followed by selective protection and deprotection to expose only one free amine for coupling. Coupling of NHS activated F-stearic acid with lyso-GM1 readily produced a series of F-GM1 analogues. The steric difference between oligosaccharide and sphingosine was employed to obtain the selectivity in Fmoc protection. Direct coupling of NHS activated stearic acid (or F-stearic acid) to DeAc-lysoGM1 exhibited similar selectivity as Fmoc and yielded more coupling product on the sphingosine amine position than on the sialic acid. The data is not shown here, but the synthetic route to modify the fatty acid chain could be further improved. To make the terminal fluorinated stearic acid, Wittig coupling has been proven the best way for hydrocarbon chain elongation, whereas Grignard reaction failed to conjugate terminal fluorocarbon chain with protected fatty acid analogues. Availability of 12-hydroxystearic

acid made fluorination of stearic acid convenient. The 12-F-GM1 has undefined stereochemistry on the fluorocarbon due to the racemic starting material, but this will not interfere with the patterning of lipid rafts and the product was still useful for activity assay.

The perfluorocarbon substitution of the fatty acid chain on GM1 was achieved by hydrolysis and selectively protection followed by coupling with NHS activated perfluorocarbon substituted fatty acid. The modification of the sphingosine chain was firstly accomplished by Wittig coupling following ozonolysis cleavage of the double bond. The protection for hydroxyl groups was proven essential for wittig coupling under anhydrous condition, which can be easily uncapped by methanol quench in the workup step under basic condition. Purification of Di-C6F13-GM1 from C6F13-GM1 became convenient by using fluororous phase cartridge depending on the fluororous phase affinity. This was the first reported modification of GM1 on the sphingosine chain and will lead to more different labeled gangliosides possible for function and activity studies.

## 2.6 References

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## *Chapter 3*

### *Biophysical and biological properties of Fluorinated GM1*

### **3.1 Evaluation of F-GM1 incorporation onto living cells**

Ganglioside GM1 is an important component of plasma membranes.<sup>1</sup> GM1 is rich in brain and neuron cells with the percentage of up to 5%, but has been found in almost all the vertebrate cells. The concentration of GM1 in plasma membranes can be easily increased by incubating the cells with supplemented GM1 the cell culture. In this section, the incorporation of fluorinated GM1 analogues onto cell surface has been studied using flow cytometry. Fluorescence tagged cholera toxin B subunit (CTB) was employed as a probe for the detection of F-GM1 analogues, taking advantage of the high affinity and selectivity of CTB binding to GM1.<sup>2</sup> The binding site of GM1 to CTB is the oligosaccharide moiety<sup>3</sup>, therefore the modification of fluorocarbon on hydrophobic tails should not influence their binding affinity. Chinese hamster ovarian K1 (CHO-K1)<sup>4</sup> cell line that is devoid of GM1 on their surface was used to test the F-GM1 incorporation. By using fluorescence assisted cell analysis, the incorporation activities of F-GM1 analogues onto multiple mammalian cell lines have been evaluated to verify any influences of fluorination on GM1 properties.

#### **3.1.1 Fluorescence assisted cell sorting and analysis**

*Fluorescence assisted cell sorting* (FACS) is a type of flow cytometry that can analyze and separate cells and other small particles based on fluorescence signal of the particles<sup>5</sup>. The flow cytometry has been invented in 1965 and widely used in research and industry.<sup>6,7</sup> As shown in Fig 3.1, cells are carried by an ultra-thin water stream to form a flow of cells that each time only one cell passes through the tubing. The flow of cells is then broken up by ultrasonic to form uniform droplets, and each droplet contains no more

than one cell. When the cells pass through the analyzer, fluorescence labels on the cells are excited by a laser beam and generate fluorescent signals. Depending on different fluorescent signals, a feedback-voltage control system adjusts the electrostatic field along the cell flow, thus separate the cells of interest individually from the mixture and achieve the “cell sorting”. The fluorescence assisted cell sorter is used to separate cells, whereas the FACS analysis only detect and analyze the fluorescence signals on the cells. Currently, FACS sorter can separate thousands of cells per minute, and FACS analysis could reach the rate at tens of thousands of cells per minute. Equipped with a variety of excitation laser generators, FACS has become a powerful high throughput screening (HTS) tool in biochemistry, molecular biology, and pharmaceutical studies. In our work, we just utilized the FACS to analyze the cells and the term “FACS” only refers to the FACS analysis.

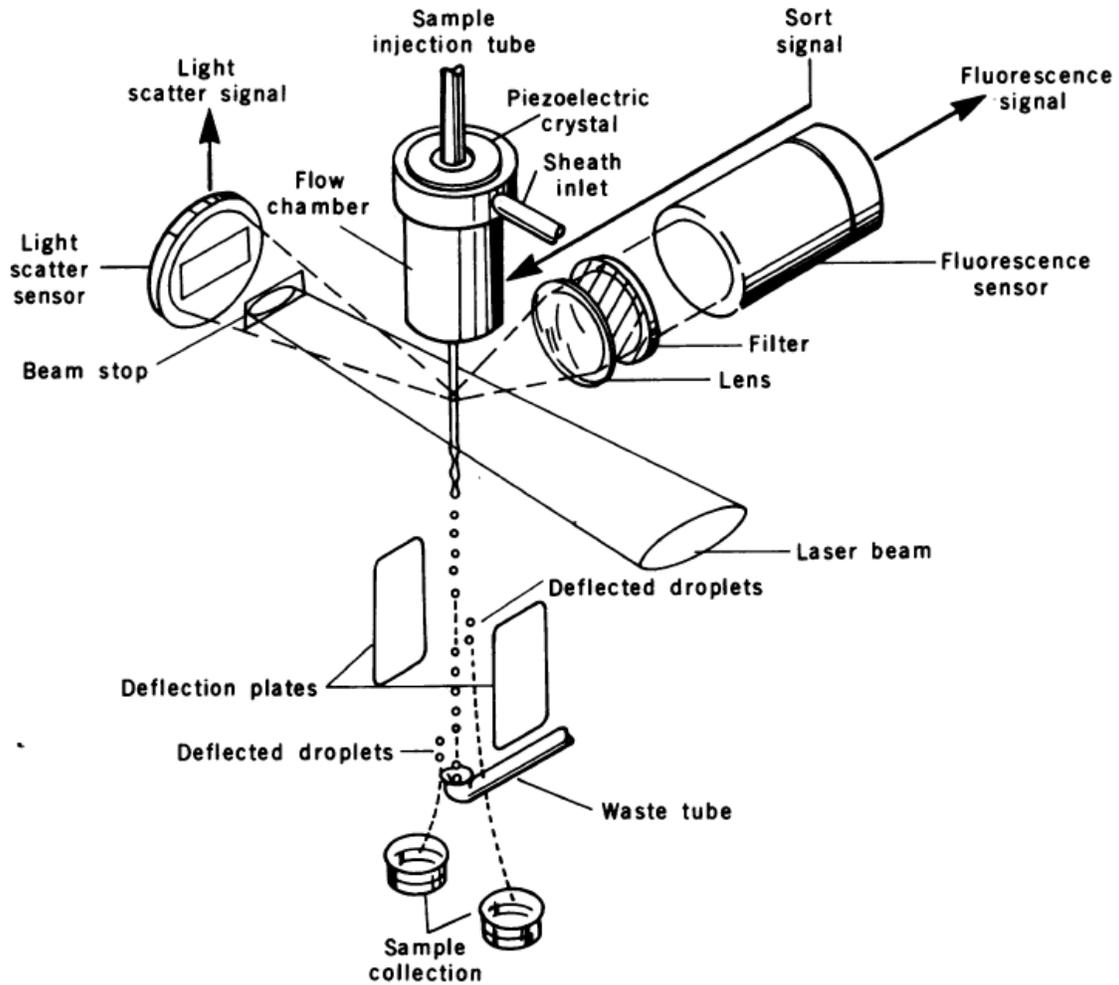


Fig 3.1 Schematic representative of flow cytometry.<sup>7</sup> The stream of cell samples forms uniform small droplets, and each droplet contains no more than one cell. The fluorescence signals from labeled cells are collected and generate feedback to control the electrostatic field along the stream. The cells in droplets are separated and collected individually at high speed for screening and sorting. From reference 7. reprinted with permission from AAAS.

### 3.1.2 Results and Discussion

#### 3.1.2.1 Fluorinated GM1 can be incorporated onto cell surface as natural GM1

The CHO-K1 cells were used as a platform to test the incorporation of F-GM1 analogues. GM1, 18-F-GM1, and 18-F3-GM1 were incubated with CHO-K1 cells at 25  $\mu$ M, followed by stain with FITC-CTB (250 ng/mL) that recognize the external GM1 analogues on the cells. The FACS analysis revealed incorporation of both 18-F-GM1 and 18-F3-GM1 onto CHO-K1 cells at the same level of that of natural GM1, in which the incorporation efficacy was in order of 18-F-GM1 >18-F3-GM1>GM1 with slight differences. (Fig 3.2) The negative control of CHO-K1 cells with no external GM1 displayed the lacking of native GM1 by FITC-CTB stain.

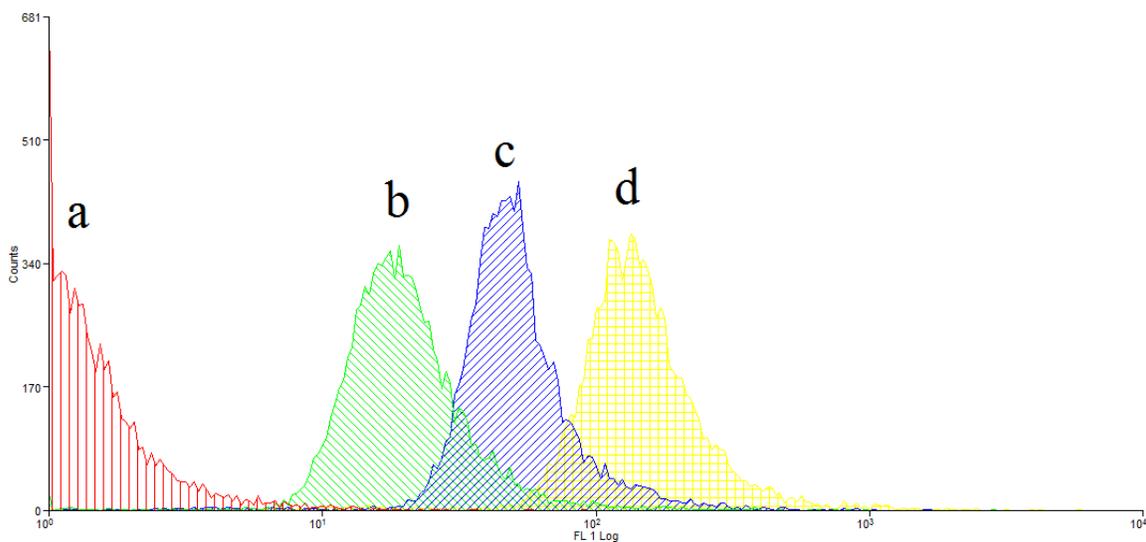


Fig 3.2 FACS histogram of CHO-K1 incubated with GM1 and derivatives. From left to right: (a) CHO-K1, (b) CHO-K1 + GM1 (25  $\mu$ M), (c) CHO-K1 + 18-F3-GM1 (25  $\mu$ M), (d) CHO-K1 + 18-F-GM1 (25  $\mu$ M)

### 3.1.2.2 The incorporation of F-GM1 on CHO-K1 were concentration dependent

The CHO-K1 cells were incubated with F-GM1 analogues (18-F3-GM1 and 18-F-GM1) for 2 h, in the concentration of 2.5  $\mu\text{M}$ , 7.5  $\mu\text{M}$ , and 25  $\mu\text{M}$ . After staining with FITC-CTB (250 ng/mL), cells showed increasing incorporation of F-GM1 at the concentration dependent manner. (Fig 3.3 and 3.4)

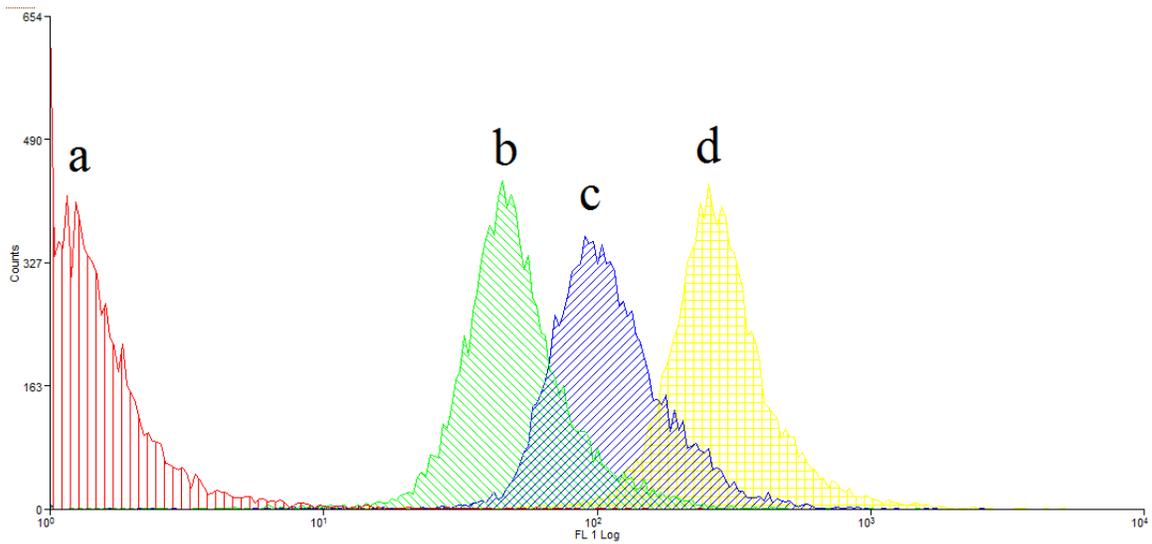


Fig 3.3 FACS histogram of CHO-K1 incubated with different concentrations of 18-F-GM1. From left to right: (a) CHO-K1, (b) CHO-K1 + 18-F-GM1 (2.5  $\mu\text{M}$ ), (c) CHO-K1 + 18-F-GM1 (7.5  $\mu\text{M}$ ), (d) CHO-K1 + 18-F-GM1 (25  $\mu\text{M}$ )

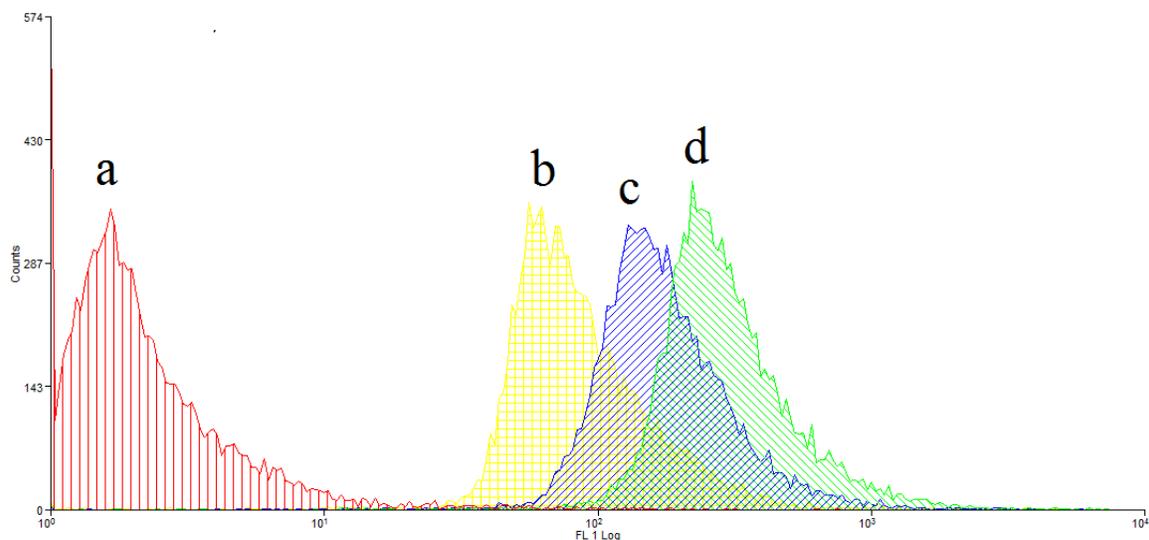


Fig 3.4 FACS histogram of CHO-K1 incubated with different concentrations of 18-F3-GM1. From left to right: (a) CHO-K1, (b) CHO-K1 + 18-F3-GM1 (2.5  $\mu$ M), (c) CHO-K1 + 18-F3-GM1 (7.5  $\mu$ M), (d) CHO-K1 + 18-F3-GM1 (25  $\mu$ M)

### 3.1.2.3 The incorporation of F-GM1 on CHO and Jurkat cells

CHO cells were reported to be expressing GM1 on their surface.<sup>8</sup> However, when we stained CHO cells (Sigma-aldrich: 85050302) with FITC-CTB, they displayed negative results by FACS analysis. The external 18-F3-GM1 displayed significant incorporation onto CHO cells, but without external GM1 or F-GM1, the wild type CHO cells showed FITC-CTB signal at the same level of as negative control after stain. (Fig 3.5) The negative control has no FITC-CTB stain step. The Jurkat cell line, which was originally derived from immune system, has been shown to display GM1 on the surface.<sup>9</sup> We used Jurkat cell line as positive control for external F-GM1 incorporation. FACS histogram (Fig 3.6) showed significant amount of GM1 on wild type Jurkat cells after FITC-CTB stain, and the incorporation of external 18-F3-GM1 exhibited additional signal to that of wild type Jurkat cells.

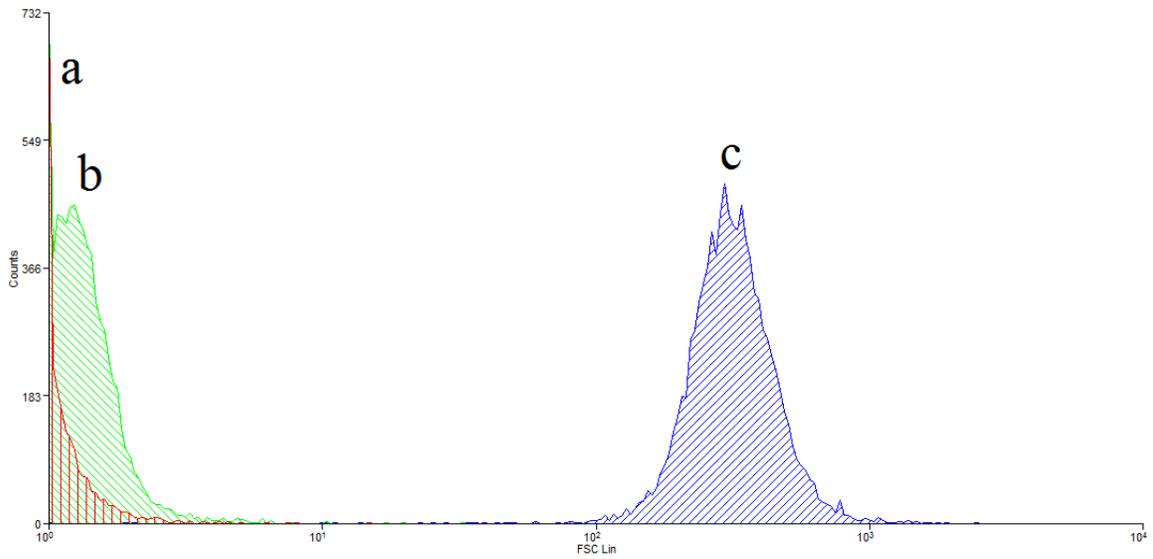


Fig 3.5 FACS histogram of incubated with external GM1 followed by FITC-CTB stain. From left to right: (1) CHO (2) CHO + FITC-CTB (3) CHO + 18-F3-GM1 (25  $\mu$ M) + FITC-CTB

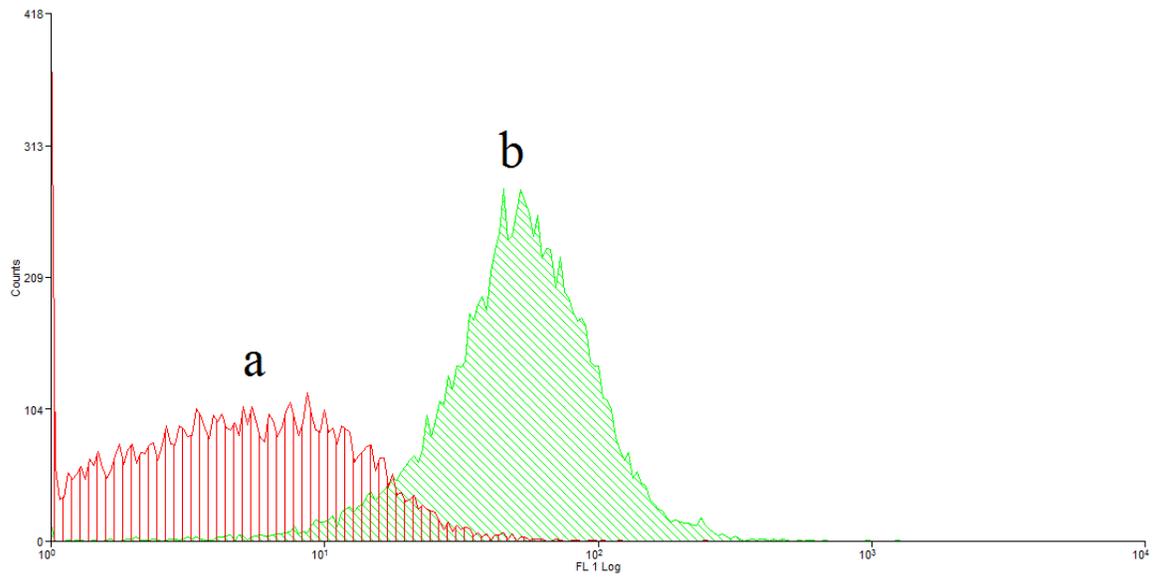


Fig 3.6 FACS histogram of Jurkat cells stained with FITC-CTB. From left to right: (a) Jurkat (b) Jurkat + FITC-CTB

### **3.1.3 Conclusions**

FACS analysis has been used to exploit the incorporation of fluorinated GM1 analogues on living cells, including CHO-K1, CHO and Jurkat cell lines. CHO-K1 is a mutant type of CHO cell line that devoid of GM1 on the surface. Incubation of CHO-K1 cells with external wild type GM1, 18-F-GM1, 18-F3-GM1 in cell culture medium resulted in significant incorporation of GM1 analogues on the cells, revealed by FACS analysis with FITC-CTB stain. The amount of F-GM1 analogues incorporated on cells exhibited dependence on their concentrations in the cell culture medium. In addition, FACS analysis showed that the wild type CHO cells was devoid of GM1 and could also be incorporated with external F-GM1. Furthermore, F-GM1 analogues were introduced the Jurkat cells that express native GM1 on their surfaces, and lead to elevated GM1 signals than wild type Jurkat cells. Therefore, FACS analysis has shown to be a powerful tool for F-GM1 incorporation assay, and confirmed incorporation of external F-GM1 analogues onto multiple cell lines to facilitate further studies on living cells.

### **3.1.4 Experimental section**

**Materials and instruments.** CHO, CHO-K1, and Jurkat cells were from ATCC. CTB, FITC-CTB and other common buffers and chemicals were from Sigma. The cell growth media were from Cancer Center, Tufts Medical Center. The instrument for FACS analysis was a BD FACS Calibur model from Tufts medical school.

**Cell culture:** CHO and CHO-K1 were cultured in HAM-F12 supplemented with 10% fetal bovine serum. Jurkat cells were cultured in complete medium of RPMI 1640 with

10% fetal bovine serum. The cells were cultured for at least 48 h in 12 cm plastic cell culture plates.

**GM1 incorporation and staining on cells.** The cells were incubate in 6 well plate ( $1 \times 10^6$  cells per well) with 2 mL complete medium for 12 h. Medium was changed and cells were suspended in fresh medium with 25  $\mu$ M GM1 (or F-GM1) incubate at 37°C for 2 h. The cells were washed twice with fresh medium, and incubated for 1 h in the medium containing 250 ng/mL FITC-CTB. The cells were washed with 1 $\times$  PBS (-Ca, -Mg) buffer twice and suspend in 1 $\times$  PBS (-Ca, -Mg) buffer for FACS analysis. For adhesion cells, the cells were trypsinized if needed.

**FACS analysis.** The cells ( $\sim 10^6$  cells/ml) were placed in Falcon 2054 (polystyrene, 5 ml round bottom) tubes after stain. The excitation laser was set on 488 nm; and the detection was on 530 nm (FITC). Before each run of sample, the instrument was washed until 15 events /min was obtained as blank. The cell flow rate was 35  $\mu$ L/min and each sample has a total count of 10,000 events. The data was processed on the software of *Summit* (Dako).

## **3.2. AFM studies of F-GM1 on solid supported lipid bilayers**

Atomic force microscopy (AFM) is a type of scanning probe microscopy that uses an ultra fine tip to scan the sample and study its surface properties. AFM is specialized in the high resolution and mild working conditions, and has been widely used in the surface science and biological membrane studies.<sup>10-14</sup> One important application of AFM is to investigate the biological membrane phase separation and the lipid rafts paradigm.<sup>15-17</sup> Ganglioside GM1, as a common molecule in lipid rafts, has been studied using AFM for its biophysical properties and phase behaviors in a variety of model membranes.<sup>18,19</sup> Here we report the AFM studies of fluorinated GM1 in solid supported lipid bilayers. By comparing with natural GM1, the results can give information about structure-property relationship that is useful in function studies.

### **3.2.1 AFM in membrane bilayer studies**

AFM is equipped with a cantilever that has a very sharp tip on the end. The cantilever scans laterally on the sample surface and its vertical motion is monitored by a laser beam reflection to reveal local physical properties and generates a map of the sample surface. AFM has been widely used to detect solid support lipid membranes with very high resolution (1 nm laterally and 0.1 nm vertically) under mild conditions.<sup>20-22</sup> The hydrophilic surfaces, such as mica, glass, and quartz, have been employed as solid supports to form lipid bilayers, where the hydrophilic head groups face out and hydrophobic lipid tails toward inside, thus provide a way to mimic plasma membranes. For example, AFM revealed the temperature dependent phase separation in phospholipid bilayer membranes to form gel phase and liquid disordered phase,<sup>23</sup> and with assistance

of cholesterol a distinct liquid ordered phase was formed as a transition state between the gel phase and liquid disordered phase.<sup>24</sup> (Fig 3.7) AFM has also been used to image protein patterning<sup>25-27</sup>, plasma membranes<sup>28,29</sup>, and bacteria surfaces<sup>30</sup>.

The lipid rafts theory, which proposes the lipid sorting and phase separation determines the protein receptor clustering, has been also examined by AFM in model membranes. For instance, a variety of binary and ternary membrane bilayers, with or without protein receptors, have been investigated for their phase separation and receptor distribution behaviors.<sup>17,31-34</sup> Ganglioside GM1 has been found rich in detergent resistant membranes and considered to be a typical lipid rafts component, thus was extensively used in lipid rafts formation studies. Introduction of GM1 in lipid membranes induces formation of microdomains at nanometer scale in size.<sup>35</sup> By using AFM, the domains containing GM1 could be revealed by its height difference from phospholipids and sphingomyelin. With cholesterol participation, the coalescence of GM1 and cholesterol has been observed in microdomains.<sup>36-38</sup> GM1 has been found preferentially localized in the gel phase<sup>37,39</sup>, and slightly lowered its transition temperature  $T_m$ .<sup>40</sup> Fluorescently labeled ganglioside GM1 was used in lipid bilayers to visualize lipid microdomains, and the fluorescence label on the oligosaccharide moiety did not perturb the domain formation properties.<sup>41</sup>

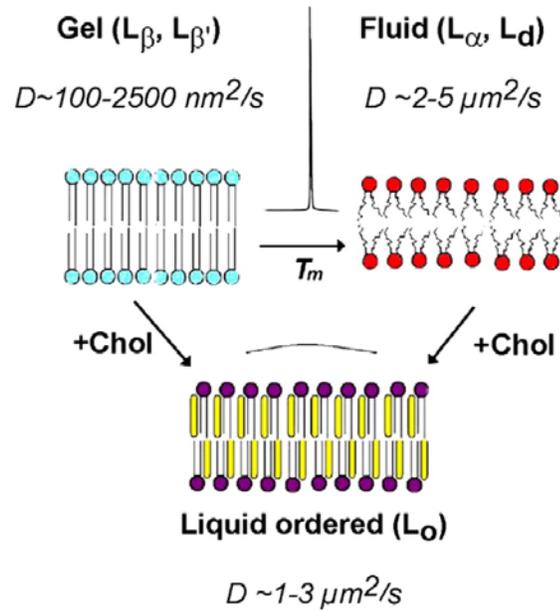


Fig 3.7 Phase properties of phospholipids. The transition between gel phase and fluid phase was characterized by a sharp peak of  $T_m$  in differential scanning calorimetry. By adding cholesterol, the sharp peaks became broader depending on concentration and the lipid bilayer exhibited a liquid ordered phase. Reprinted from reference 24. Copyright (2010), with permission from Elsevier.

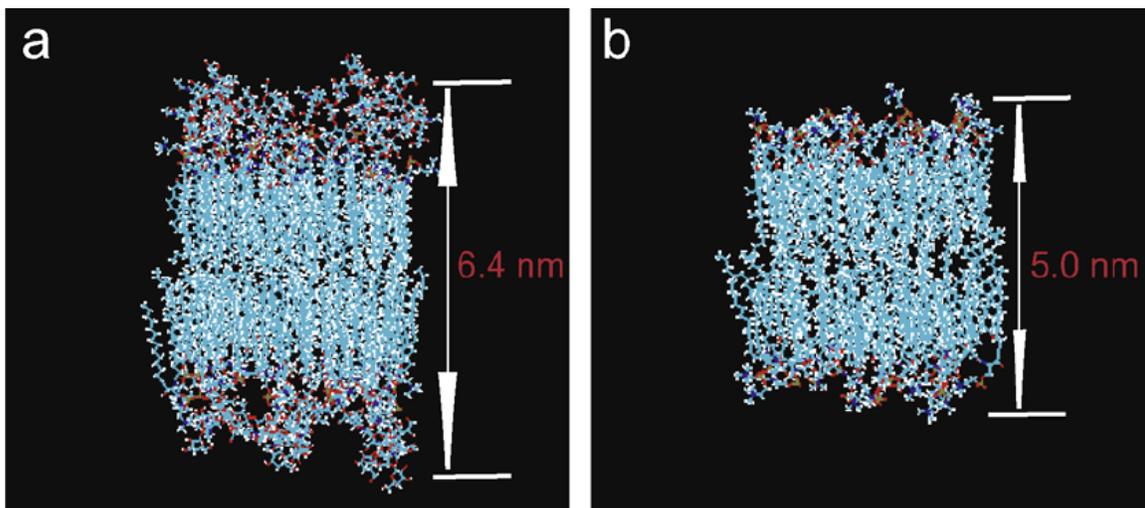


Fig 3.8 Molecular modeling simulation revealed the lipid bilayers structural differences between (a) GM1/SM/Chol (1:2:2) and (b) SM/Chol (1:1). Reprinted from reference 42. Copyright (2008), with permission from Elsevier.

## 3.2.2 Results and Discussion

### 3.2.2.1 DPPC/POPC (1:1) with 1 % natural GM1

In the lipid bilayer formed by a mixture of DPPC/POPC (1:1 molar ratio), phase separation was observed to form a gel phase and a liquid disordered ( $l_d$ ) phase with roughly 1:1 ratio. DPPC has saturated fatty acid tails and localized in the gel phase, whereas POPC has one unsaturated tail and resided in  $l_d$  phase. The height difference between the gel phase and the  $l_d$  phase was about 2 nm. (Fig 3.9) After adding 1 % natural GM1 into the mixture, the ratio of two phases in the bilayers was still around 1:1, and GM1 was found at the boundary of two phases. (Fig 3.10) The height difference between GM1 patches and the gel phase was shown to be  $\sim 1.5$  nm<sup>42</sup>. The immiscibility of GM1 with gel phase did not interfere with the phase separation of DPPC/POPC mixture. These results agreed with the reported GM1 topography on lipid bilayers.<sup>37</sup>

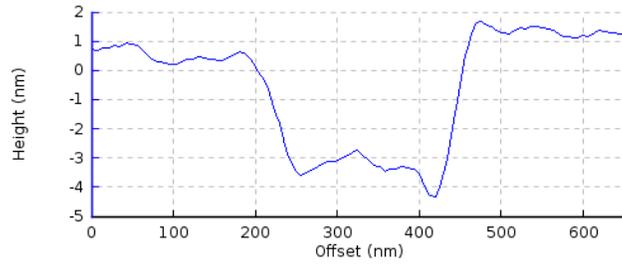
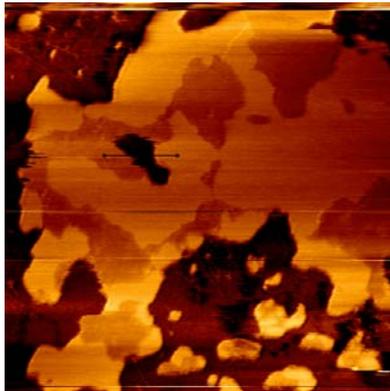


Fig 3.9 Height image of DPPC/POPC (1:1) mixture

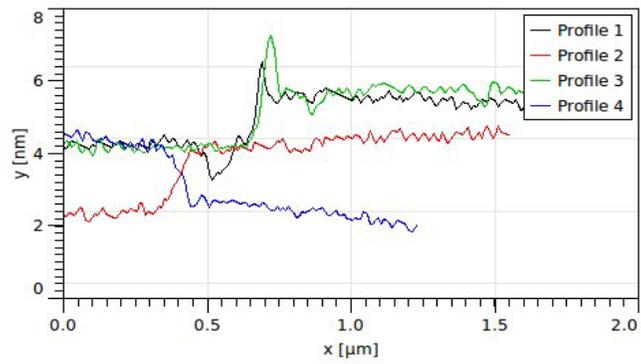
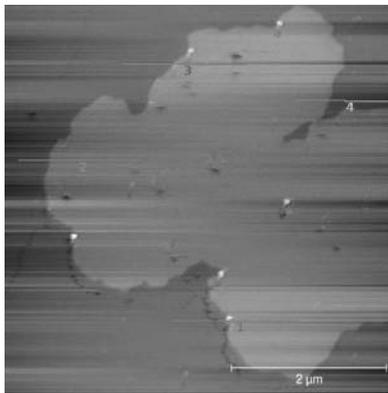


Fig 3.10 Height image of DPPC/POPC (1:1) mixture with 1 % natural GM1

### **3.2.2.2 DPPC/POPC (1:1) with 1% 18-F-GM1**

The mixture of DPPC/POPC (1:1) with 1% 18-F-GM1 formed of lipid bilayers on mica surface. Phase separation was observed with a gel phase and a  $l_d$  phase at about 1:1 ratio. (Fig 3.11) By measuring the height profile, the self-assembly of 18-F-GM1 was found at the boundary between the gel phase and the  $l_d$  phase forming small patches that are 1.5 nm higher than the gel phase. Other than the boundary GM1 patches, a type of microdomains were observed at 10 nm scale in diameter in the gel phases. The height measurement revealed the existence of GM1 in these microdomains. These results are consistent with phase behaviors of natural GM1 in lipid bilayers, indicating the high similarity of terminal mono-fluorinated GM1 to its native version.

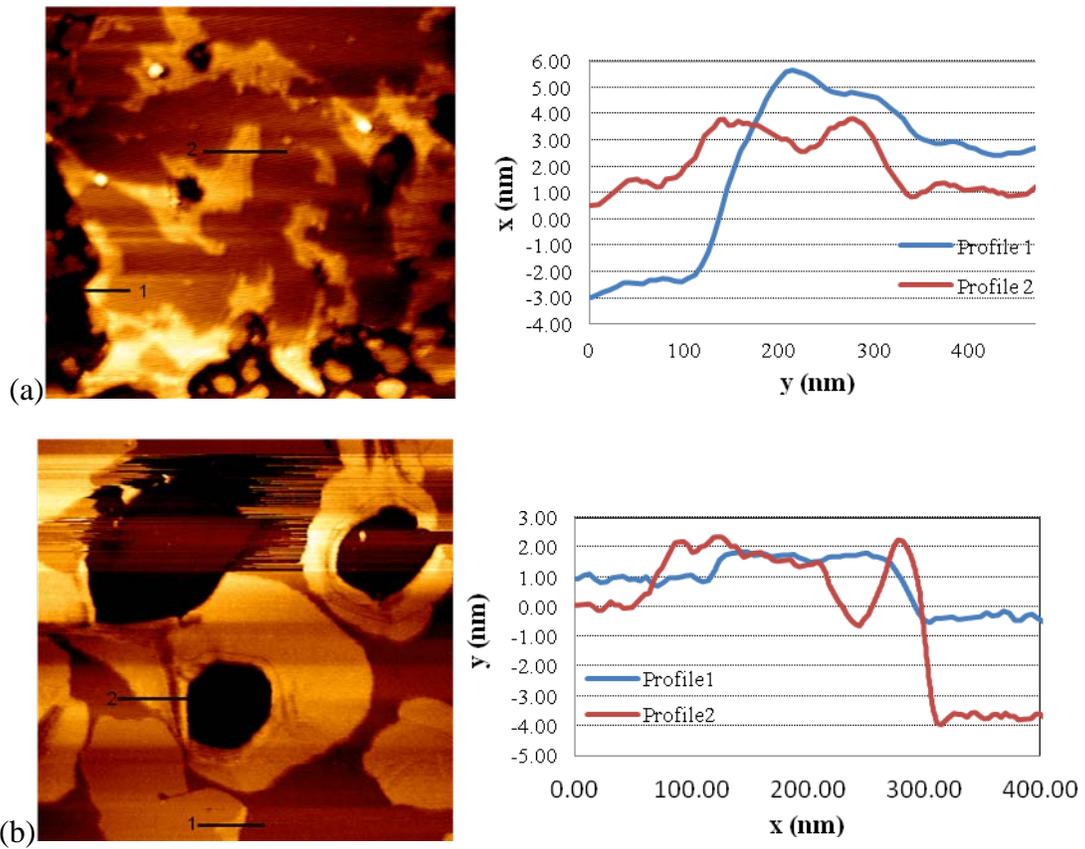


Fig 3.11 Height images of DPPC/POPC (1:1) mixture with 1% 18-F-GM1. (a)  $3.5\mu\text{m} \times 3.5\mu\text{m}$ . (b)  $2.5\mu\text{m} \times 2.5\mu\text{m}$ .

### **3.2.2.3 DPPC/POPC (1:1) with 1% 12-F-GM1**

The lipid bilayers of DPPC/POPC (1:1) supplemented with 1% 12-F-GM1 exhibited quite different phase behaviors from natural GM1. The gel phase and  $l_d$  phase showed significantly different ratio of area. The gel phase occupied much larger area than the  $l_d$  phase revealed by AFM height imaging. (Fig 3.12) Some small patches of 12-F-GM1 were observed, at ~10 nm scale in diameter, to form in the gel phase. But the occurrence of 12-F-GM1 patches was less than that of native version.

### **3.2.2.4 DPPC/POPC (1:1) with 1% 18-F3-GM1**

The membrane formed by DPPC/POPC (1:1) w/ 1% 18-F3-GM1 also exhibited different phase separation from natural GM1. The gel phase was much smaller than  $l_d$  phase by AFM height imaging. (Fig 3.13) Small patches of 18-F3-GM1 were found in the gel phase, at ~10 nm scale in diameter. Boundary 18-F3-GM1 elongated patches were also observed.

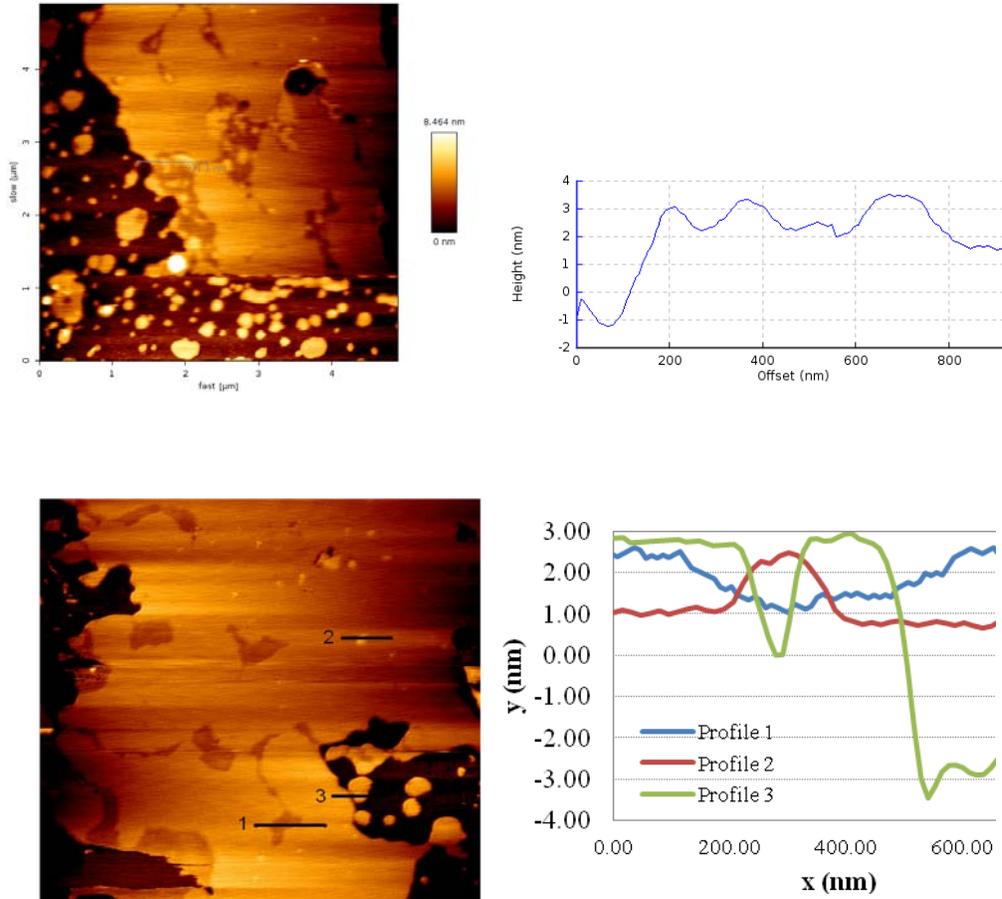


Fig 3.12. Height images of DPPC/POPC (1:1) mixture with 1% 12-F-GM1. ( $5\mu\text{m} \times 5\mu\text{m}$ )

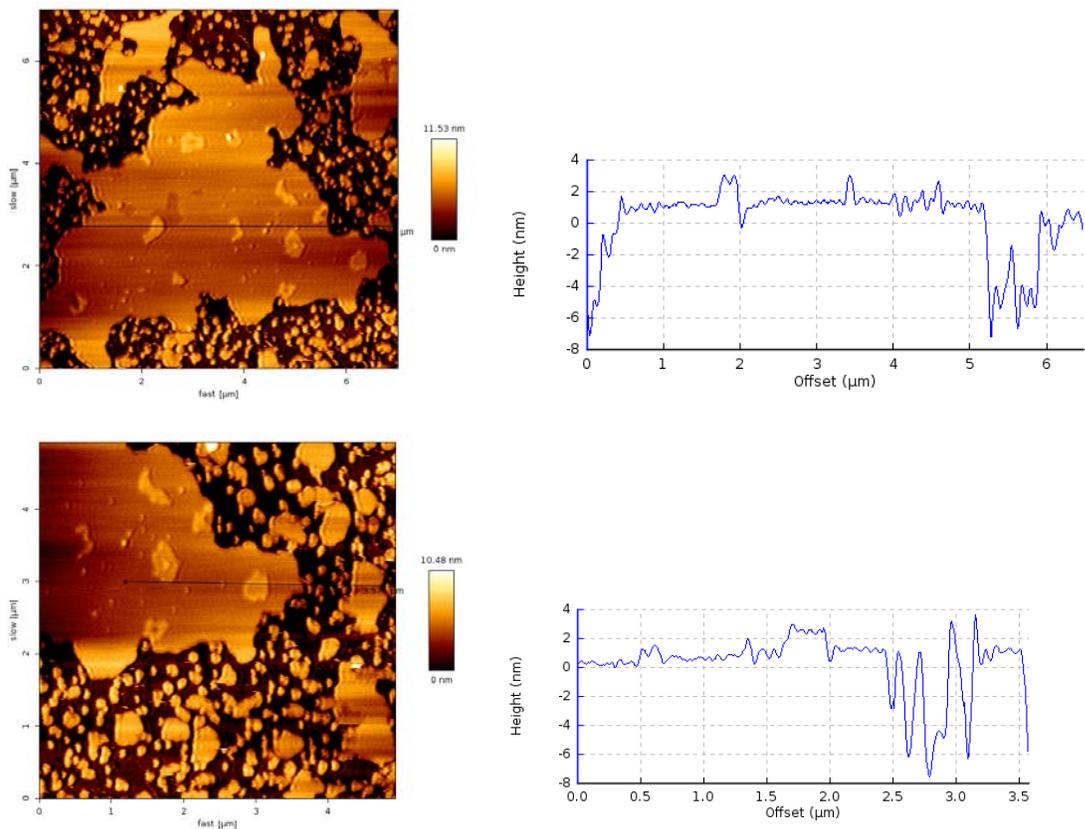


Fig 3.13 Height images of DPPC/POPC (1:1) mixture with 1% 18-F3-GM1

### **3.2.2.5 DPPC/POPC (1:1) with 1% C6F13-GM1**

The mixture of DPPC/POPC (1:1) with 1% C6F13-GM1 formed lipid bilayers. However, C6F13-GM1 did not show significant height difference and was not clearly observed as microdomains by AFM height imaging. CTB (3 $\mu$ g) was added on the bilayers to label the C6F13-GM1 and revealed that it localized on the edge of gel phase. These observations suggested that fluorocarbon substitution significantly changed the phase behavior of GM1 in the membranes. (Fig 3.14)

### **3.2.2.6 The phase behaviors of GM1 analogues in SPM/POPC mixture**

The mixture of POPC and 10% natural GM1 was subject to mica surface and formed the lipid bilayers. At room temperature, POPC ( $T_m = -2^\circ\text{C}$ ) forms  $l_d$  phase and GM1 self-assembled to form isolated patches with height difference of  $(2.5 \pm 0.2)$  nm. (Fig 3.15) To study the phase behavior of F-GM1 analogues in SPM/POPC mixture, the lipid bilayers were prepared with SPM/POPC (1:1) supplemented with 1 to 5 % of GM1 analogues. In a control experiment, SPM/POPC (1:1) mixture showed phase separation with height difference of  $(1.3 \pm 0.2)$  nm between the gel phase and the  $l_d$  phase. (Fig. 3.16) When natural GM1 (1%) was added to the SPM/POPC (1:1) mixture, a type of scattered microdomains was observed in the bilayers on the boundary of the two phases, at nanometer scale in diameter. (Fig 3.17) Phase separation was also observed in the lipid bilayers of SPM/POPC (1:1) supplemented with 5% 18-F-GM1 and C6F13-GM1, respectively. However, the microdomains of GM1 analogues were found both in the gel phase and  $l_d$  phase. (Fig 3.18 and 3.19) Indeed, the mixture of SPM/POPC did not form very large bilayers, compared to that of DPPC/POPC, which was also observed by other

researchers. Additionally, the AFM height imaging elucidated the height difference between phases in the bilayers but it could not tell the chemical composition of the membrane. Therefore, further investigation of the lipid bilayers requires other techniques for more details.

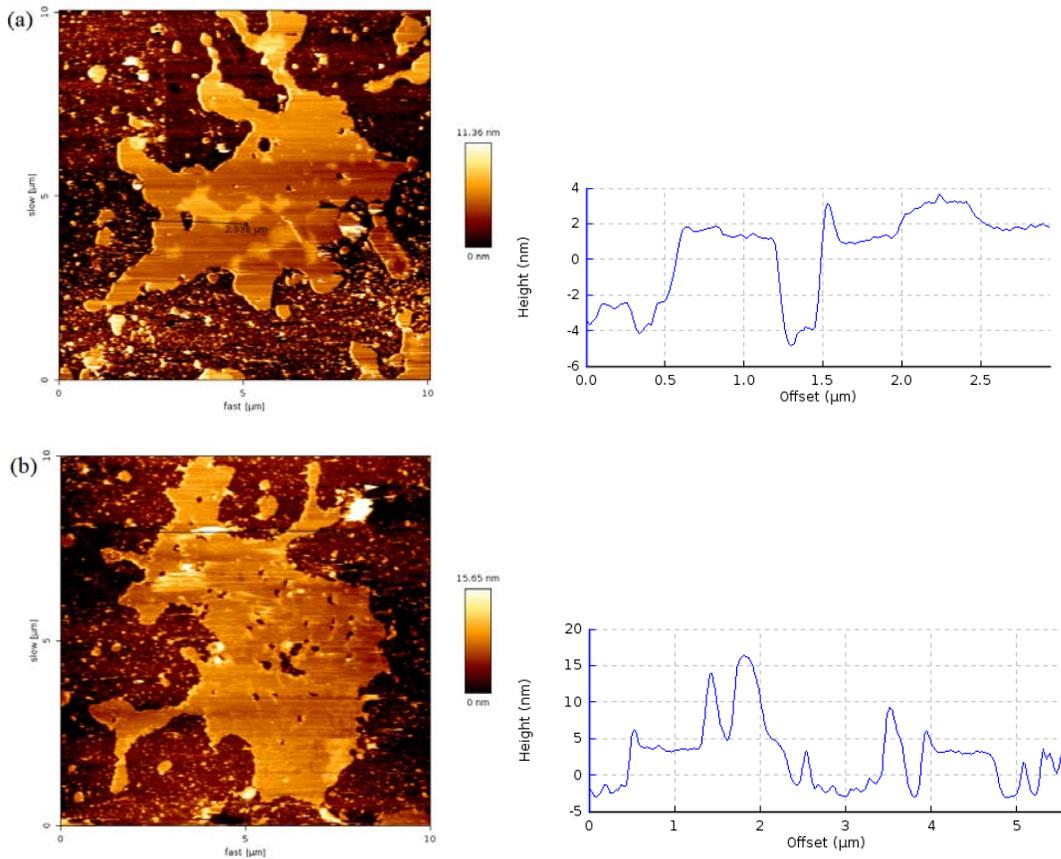


Fig 3.14 Height image of DPPC/POPC (1:1) with (a) 1% C6F13-GM1 and (b) 1% C6F13-GM1 followed by addition of 3 μg CTB.

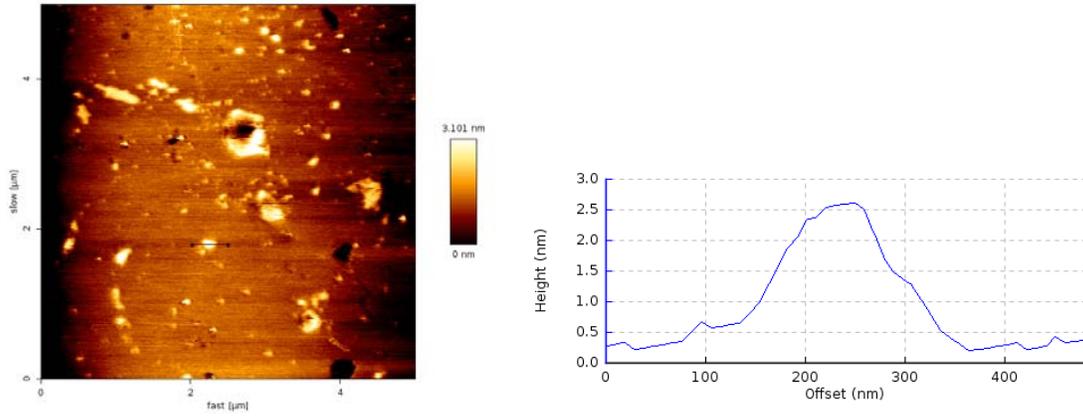


Fig 3.15 Height image of POPC with 10% GM1

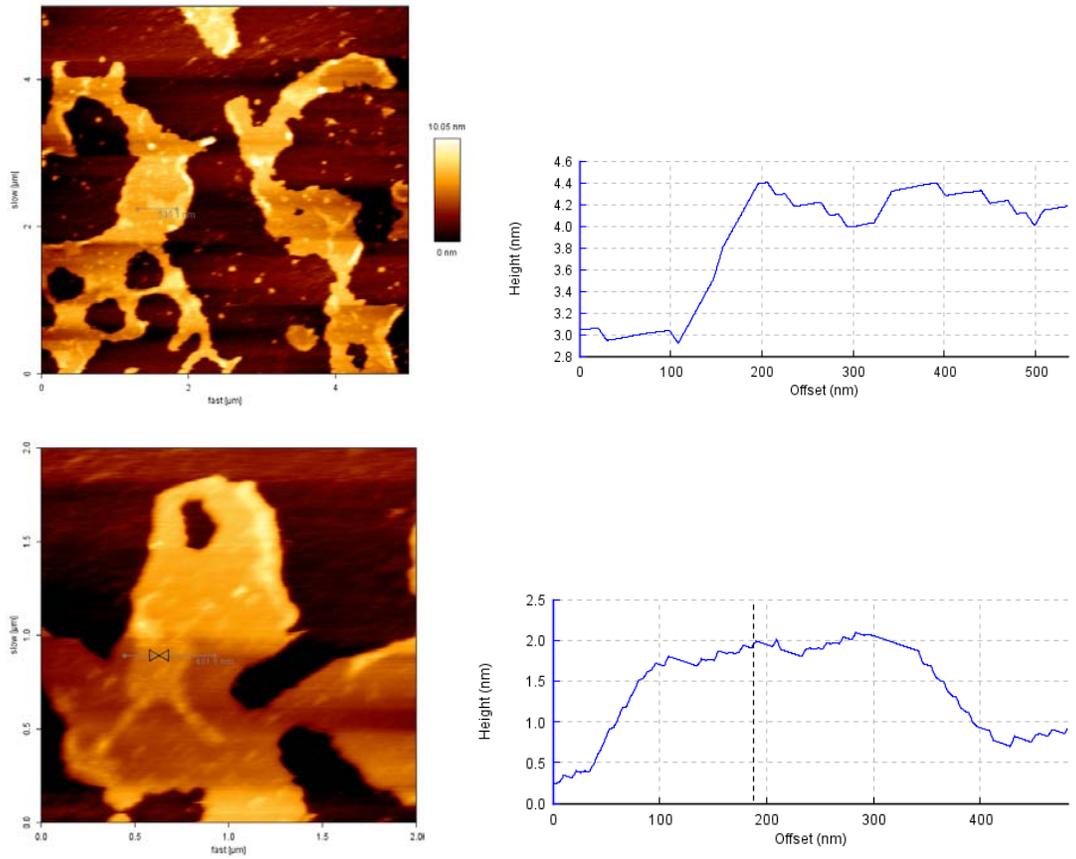


Fig 3.16 Height images of SPM /POPC (1:1)

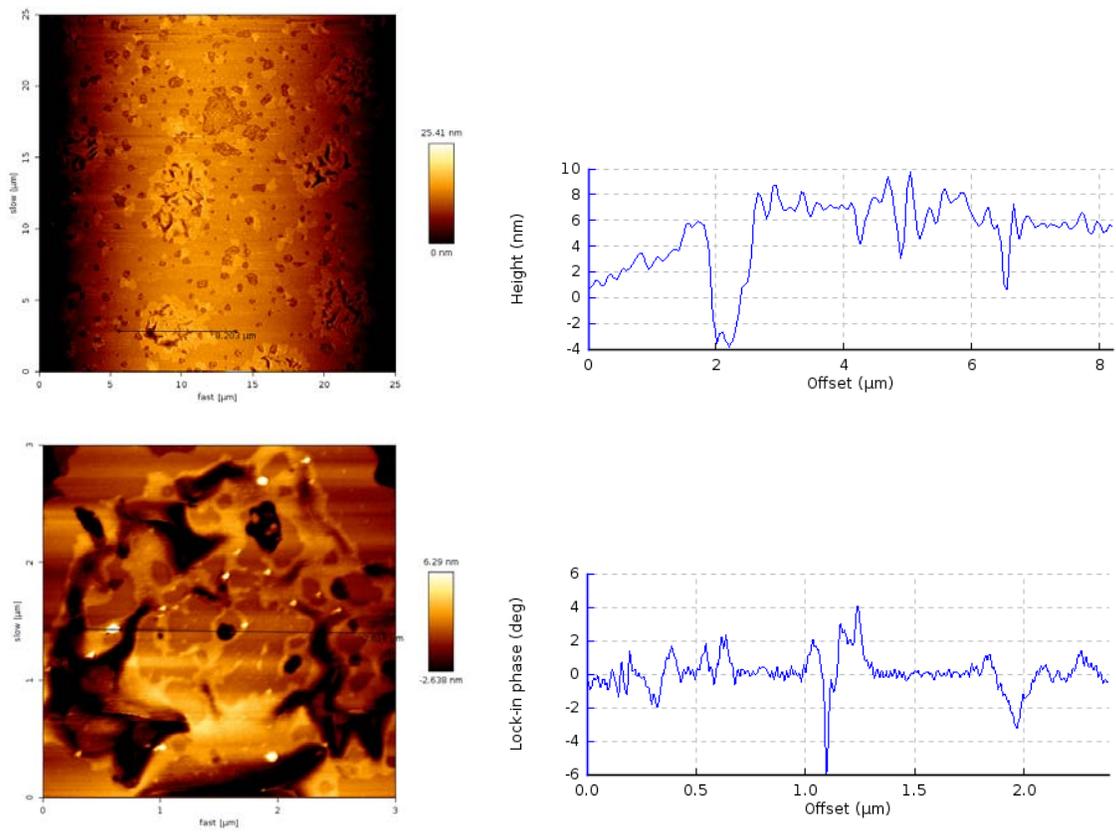


Fig 3.17 Height images of SPM /POPC (1:1) with 1% GM1

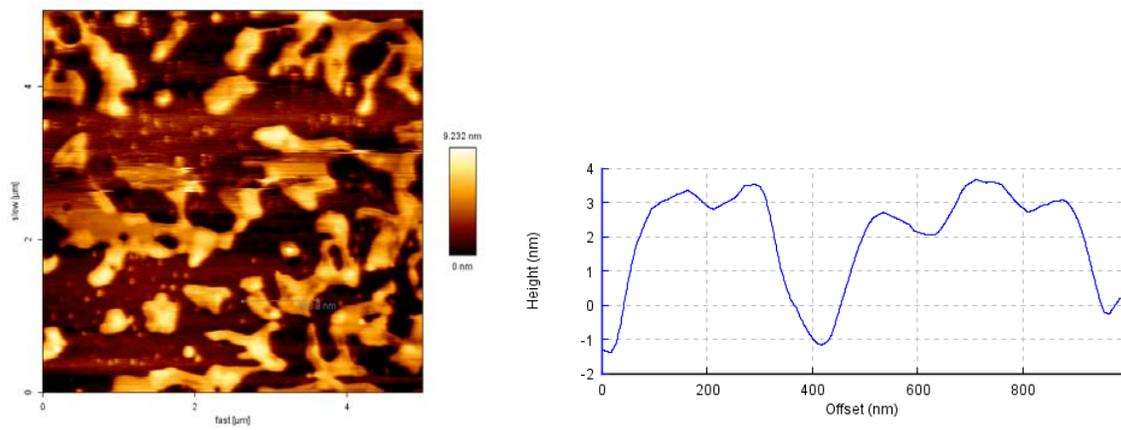


Fig 3.18 Height image of SPM /POPC (1:1) with 5% 18-F-GM1

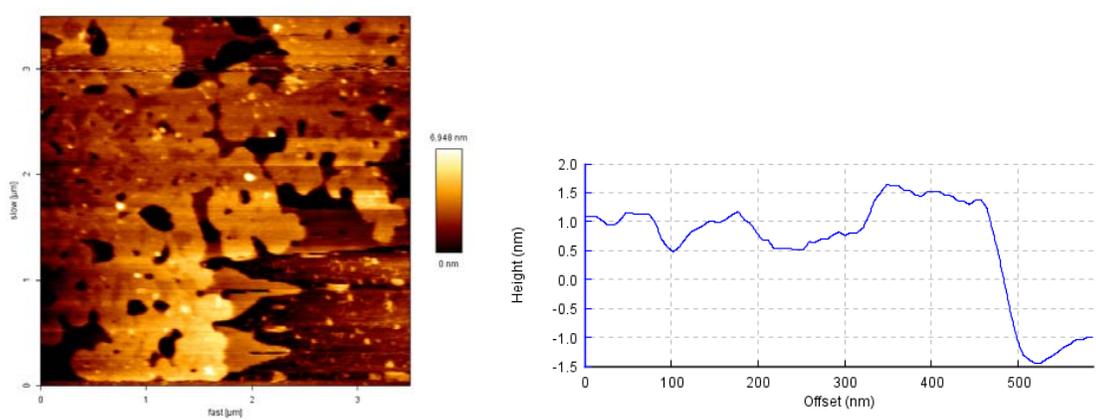


Fig 3.19 Height image of SPM /POPC (1:1) with 5% C6F13-GM1

### 3.2.3 Conclusions

Fluorinated ganglioside GM1 analogues (18-F-GM1, 12-F-GM1, 18-F3-GM1, and C6F13-GM1) have been analyzed by AFM in supported lipid bilayers to study their phase behaviors. In the bilayers of DPPC/POPC (1:1) with 1% 18-F-GM1, the gel phase and  $l_d$  phase showed roughly 1:1 ratio and GM1 localized in between as a boundary. The 12-F-GM1 (1%) changed the phase separation behavior dramatically in the same mixture, which exhibited much larger gel phase than the  $l_d$  phase. Interestingly, 18-F3-GM1 played an opposite role and the 1% 18-F3-GM1 significantly decreased the area of gel phase compared to the  $l_d$  phase. C6F13-GM1 did not change the phase separation of DPPC/POPC very much, but the C6F13-GM1 microdomains were not observed in height images. By labeling the GM1 analogue using CTB, the C6F13-GM1 microdomains were revealed to exist between two phases, indicating the height of C6F13-GM1 patches was probably similar as that of the gel phase. Therefore, 18-F-GM1 exhibited the highest similarity to native GM1 in phase behaviors.

The phase behavior of F-GM1 analogues was also analyzed in SPM/POPC bilayers by AFM imaging. Microdomains formed by F-GM1 analogues have been observed in the membrane, indicating the immiscibility of GM1, SPM and POPC. Interestingly, GM1 and SPM have been identified as signaling receptors with different locations and functions on the plasma membrane of mammalian cells.<sup>43</sup> Our AFM results provided with further evidence in the biophysical aspect and gave potential support on cell signaling and functional studies.

### 3.2.4 Experimental section

**Materials.** 1-palmitoyl-2-oleoyl-sn-glycero-3-phosphocholine (POPC), 1,2-dipalmitoyl-sn-glycero-3-phosphocholine (DPPC), cholesterol (Chol), *N*-palmitoyl-D-sphingomyelin (SPM) and cholera toxin B subunit (CTB) were from Sigma-aldrich. Analytical-grade chloroform and methanol were purchased from FisherScientific.

**AFM sample preparation.** The support lipid bilayers were prepared by transporting liposomes onto mica surfaces in aqueous solution. All glasswares were washed with chloroform before use. The mixture of lipids were measured at desired ratio and transferred into test tubes and blowed to dry with argon to form lipid films. The lipid films were added Tris buffer (250  $\mu$ L, pH=7.4) and warmed up at 55 °C for 30 min with vortex occasionally to give clear solutions. The fresh mica surface was added 0.5 mL same buffer and 15  $\mu$ L 0.1 M CaCl<sub>2</sub> solution. The samples were sonicated for 30 min at room temperature and 125  $\mu$ L of each sample was loaded onto mica surface followed by equilibrating overnight. Before AFM imaging, the samples on mica were heated up at 55 °C for 30 min and cooled down to room temperature. The lipid bilayers were rinsed with Tris buffer (2 mL  $\times$  3, pH=7.4) and added 1 mL same buffer for AFM assay.

**Atomic force microscope.** AFM was performed in aqueous solutions using NanoWizard II ® (JPK Instruments AG, Bouchéstrasse 12, 12435 Berlin, Germany), equipped with a fluid cell, using a silicon nitride cantilever (Olympus OTR8, Asylum Research, Santa Barbara, CA) with a nominal spring constant of 0.61 N/m. The setpoint was at  $\sim$  0.7 V and I<sub>gain</sub> (30 Hz), P<sub>gain</sub> (0.002) were optimized accordingly. The acquired data was processed using JPK SPM software release 3.3a.

### **3.3. Calcium signaling induced by F-GM1 analogues on the cell surface**

Calcium signaling is an important biological process in mammalian cell life cycles.<sup>44</sup> Calcium ion is one of the most common secondary messengers and regulates a wide range of cell functions including enzyme activities, cell morphology, stickiness, motility, apoptosis and proliferation.<sup>45</sup> Ganglioside GM1 is found to be a promoter of intracellular calcium signaling. GM1 is able to induce calcium ion influx released from both extracellular sources and intracellular calcium ion reservoirs.<sup>46</sup> In this section, the synthetic F-GM1 analogues have been exerted in multiple cell lines to evaluate their activities in calcium signaling processes.

#### **3.3.1 Calcium signaling and lipid rafts**

Eukaryote cells maintain a very steep gradient of calcium ions from cytoplasm to the extracellular milieu. At the resting status, the cytosolic free calcium ion concentration is kept at ~100 nM while the extracellular concentration is usually at mM scale. When triggered to the excited state, the extracellular calcium ions were imported down through the 20,000 fold gradient ( $E_{Ca} \sim +150$  mV) across the plasma membrane by ion channels.<sup>44</sup> Inside the cells, endoplasmic reticulum (ER) and mitochondria are the common storages of calcium ions to keep the cytosolic calcium ion concentration at a certain level. As a secondary messenger, calcium ions play an important role in cell signaling and regulation. To date, several signaling pathways have been confirmed to utilize the calcium gradient for functioning, including  $Na^+/Ca^{2+}$  exchange, the  $Na^+/Ca^{2+}-K^-$  exchange, GPCR/PIP<sub>2</sub>- $Ca^{2+}$ , IP<sub>3</sub>/ $Ca^{2+}$  pathways.

Calcium signaling pathway has been proposed to be related to lipid rafts based on the following experiments. Jurkat cells were incubated with external ganglioside GM1 to obtain a high concentration of GM1 on the cell surface. The “high-GM1” cells were then incubated with cholera toxin B subunits (CTB) and anti-CTB antibodies, a calcium ion influx was triggered and cytosolic calcium ion concentration was elevated by several folds.<sup>46</sup> This phenomenon was attributed to the clustering of cell surface GM1 by pentavalent CTB followed by the clustering of CTB by divalent anti-CTB antibodies. The clustering of GM1 by cross-linking with CTB and anti-CTB antibody was confirmed by BODIBY-GM1 labeling on Jurkat cells.<sup>47</sup> Multiple cell lines have been studied and exhibited the same calcium ion influx triggering, which was proven to be independent from cAMP, protein kinase C, phosphoinositides turnover, or CD3 receptors.<sup>48-50</sup> Therefore, it was proposed that calcium signaling occurs by aggregation of receptors in lipid rafts.<sup>51</sup>

The synthetic F-GM1 analogues have been subjected to the calcium signaling triggering on living cells to evaluate their activities. Mono- and tri-fluorinated GM1 analogues were compared with native GM1 to elucidate the structure-function relationship. Di-C6F13-GM1 was designed for self-assembly by using fluororous phase aggregation property, thus provide with a potential way to modulate the lipid rafts formation and cell signaling pathway.

### 3.3.2 Results and Discussion

#### 3.3.2.1 Mono-fluorinated GM1 induced calcium signaling in Jurkat cells

Jurkat T cells can express ganglioside GM1 on the cell surface. Without external ganglioside GM1, Jurkat cells treated with cholera toxin B subunits followed by anti-CTB antibodies have shown the triggered calcium ion influx. (Fig 3.20 a and b) After introducing with external natural GM1 (25  $\mu$ M), the triggered calcium ion influx has increased significantly, confirmed that the calcium signaling was dependent on GM1. (Fig 3.20 c and d) Similarly, the mono-fluorinated 18-F-GM1 (25  $\mu$ M) triggered the cytosolic calcium ion concentration increase at the same level. (Fig 3.20 e and f) However, when Jurkat cells were treated with 18-F3-GM1, the triggered calcium ion influx was at the same level as wild type Jurkat cells with no external GM1, suggesting that the tri-fluorinated GM1 has no significant influence on calcium signaling pathway. (Fig 3.20 g and h) The anti-CD3 antibody has been used as a positive control for calcium signaling measurement (data not shown).

Compared to the mono-fluorinated GM1, the trifluoromethyl group in 18-F3-GM1 has a much larger size than the methyl group, and potentially results in extra influences on membrane patterning, clustering, and cholera toxin binding. One possibility could be the trifluorination dramatically changed the phase behavior of GM1 in plasma membranes and it no longer participates in the raft formation, thus the lipid rafts induced calcium signaling was not affected by the external trifluoro-GM1. The mono-fluorinated GM1 has similar steric demand as the hydrocarbon version (native GM1), so that it enhances in the lipid raft formation and triggers the rafts related cell signaling pathway as

efficient as the native GM1 does. These results are consistent to what we have observed in AFM study that 18-F-GM1 has similar phase behavior in lipid bilayers, whereas the 18-F3-GM1 caused significant change in the phase separation (see section 3.2 for details).

### **3.3.2.2 Neither natural GM1 nor F-GM1 induced calcium signaling in CHO-K1 cells.**

CHO-K1 cells are devoid of ganglioside GM1 on the cell surface, which has been approved by FACS analysis in previous sections. F-GM1 analogues have been evaluated on CHO-K1 cells to trigger calcium ion influx as above. The incorporation of F-GM1 analogues on CHO-K1 cells and their binding to CTB have been verified by FACS analysis. However, not only the four fluorinated GM1 analogues (12-F-GM1, 18-F-GM1, 18-F3-GM1, and Di-C6F13-GM1) but the native GM1 as well showed no calcium ion influx triggered by CTB and anti-B antibody. (Fig 3.21 a-e) It probably because the calcium signaling pathway in CHO-K1 cells is not related to ganglioside GM1, or lipid rafts, as the original CHO-K1 cells lack GM1 on their surface. But other possibilities are not ruled out and further investigation is required to confirm the function of external GM1 on CHO-K1 cells.

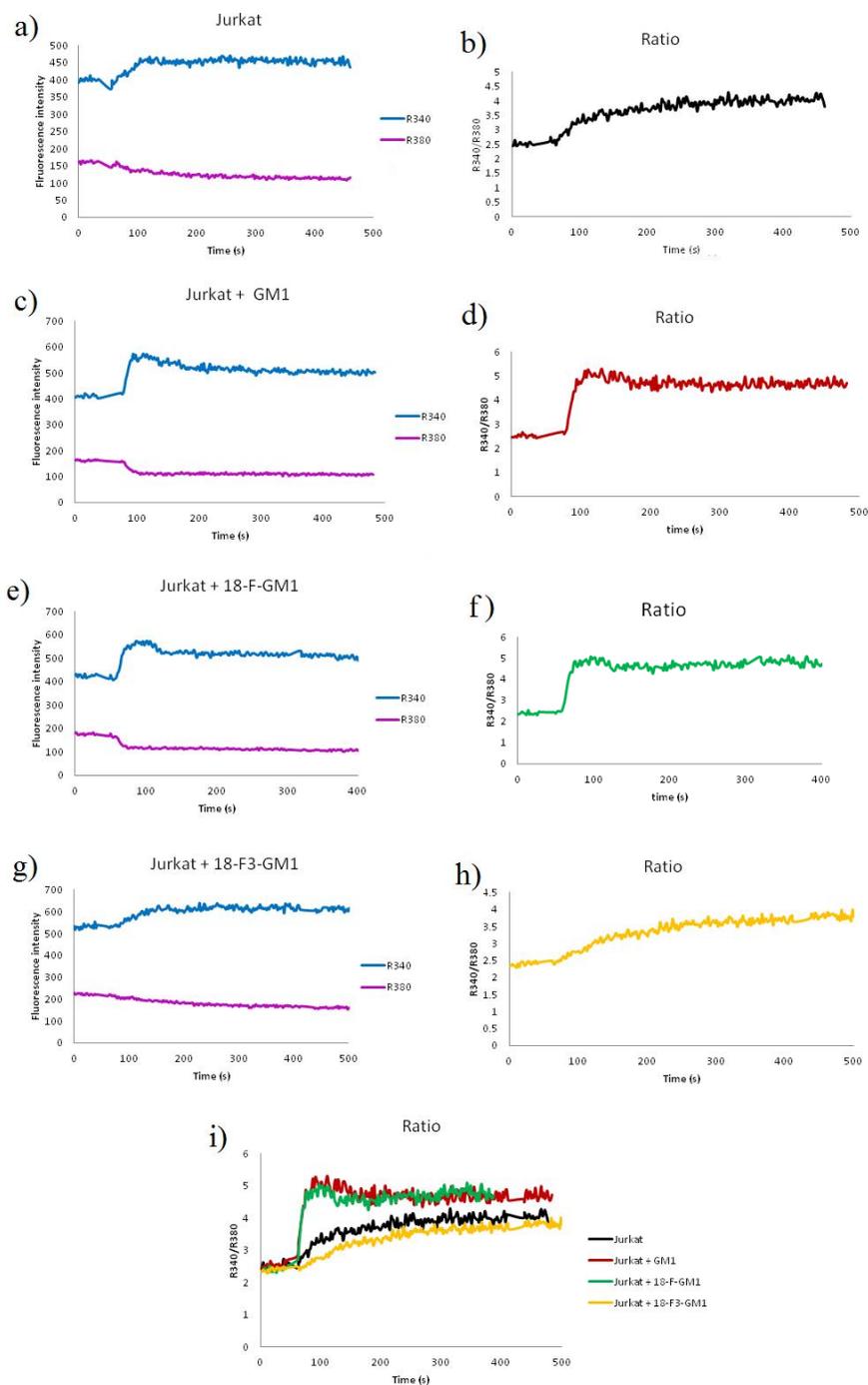


Fig 3.20 Induction of calcium concentration by GM1 and F-GM1 in Jurkat cells. a, c, e, g showed Jurkat cells incubated with buffer, natural GM1, 18-F-GM1, or 18-F3-GM1 followed by CTB, anti-B antibody, and fura-2/AM to evaluate the fluorescence change by calcium concentration at excitation 340 and 380 nm and excitation 510 nm. b, d, f, h are the ratio of fluorescence excited at 340 and 380 nm. i represented the ratios in one graph to compare them.

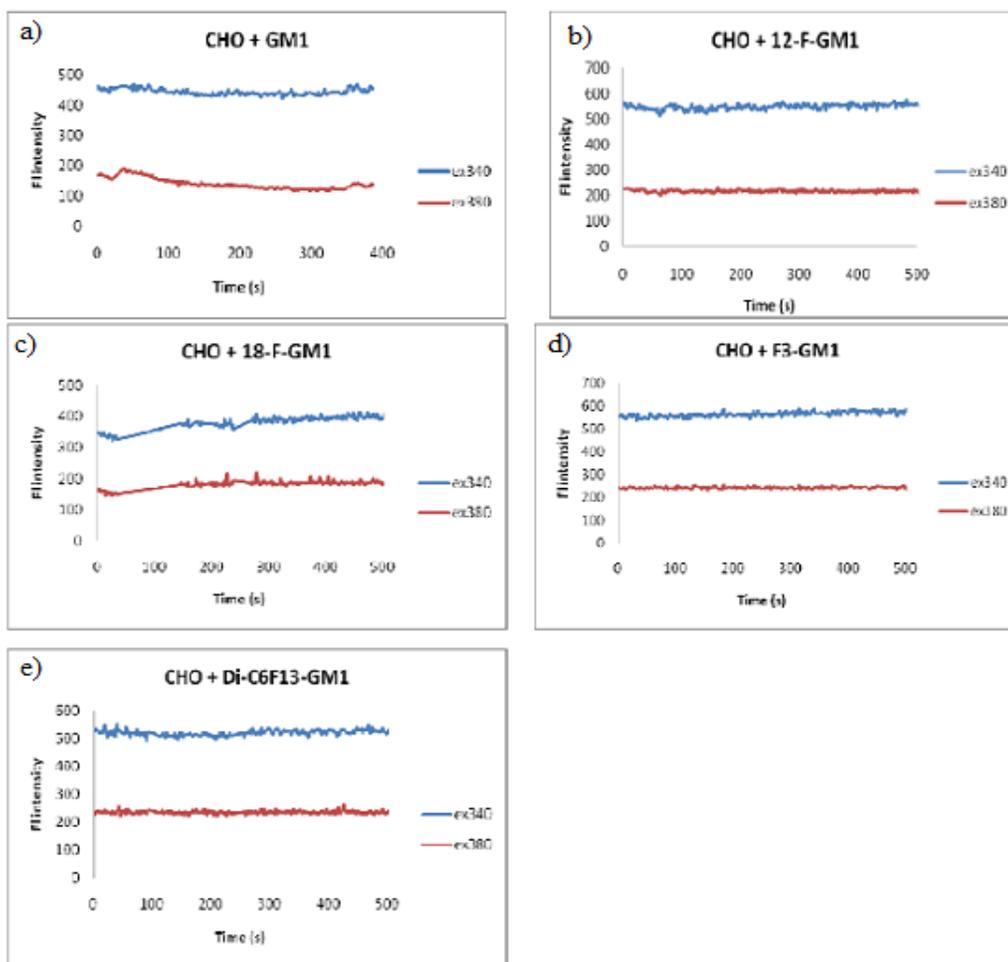


Fig 3.21 GM1 and F-GM1 did not induce calcium concentration change in CHO-K1 cells. CHO-K1 cells incubated with (a) natural GM1, (b) 12-F-GM1, (c) 18-F-GM1, (d) 18-F3-GM1, or (e) C6F13-GM1 followed by CTB, anti-B antibody, and fura-2/AM to evaluate the fluorescence change by calcium concentration at excitation 340 and 380 nm and excitation 510 nm.

### 3.3.3 Conclusions

The synthetic F-GM1 analogues have been incorporated onto mammalian cells to evaluate their ability of triggering calcium signaling. In Jurkat T cells, 18-F-GM1 induced calcium ion influx at the same level as the natural GM1, whereas 18-F3-GM1 did not induce significant change of cytosolic calcium ion concentration compared to the wild type Jurkat cells. The tri-fluorinated GM1 requires more steric demand than the mono-fluorinated analogue, thus may cause change of their phase properties and membrane behaviors in lipid raft formation, and result in different influences on cell signaling pathways. Since wild type Jurkat cells express natural GM1 on their surfaces, the calcium signaling assay always showed a large background. If the GM1 knock-out mutant of Jurkat cells is available, the F-GM1 induced calcium signaling could be more accurately analyzed.

CHO-K1 cells have been tested for calcium signaling with F-GM1 analogues, but none of them showed significant calcium ion concentration change including natural GM1, indicating that the calcium signaling pathway in CHO-K1 is not closely related to the GM1 concentration on cell surfaces. Better cell line platforms are required to test the F-GM1/cellular calcium signaling pathway relationship. In addition, as various cell signaling pathways are proven to be related to lipid raft formation, other cell signaling pathways such as protein phosphorylation<sup>52,53</sup> can be used to study lipid raft functions in living cells.

### 3.3.4 Experimental section

**Materials.** Human T cell leukemia Jurkat clone E6.1 (ATCC number: TIB-152) and CHO-K1 cells (ATCC number: CCL-61) are from ATCC. CT B subunit is purchased from Sigma Aldrich. Anti-CTB antibody was from Meridian Life Science (Saco, ME). Anti-CD3 antibody is from Biolegend (San Diego, CA). Fura-2 acetoxymethyl ester (Fura-2/AM) is from AXXORA (San Diego, CA). The cell culture medium and buffer are from Invitrogen (Cambridge, MA). Other common reagents are from Sigma Aldrich.

**Cell culture.** Jurkat T cells (clone E6.1) were cultured in complete culture medium of RPMI 1640 medium supplemented with 10% FBS and antibiotics, and CHO-K1 cells were cultured in HAM-F12 medium with 10% FBS and antibiotics. The medium was changed 2-3 times a week, and cells with passage number 3-8 were used for calcium signaling assay. The cells were incubated at 37 °C under 5% CO<sub>2</sub> for 24 h before use. When indicated the cells were incubated in culture medium (10 % FBS) with exogenous 25 μM ganglioside GM1 (or F-GM1) for 2 h followed by incubation with 250 ng/mL CTB for 1 h after wash.

**Ca<sup>2+</sup> signaling measurement.** Jurkat T cells were washed with 50 mM HEPES buffer (pH 7.2, supplemented with 120 mM NaCl, 1 mM CaCl<sub>2</sub>, 0.5 mM MgCl<sub>2</sub>, 5 mM KCl, 1 mM Na<sub>2</sub>HPO<sub>4</sub>, and 1 mg/mL of glucose) 3 times with 2 mL each, and incubated with 400 μL fura-2/AM<sup>54</sup> (5 mM in HEPES buffer) for 20 min, then add 7 mL of HEPES buffer and incubated for 20 min. The cells were washed once with HEPES buffer and resuspended in 2 mL of HEPES buffer in cuvettes for calcium assay. The cuvette of cells were prewarmed to 37 °C for 5 min, and fluorescence was measured with excitation at

340 and 380 nm and emission at 510 nm (10 nM slit widths for both em and ex). Calcium signaling in cells was triggered by adding anti-CTB antibody (40  $\mu\text{g}/\text{mL}$ ) and CTB (5 $\mu\text{M}$ ) successively. Anti-CD3 antibody triggered calcium signaling was used for positive control. The fluorescence was monitored at 37 °C for 10 min.

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## *Chapter 4*

### *Phase behaviors of fluorinated GMI*

NanoSIMS is a newly developed technique that image sample surface based on the secondary ion mass spectrometry (SIMS). Various biological samples, including biomembranes, have been analyzed on nanoSIMS to study their chemical composition. By using nano-SIMS, phase separation in supported lipid bilayers has been elucidated on a chemical composition manner.<sup>1</sup> We have employed the synthetic F-GM1 analogues in supported lipid bilayers to study their phase behaviors by nanoSIMS. Since fluorine is barely found in mammalian cells, the fluorine on F-GM1 analogues can be used to distinguish them from other molecules in nanoSIMS, as a distinct “F” secondary ion. Fluorine atoms are sensitive in nanoSIMS due to their high electron affinity, but their detailed influences on samples, such as minimum concentration request, fluorination level and region effects, interfering with neighbor molecules, have not been reported. Our purpose is to elucidate the distribution of fluorinated GM1 in the lipid bilayers using nano-SIMS scanning. The long-term goal is to develop a general platform to identify the distribution of bio-orthogonal labeled F-GM1 in plasma membrane and directly answer the question whether lipid rafts exist in living cells.

#### **4.1 Secondary ion mass spectrometry**

Mass spectrometry has been widely used to illustrate chemical compositions of complicated biological materials, such as the topological analysis of peptides,<sup>2</sup> proteins,<sup>3,4</sup> sugars<sup>5</sup> and nucleotides<sup>6</sup>. To date, there are two major methods of imaging mass spectrometry (IMS), the matrix-assisted laser desorption/ionization (MALDI) imaging and the SIMS imaging. MALDI imaging can analyze a small area of the sample surface by using a focused laser beam,<sup>7</sup> however, its resolution is restrained by the size of laser

spots and usually at micrometer scale.<sup>8</sup> SIMS imaging is a non-light excitation system and can generate secondary ions on the sample surface by a finely focused primary ion beam. Equipped with coaxial optics that enable copropagation of the primary and secondary ions, SIMS imaging has easily achieved the resolution of 100 nm.<sup>9</sup> SIMS was initially used in physics and materials science, and not until 2000 it has been applied to biological sample analysis.<sup>9</sup>

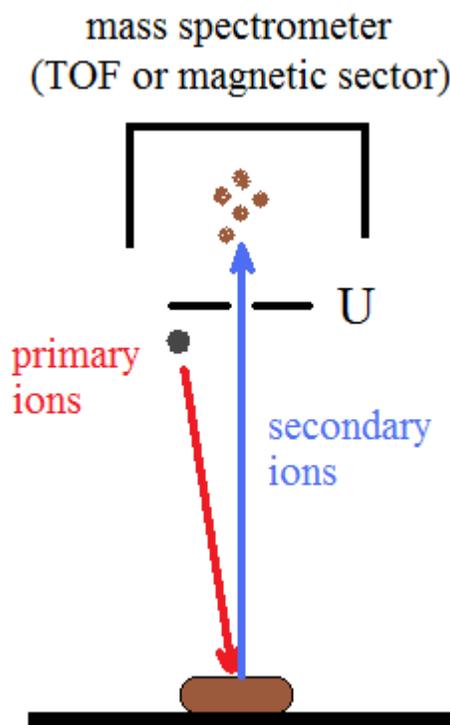


Fig 4.1 Schematic representative of secondary ion mass spectrometry (SIMS) instrument.

SIMS imaging uses a confined primary ion beam to scan across the sample surface and generate secondary ions that are analyzed by the mass spectrometer, either magnetic sector (nanoSIMS) or time of flight detector (TOF-SIMS).<sup>9</sup> (Fig 4.1) The NanoSIMS uses a continuous primary ion beam of either Cs (for negative secondary ions)

or O (for positive secondary ions), and can simultaneously analyze multiple secondary ions by using up to seven pre-selected  $m/z$  detectors. The TOF-SIMS analyze the secondary ions by using a time-of-flight mass spectrometer, thus can create a full mass spectrum of the materials at once.

SIMS imaging has been more and more used in analysis of biological sample in the last few years, such as plasma membranes<sup>10,11</sup>, cell samples<sup>12</sup>, and brain sections<sup>13</sup>. Using the nanoSIMS, the lipid or protein molecules are labeled with isotopes, including <sup>2</sup>H, <sup>15</sup>N, <sup>13</sup>C, <sup>31</sup>P, and <sup>32</sup>S, to distinguish the sample ions from the others.<sup>14,15</sup> The TOF-SIMS is able to detect up to  $m/z$  1000, thus can recognize larger fragments to distinguish biological species and require no label of the samples.<sup>16-18</sup> A variety of solid supported lipid bilayers have been studied by SIMS imaging to illustrate their 2-D chemical compositions,<sup>15,19-23</sup> and phase separation has been observed in the membrane on both nano-SIMS<sup>15</sup> and TOF-SIMS<sup>20,22,24</sup>. For example, Kraft<sup>15</sup> and co-workers used isotopic label lipid probes successfully revealed the phase separation of lipid bilayers by high resolution nanoSIMS imaging. The 3-D imaging of cell samples has been achieved in TOF-SIMS by using  $C_{60}^+$  primary ions to bombard the sample surface and obtain SIMS images at different depths.<sup>25,26</sup> Recently, Fletcher<sup>27</sup> reported that the non-fixed frozen cell samples can give more spatial information than chemically fixed frozen cell samples in both 2-D and 3-D TOF-SIMS imaging.

## **4.2 Results and discussion**

### **4.2.1 Clustering of 12-F-GM1 in a quaternary mixture of lipid bilayers**

The lipid bilayers containing 5% 12-F-GM1 has been analyzed by nanoSIMS to investigate the phase behavior in a quaternary mixture with SPM, DOPC, and cholesterol (2:2:1). Isotopic labeling of the lipid molecules and fluorination of GM1 enables distinguishing different substrates by their distinct secondary ions. It was not surprising that the mono-fluorination provides enough secondary F<sup>-</sup> ions for nanoSIMS imaging, mainly due to the high electron affinity of fluorine atoms. NanoSIMS images revealed that 12-F-GM1 clustered with cholesterol to form microdomains, whereas SPM and DOPC coexist to fill out the major space of lipid bilayers. (Fig 4.2) The microdomains are in size of hundreds of nanometers to micron scales. This is the first nanoSIMS image of ganglioside GM1 residing in lipid domains in lipid bilayers. It confirmed the coalescence of GM1 and cholesterol to form lipid microdomains and SPM is away from these microdomains. The results fulfilled our goal to use F-GM1 as a tool to analyze the chemical compositions of lipid membranes and study lipid rafts by nanoSIMS.

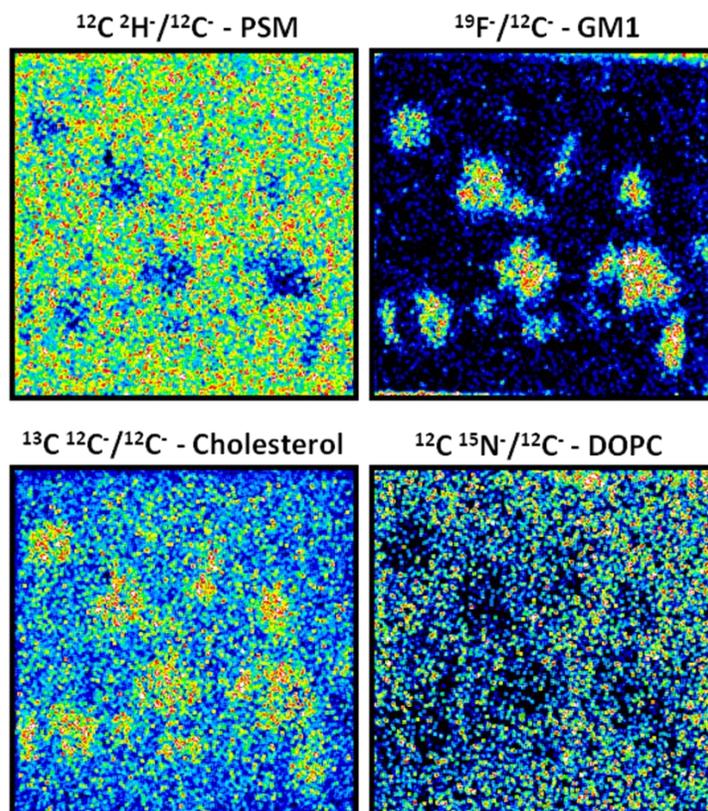


Fig 4.2 NanoSIMS imaging of 12-F-GM1 in lipid bilayers. (2:2:1  $^2\text{H}$ -SPM: $^{15}\text{N}$ -DOPC: $^{13}\text{C}$ -Cholesterol + 5mol%  $^{12}\text{-}^{19}\text{F}$ -GM1, raster size:  $16 \times 16 \mu\text{m}$ )

#### 4.2.2 Clustering of 18-F-GM1 formed larger rafts in lipid bilayers

The 18-F-GM1 (5%) was applied to supported lipid bilayers (SPM/DOPC/cholesterol = 2:2:1) to analyze its phase behaviors by nanoSIMS. As a result, 18-F-GM1 and cholesterol rich microdomains have been observed, and interestingly, 18-F-GM1 formed much larger microdomains in lipid bilayers compared to that of 12-F-GM1. (Fig 4.3) The larger microdomains resulted in significant decrease of secondary ion signal intensity per unit area, which was not observed in 12-F-GM1 patches. In smaller patches by 12-F-GM1, the signal contrast between the GM1 rich and poor regions was clearer. Obviously,

the different behaviors between 18-F-GM1 and 12-F-GM1 were caused by their different fluorination positions. It is possible that compared to the terminal fluorination, the fluorine atom on the 12-position leads to a tilt angle in the lipid tail and results in a larger free volume of the molecule in lipid bilayer, thus decreases its ability to form large gel phases. This hypothesis can be easily verified by checking their phase transition temperatures ( $T_m$ ). In fact, an experiment of differential scanning calorimetry (DSC) test has been done and revealed the dramatically changed  $T_m$  of 12-F-GM1 (see details in section 4.2.3).

A type of tiny SPM-rich domains was also observed in the large cholesterol rich domains in the bilayers containing 18-F-GM1, and these tiny domains were immiscible with the cholesterol rich domains. A same image of the similar mixture (GM1 instead of 18-F-GM1) formed lipid bilayers was reported by Johnston<sup>28</sup>, in which tiny domains were observed in large domains by AFM imaging. However, the AFM imaging only illustrated the height differences but not the chemical compositions, hence they interpret the tiny domains to be GM1 rich patches. Therefore, the nanoSIMS imaging is able to cover the backside of AFM imaging, and their combination provides a powerful tool to study biological membranes.

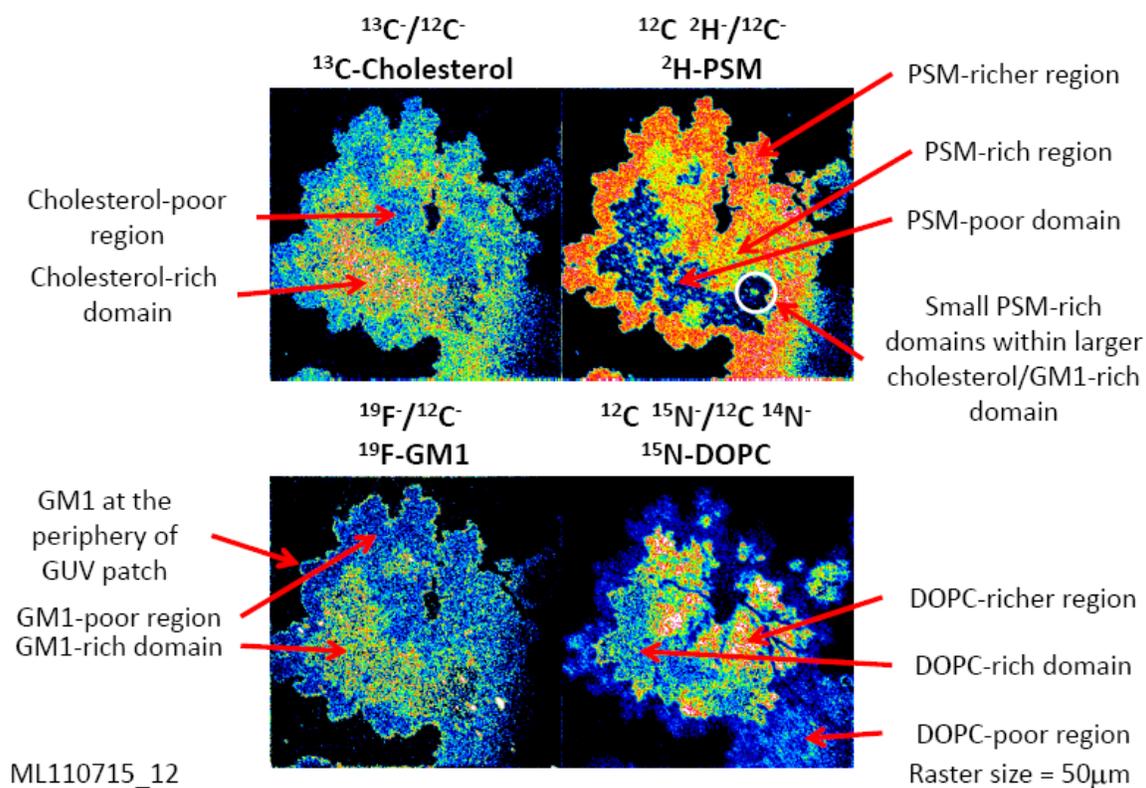


Fig 4.3 NanoSIMS imaging of 18-F-GM1 in lipid bilayers. (2:2:1  $^2\text{H}$ -SPM: $^{15}\text{N}$ -DOPC: $^{13}\text{C}$ -cholesterol + 5mol% 18- $^{19}\text{F}$ -GM1, raster size:  $50\times 50\mu\text{m}$ )

#### 4.2.3 Differential scanning calorimetry study on F-GM1 analogues

The phase transition temperature of GM1 analogues (2 mg/mL in water) were analyzed by differential scanning calorimetry (DSC) from 0 to 50 °C at 1 °C/min. These DSC scanning were cycled for at least 10 h incubation periods and perfect reproducible results were obtained. The native GM1 showed a broad peak of about 20 degrees, with maximum at 21 °C and 19 °C during heating and cooling scans, respectively. (Fig 4.4) This result is in agreement with previous report of phase transition temperature of hydrated GM1 by DSC scanning.<sup>29</sup> The terminal fluorinated GM1 showed similar

behavior in calorimetric scanning, in which 18-F-GM1 showed the  $T_m$  at 18 °C and 16 °C, whereas 18-F3-GM1 showed  $T_m$  at 17 °C and 15 °C during heating and cooling processes, respectively. (Fig 4.5 and 4.6) The slightly reduced  $T_m$  of terminal fluorinated GM1 could be explained by the reported  $T_m$  trend of fluorinated lipids that lipids with higher fluorination degree exhibits lower phase transition temperature.<sup>30</sup>

The 12-F-GM1 exhibited no obvious phase change peak within the calorimetric scanning temperature range, indicating a much lower  $T_m$  compared to other GM1 analogues. (Fig 4.7) The significant difference was proposed due to the tilted lipid tail of 12-F-GM1, thus resulted in a larger free volume of 12-F-GM1 molecule in lipid bilayers and lower  $T_m$  between ordered and disordered states. A simple reference could be DPPC ( $T_m = 41$  °C) and POPC ( $T_m = -2$  °C), in which the double bond in POPC causes a curved fatty acid chain and a larger free volume, hence dramatically reduces the phase transition temperatures. The DSC data explained our observation in nanoSIMS imaging that 18-F-GM1 and 12-F-GM1 formed different size of membrane domains in the lipid bilayers.

Overall, the DSC study of F-GM1 analogues revealed their phase behavior in membranes. Compared to native GM1, 18-F-GM1 and 18-F3-GM1 exhibited similar properties, whereas 12-F-GM1 exhibited a significant change. The 18-F-GM1 has the closest properties to the native GM1, suggesting its potential usage as a native GM1 mimic for biological and biophysical studies. The DSC studies strongly supported our investigation of F-GM1 behaviors in biological membranes.

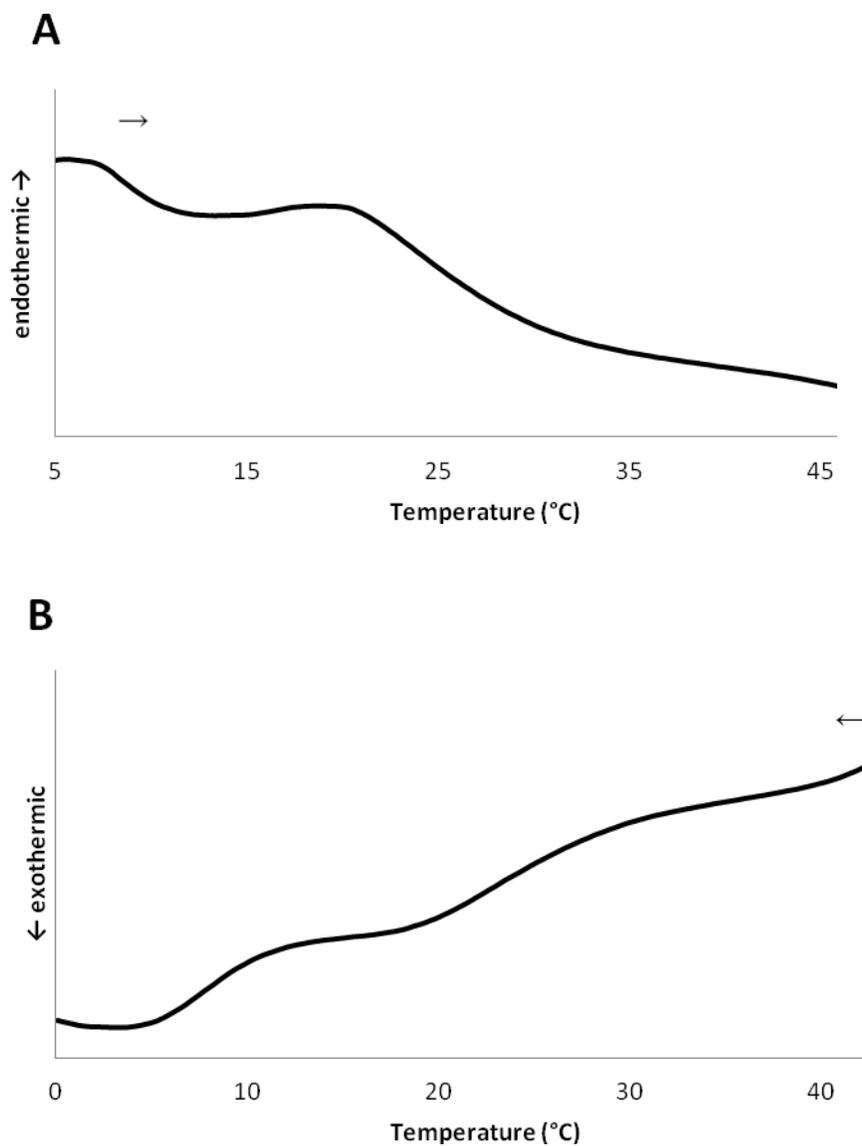


Figure 4.4 DSC heating/cooling scans of ganglioside GM1 in water (2 mg/mL) at scan rate of 1 °C/min. A. Heating scan,  $T_m = 21 \pm 1$  °C. B. Cooling scan,  $T_m = 19 \pm 1$  °C.

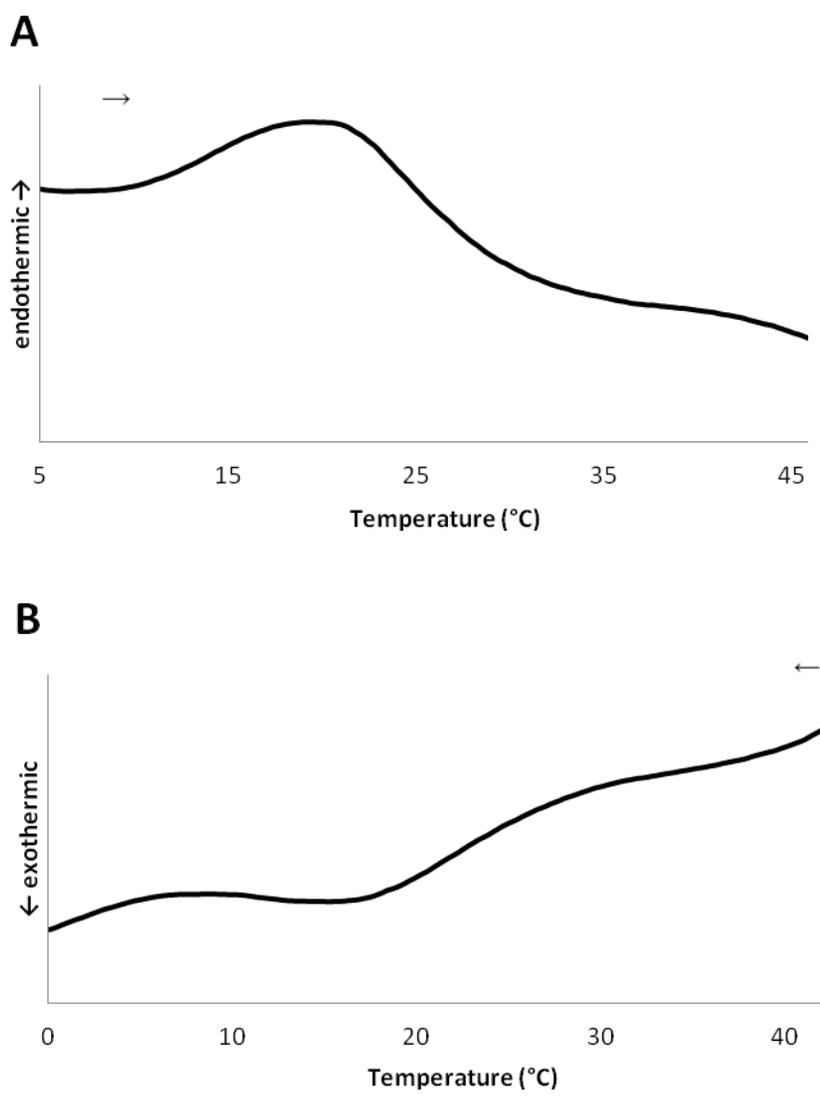


Figure 4.5 DSC scans of 18-F-GM1 in water (2 mg/mL) at scan rate of 1 °C/min. A. Heating scan,  $T_m = 18 \pm 2$  °C. B. Cooling scan,  $T_m = 16 \pm 2$  °C.

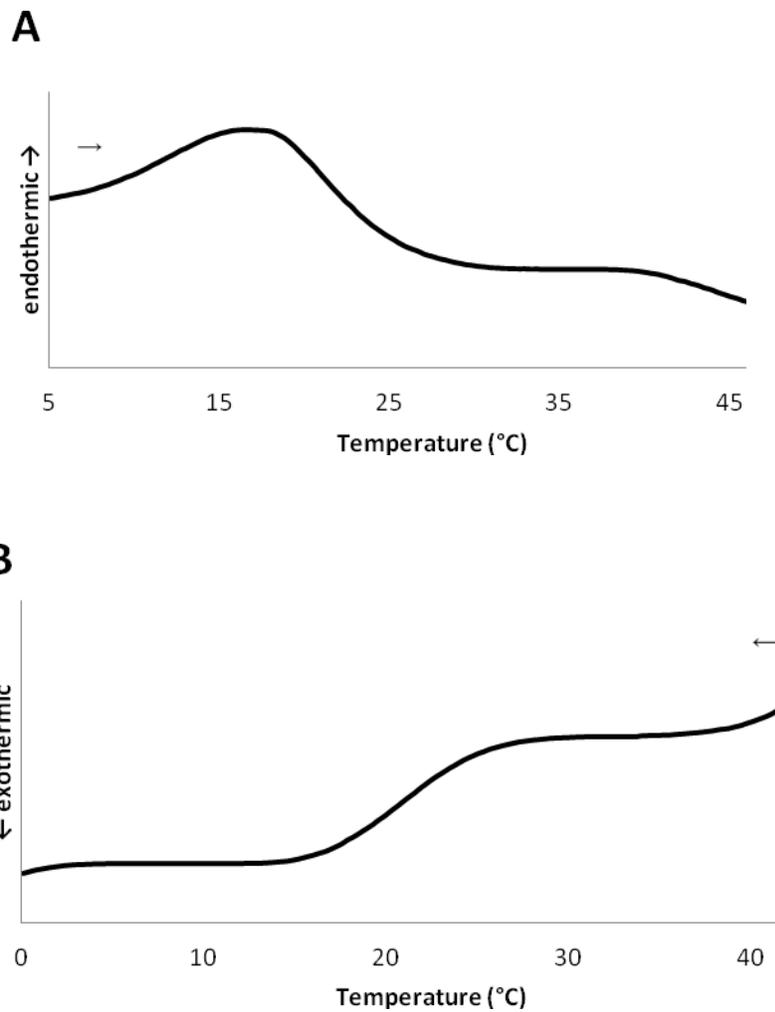


Figure 4.6 DSC scans of 18-F3-GM1 in water (2 mg/mL) at scan rate of 1 °C/min. A. Heating scan,  $T_m=17\pm1$  °C. B. Cooling scan,  $T_m=15\pm1$  °C.

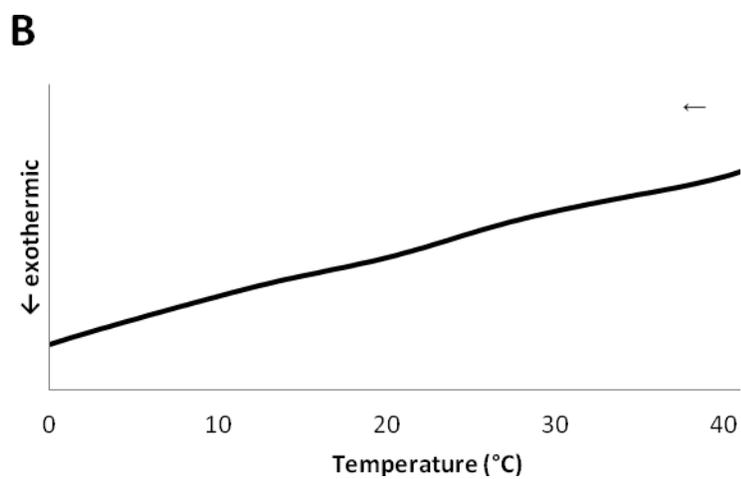
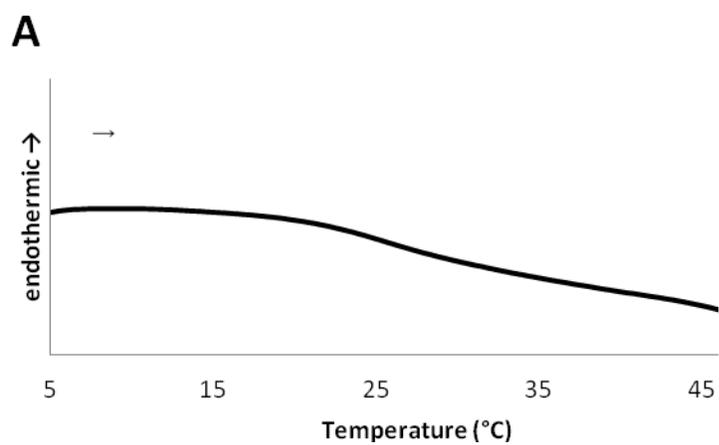


Figure 4.7 DSC scans of 12-F-GM1 in water (2 mg/mL) at scan rate of 1 °C/min,  $T_m$  was not observed within the temperature range. A. Heating scan. B. Cooling scan.

### 4.3 Conclusions

The F-GM1 analogues have been studied in a quaternary mixture of lipid bilayers and generated the first nanoSIMS image of F-GM1 and cholesterol rich lipid rafts. The mono-fluorination of GM1 generated sensitive and efficient secondary ion signal for nanoSIMS imaging. The different fluorination positions on GM1 resulted in different size of GM1-cholesterol rich domains in lipid bilayers. Further investigations by DSC scanning confirmed that 18-F-GM1 has similar phase properties as the native GM1, whereas 12-F-GM1 exhibited significant difference. Therefore, the 18-F-GM1 can be used in cell membrane to study the lipid rafts formation as an almost “label-free” GM1 probe. A type of tiny domains formed by SPM-DOPC was observed in the large GM1-cholesterol rich domains. Complemented with AFM and other cutting-edge analytical instruments, the nanoSIMS will definitely contribute an important role in cell membrane studies.

#### 4.4 Experimental section

*Preparation of the lipid bilayers.* The lipid bilayers were prepared by fusing GUVs to a SiO<sub>2</sub>/Si substrate forming lipid bilayer patches (the GUV ruptured into a dendritic patch as opposed to a close to circular patch) followed by thermal treatments to allow phase separation.

*Nano-SIMS analysis of lipid bilayers.* SIMS imaging was performed on the NanoSIMS 50L at Stanford University. The measurements were made with a ~2 pA <sup>133</sup>Cs<sup>+</sup> primary ion beam focused on a ~100 nm diameter spot and rastered over sample areas that were 20 mm by 20 mm to 50 mm by 50 mm. The images consist of 10 replicate scans of 512 pixels by 512 pixels with a dwell time of 1 ms/pixel. Secondary ion intensities for <sup>12</sup>C<sup>-</sup>, <sup>13</sup>C<sup>-</sup>, <sup>12</sup>C<sup>2</sup>H<sup>-</sup>, <sup>19</sup>F<sup>-</sup>, <sup>12</sup>C<sup>14</sup>N<sup>-</sup>, and <sup>12</sup>C<sup>15</sup>N<sup>-</sup> were collected simultaneously in multi-collection mode. A mass resolving power of ~8900 and ~5600 were used to separate isobaric interferences <sup>12</sup>C<sup>2</sup>H<sup>-</sup> from <sup>13</sup>C<sup>1</sup>H<sup>-</sup> and <sup>12</sup>C<sup>1</sup>H<sup>2-</sup> at mass 14 and <sup>12</sup>C<sup>15</sup>N<sup>-</sup> from <sup>13</sup>C<sup>14</sup>N<sup>-</sup> at mass 27 respectively. Samples were also simultaneously imaged using secondary electrons.

*Differential scanning calorimetry.* The GM1 and F-GM1 analogues were dissolved in milliQ water (2 mg/mL) and injected to fill up the cell in calorimeter. Calorimetry scanning was performed on NanoDSC-II (Model 6100, Calorimetry Sciences Corporation, Provo, UT) and scanned at 1 °C/min from 0 to 50 °C after 1 h equilibrating.

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## *Chapter 5*

### *Endocytic trafficking pathway of ganglioside GM1*

Endocytosis has been an important strategy for drug delivery to the targets inside the cell. One of the endocytic pathways is the lipid rafts induced endocytosis, although its detailed mechanism is still unclear. Since ganglioside GM1 is a common molecule found in lipid rafts, modified GM1 could be a potential carrier to deliver cargos into the cells. However, the trafficking pathway of GM1 inside the cells remains under debate, mainly due to different probes used for GM1 tracking. In this chapter, we have designed a fluorescently labeled GM1 analogue to study the trafficking pathway of GM1 in mammalian cells, therefore provided with the theoretical basis for drug delivery using GM1 as a potential cargo carrier.

## **5.1 Background**

Endocytosis is a controlled pathway for mammalian cells to take nutrients and essential materials from the environment into the cells.<sup>1</sup> The endocytosis process begins from the formation of vesicles with plasma membranes on the cell surface, followed by internalization and transport of the cargos inside the cells, and then the vesicles are sorted out in early endosomes and recycling endosomes, resulting in either degradation of cargos in lysosomes or back recycling to plasma membranes. To date, there are six endocytic pathways found in mammalian cells classified by different medias, such as clathrin, caveolae, CLIC/GEEC, IL2R $\beta$ , Arf6, and flotillin, but mechanisms of most of them are still not clear.<sup>2</sup>

Glycosphingolipids, including ganglioside GM1, play an important role in the caveolar-type endocytosis pathway. Caveolae is consistently abundant in many cells, in a certain range, revealed by different endocytic markers, such as SV40 virions, cholera

toxin B subunit, and GPI-anchored proteins.<sup>3,4</sup> Caveolin1, the major caveolae forming membrane protein, can bind to the fatty acid tail of GM1 and co-localize with GM1 in cell membranes.<sup>5</sup> A clathrin independent endocytosis has also been observed in caveolin1 depleted cells with a cholesterol dependent manner, indicating the endocytic pathway is induced by a certain composition of lipids in plasma membranes. This endocytosis was then classified as lipid rafts induced endocytic pathway, and verified by many endocytic labels including CTB, GM1, SV40 virions, GPI-anchored proteins, and a variety of protein.<sup>1,6</sup>

Endocytosis is an important strategy to deliver macromolecules that are impermeable to plasma membranes into the cells.<sup>7</sup> In this strategy, the impermeable molecules are conjugated with a membrane permeable carrier that can be incorporated in to plasma membranes followed by internalization via endocytic pathways. A variety of hydrophobic or amphiphilic carriers have been designed to utilize endocytosis for delivery, such as lipids<sup>8-10</sup>, peptides<sup>11-13</sup>, and nano-particles<sup>14-16</sup>. As a common lipid rafts component in cell membranes, GM1 can construct a potential carrier that more efficiently via endocytic pathways, compared to other carriers that passively utilize endocytosis for delivery. With synthetic methods in hand to modify GM1, cargos can be easily conjugate to modified GM1 with a linker. Therefore, if we know the trafficking pathway of GM1 inside the cell, we can selectively deliver GM1 linked cargos to organelles.

The endocytic trafficking pathway of GM1 has been studied using different markers, such as CTB and anti-GM1 antibodies. However, different trafficking routes of GM1 have been observed using different markers.<sup>17</sup> For example, the fluorescently

labeled CTB was internalized by binding to cell surface GM1 and transported to early and recycling endosomes, trans-Golgi network (TGN), and endoplasmic reticulum (ER); whereas fluorescently labeled anti-GM1 antibodies were only found in early and recycling endosomes, followed by transporting back to plasma membranes but never reached golgi and ER. The differential trafficking of GM1 bound proteins suggested that proteins could influence the endocytic pathway and lead to different destinies. It was not surprising that CTB and antibodies can influence the GM1 tracking route, because both have much larger size than GM1 and could inevitably perturb the interactions between the bound GM1 and the surrounding molecules. In order to study the endocytic pathway of GM1, new GM1 tracking methods are required to reduce the steric effect, such as directly labeling of GM1 with fluorescent probes.

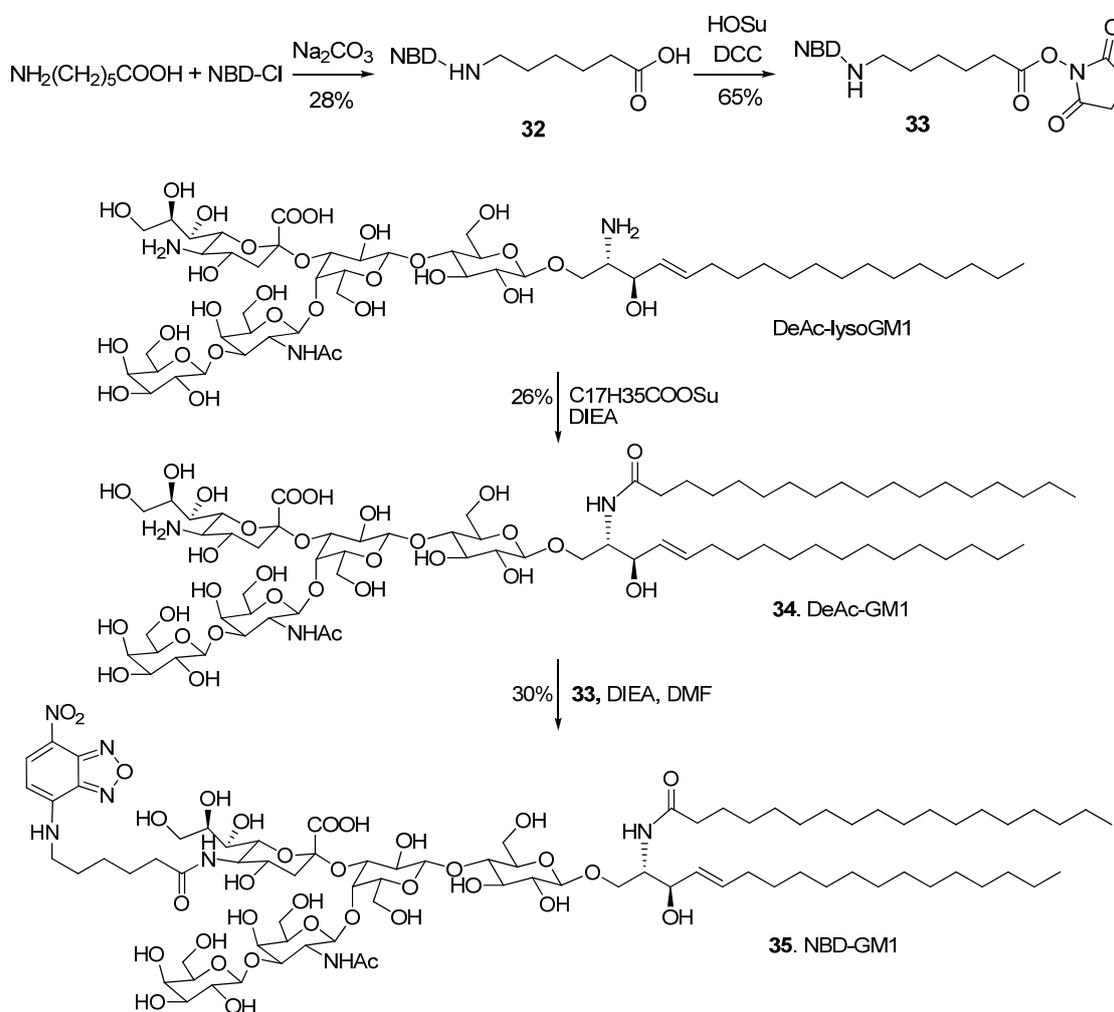
The fluorescently labeled GM1 was obtained in 1986, Acquotti<sup>18</sup> modified the natural GM1 by substituting the fatty acid chain with a pyrene labeled fatty acid. To date, a variety of GM1 derivatives with different fluorophores have been synthesized. Both the fatty acid tail and the 5-amidyl group on sialic acid have been employed as fluorescent modification sites.<sup>19-24</sup> These fluorescence active GM1 derivatives have been widely used to study biophysical properties and phase behaviors of GM1 both in artificial membranes and cell membranes.<sup>23,25-28</sup>

To study the endocytic trafficking pathway of GM1, we have designed to modify the 5-position of sialic acid with a NBD fluorophore. Since GM1 anchors into membranes with its lipid tails and leaves the oligosaccharide head group out in the aqueous solution, we modified the sialic acid residue with NBD to avoid affecting its

incorporation in membranes. In addition, the small size of NBD compared to other available fluorophores could minimize the potential influences on GM1 interaction with neighbors. In this chapter, the synthesis of NBD labeled GM1 and its trafficking pathway in Hela cells will be described.

## 5.2 Results and Discussions

### 5.2.1 Synthesis of NBD-GM1



Scheme 5.1 Synthetic route to NBD labeled GM1 derivative **35**.

The NBD labeled of GM1 is achieved using deacetylated GM1 (DeAc-GM1) coupled with the NBD fluorophore. NBD-Cl was firstly tried to directly couple with DeAc-GM1 but the yield was found to be extremely low, mainly due to the steric hindrance on the oligosaccharide moiety. (data not shown) Therefore, NBD-Cl was coupled to a six carbon linker with a carboxylic acid terminal and treated with *N*-hydroxysuccinimide and DCC to make an activated ester for coupling. DeAc-GM1 **34** was synthesized by selectively coupling of DeAc-lysoGM1 with NHS activated stearic acid on the sphingosine amine group under kinetic control. The steric hindrance of oligosaccharide moiety provided with a good selectivity on the sphingosine side, and hydrophobicity of stearic acid also potentially contributes to the same selectivity on the sphingosine. DeAc-GM1 was then coupled with NBD-linker-NHS ester to give NBD labeled GM1 derivative **35**.

### **5.2.2 Confocal imaging of NBD-GM1 in HeLa cells**

HeLa cells that express GM1 on their surface were used to monitor the trafficking of GM1 inside the cells by confocal fluorescence microscopy. Briefly, HeLa cells were incubated with NBD-GM1 **35** for 2 h to allow the internalization to occur. Cells were transfected with CellLight RFP agents to enable fluorescently labeling of ER or golgi complex by expressing RFP at corresponding organelles. Lysosomes, early endosomes, and recycling endosomes were labeled using lysotracker, Dextran-Texas Red, and Tf-Alex Fluor stain, respectively. Cell nuclei were stained by DRAQ.

The confocal imaging revealed a differential endocytic trafficking pathway of GM1 in HeLa cells. (Fig 5.1) By comparing the co-localization with other dyes, NBD-GM1 was observed significantly accumulation in lysosomes, golgi, and ER, trace amount

in early endosomes and recycling endosomes, and none was observed in the nuclei. It can be, thus, suggested that the external GM1 will be mainly delivered to lysosomes, golgi, and ER by endocytic pathway. Drugs that have targets in lysosomes, golgi, or ER could be potentially delivered by GM1 carriers. Certainly, the actual design and application of GM1 assisted drug delivery require a lot of work to do. But the synthesized fluorescently labeled GM1 and cargo linked GM1 can also be used in detections and studies of interaction between the cargo and other molecules on a membrane platform. Additionally, the novel design of GM1 modification highlighted the application of lipid rafts theory on a broad way.

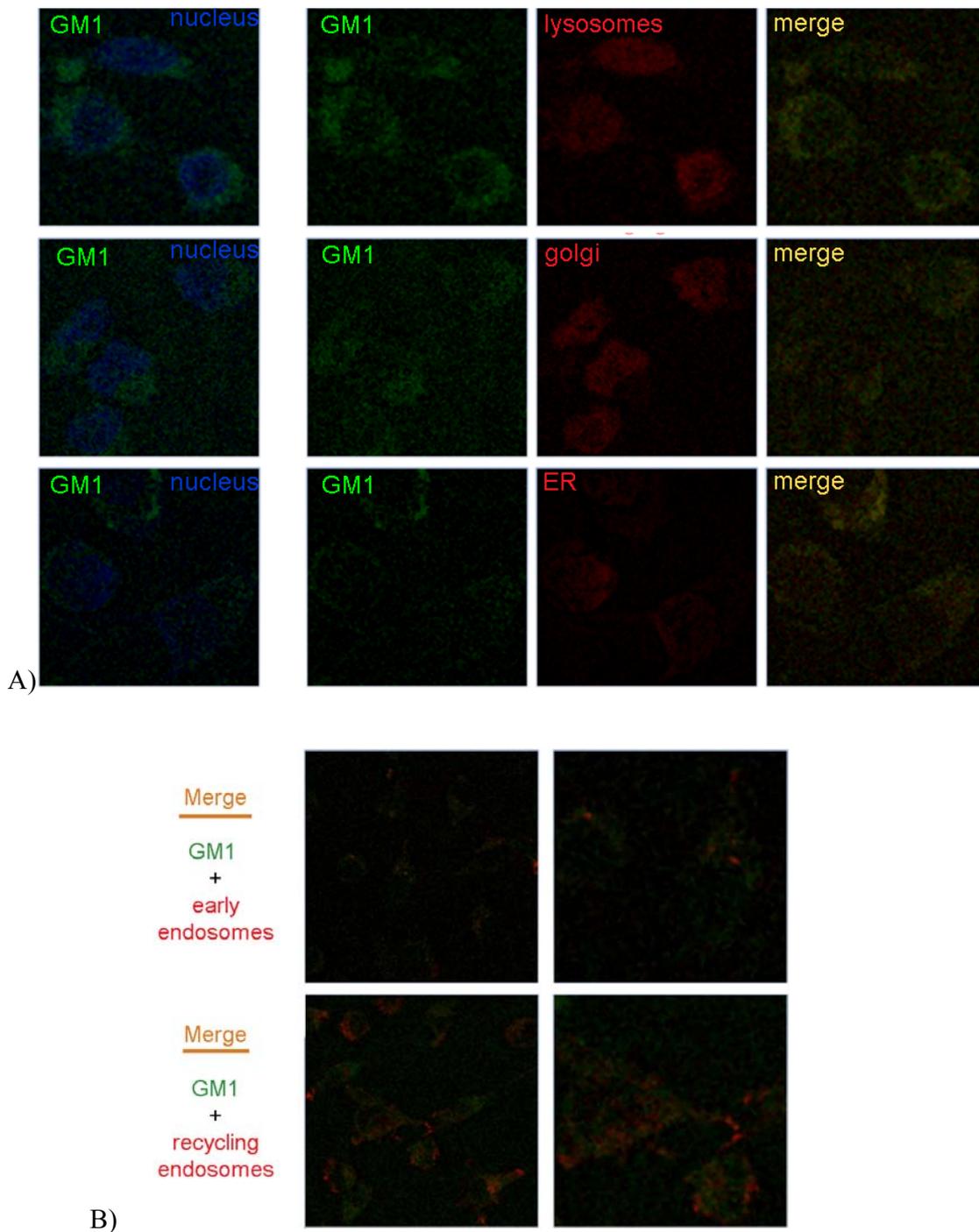


Fig 5.1 Confocal imaging of NBD labeled GM1 in HeLa cells. A) HeLa cells were incubated with 5  $\mu$ M NBD-GM1 at 37  $^{\circ}$ C for 1 h followed by 100 ng/mL lysotracker stain for 30 min (1<sup>st</sup> row), or transfected HeLa cells expressing RFP targeting golgi (2<sup>nd</sup> row) or ER (3<sup>rd</sup> row) incubated with 5  $\mu$ M NBD-GM1 at 37  $^{\circ}$ C for 1 h. B) HeLa cells were incubated with 5  $\mu$ M NBD-GM1 at 37  $^{\circ}$ C for 1 h followed by 1 mg/mL Dextran-Texas Red (1<sup>st</sup> row) or 50  $\mu$ g/mL Tf-Alex Fluor 647 stain (2<sup>nd</sup> row) for 30 min.

## 5.4 Conclusions

Ganglioside GM1 plays an important role in the clathrin independent endocytosis processes. However, the endocytic pathway of GM1 still remains unclear. Fluorescently modified GM1 was synthesized to investigate its trafficking pathway in living cells. NBD labeling on the carbohydrate moiety was used in the design to minimize possible influence and interaction on the trafficking pathway. The external NBD labeled GM1 was incorporated onto HeLa cells and analyzed using confocal fluorescence microscopy imaging. By comparing the localized positions of NBD-GM1 with other cell machinery stains, the external GM1 was found accumulated in lysosomes, golgi complex, and ER, whereas in the early endosomes, recycling endosomes and nuclei seldom or none of NBD-GM1 was observed. The results briefly described the endocytic GM1 trafficking pathway in HeLa cells, provided with potential applications in further molecular probe design and drug delivery exertion.

## 5.5 Experimental section

**Materials and instruments.** The HeLa cell line was obtained from Cancer center, Tufts Medical School. Common chemicals were purchased from Sigma-Aldrich, USA. CellLight™ RFP BacMam 2.0 was purchased from Invitrogen, MA. Silica gel 60A 230-400 mesh for column chromatography was from Whatman Inc. (Piscataway, NJ, USA). Routine NMR spectra were obtained from Bruker DPX-300 NMR and Bruker Avance III 500 MHz NMR instruments. The low resolution mass spectra were obtained from Finnigan LTQ Mass Spectrometer, and the GM1 derivatives were analyzed under negative mode.

### Synthesis of NBD labeled GM1 derivative.

**6-(7-nitrobenzo[c][1,2,5]oxadiazol-4-ylamino)hexanoic acid 32.** The 6-aminohexanoic acid (180 mg, 1.37 mmol) was dissolved in 2N aq. Na<sub>2</sub>CO<sub>3</sub> (2 mL) and warmed up to 55 °C. NBD-Cl (140 mg, 0.7 mmol) was added and the mixture was stirred at same temperature for 2 h. The solution was cooled to r.t. and solvent was removed on lyophilizer. The residue was purified through flash column chromatography with 10% MeOH-CHCl<sub>3</sub> to afford 58 mg product (yield 28%).

<sup>1</sup>H NMR (CDCl<sub>3</sub>, 500 MHz): δ = 8.50 (d, 1H), 6.43 (s, 1H), 6.18 (d, 1H), 3.52 (t, 2H), 2.42 (t, 2H), 1.86 (p, 2H), 1.74 (p, 2H), 1.55 (m, 2H)

### **2,5-dioxopyrrolidin-1-yl 6-(7-nitrobenzo[c][1,2,5]oxadiazol-4-ylamino)hexanoate 33.**

The compound **32** (58 mg, 0.20 mmol) and N-hydroxysuccinimide (45 mg, 0.40 mmol) were suspended in 2 mL anhydrous THF. The mixture was added DCC (162 mg, 0.80 mmol) and stirred at r.t. for 12 h. Solvent was removed under *vacuo* and the residue was purified through flash column chromatography with 5% EtOAc-hexane to give 50 mg product (yield 65%).

<sup>1</sup>H NMR (CDCl<sub>3</sub>, 500 MHz): δ = 8.50 (d, 1H), 6.43 (s, 1H), 6.19 (d, 1H), 3.54 (t, 2H), 2.87 (s, 4H), 2.67 (t, 2H), 1.87 (p, 4H), 1.64 (p, 2H)

**DeAc-GM1 34.** DeAc-LysoGM1 (32.6 mg, 26 μmol) and C<sub>17</sub>H<sub>35</sub>COOSu (10.0 mg, 26 μmol) were suspended in 1 mL dry DMF and cooled to 0 °C, then added in DIEA 50 μL. The mixture was stirred at 4°C for 24 hrs. Solvent was removed under *vacuo*. Residue

was purified through preparative silica gel TLC with CHCl<sub>3</sub>-MeOH-H<sub>2</sub>O (v/v/v, 60:40:9) to give 9.2 mg product (23%).

MALDI-TOF:  $m/z$  [C<sub>71</sub>H<sub>129</sub>N<sub>3</sub>O<sub>30</sub> - H]<sup>+</sup> calc: 1502.9, found: 1502.5;  $m/z$  [C<sub>73</sub>H<sub>133</sub>N<sub>3</sub>O<sub>30</sub> - H]<sup>+</sup> calc: 1530.9, found: 1530.6

**NBD-GM1 35.** DeAc-GM1 **34** (4.2 mg, 2.8 μmol) and compound **33** (3.4 μmol) were dissolved in 0.6 mL anhydrous DMF and added 50 μL DIEA. The mixture was stirred at r.t. 24 h. Solvent was removed under *vacuo*. Residue was purified through preparative silica gel TLC with CHCl<sub>3</sub>-MeOH-H<sub>2</sub>O (v/v/v, 60:40:5) to give 1.1 mg product (30%).

MALDI-TOF:  $m/z$  [C<sub>83</sub>H<sub>141</sub>N<sub>7</sub>O<sub>34</sub> - H]<sup>+</sup> calc: 1778.9, found: 1778.5;  $m/z$  [C<sub>85</sub>H<sub>145</sub>N<sub>7</sub>O<sub>34</sub> - H]<sup>+</sup> calc: 1806.9, found: 1806.5

**Cell culture and fluorescent stain.** HeLa cells were cultured in DMEM cell culture medium supplemented with 10% fetal bovine serum and 1% penicillin sp. The cultured HeLa cells were incubated in 24-well plate (20,000 cells /well) in 0.5 mL DMEM and allowed to adhere for 4-5 hrs. CellLight™ RFP that target ER and Golgi (10 μL, 50 PPC) was added to each well and incubate at 37 °C for 24 hrs. After wash with fresh medium (0.5 mL × 3) the cells were incubated with 5 μM NBD-GM1 in DMEM medium for 1 hr. The cells were washed (0.5 mL × 3) and stained with lysotracker (100 ng/mL), Dextran-Texas Red (1 mg/mL) and Tf-Alex Fluor 647 (50 μg/mL) in 250 μL medium at 37 °C for 30 min. Then the cells were washed with PBS buffer and fixed with 4% Formaldehyde for 30 min @ 4°C, followed by washing off excess formaldehyde with PBS and stained with DRAQ5 in PBS (0.5 μM) for 10 min. Finally, the cells on

coverslips were mounted onto glass slides with 10  $\mu$ L fluoromount and allowed to dry for 1 hr before analyzed by confocal fluorescence microscope imaging.

**Confocal fluorescence microscopy.** Imaging (CLSM) was performed on a Zeiss LSM510 Meta confocal microscope using the argon 458-nm excitation laser.

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## *Chapter 6*

### *Conclusions and perspective*

The biological role of cell membranes has drawn attention in the last few decades. Proteins in plasma membranes regulate a wide range of biological processes, such as cell-cell interactions, cell signaling and adhesion, and virus infections. Some lipid molecules in the membranes also participate in cell regulating,<sup>1</sup> including fibroblast growth factor<sup>2</sup> and toxin binding<sup>3</sup>. Lipid rafts model<sup>4</sup> has been raised to describe the plasma membrane structures and functions. Since 1997, many techniques have been employed to verify the lipid rafts model in artificial and cell membranes, including the cutting edge FCCS, STORM, STED, and SIMS. Nonetheless, the direct evidence of lipid rafts in living cells still remains lacking, mainly because of the limitation of current available techniques to detect the microdomains form in cell membranes that have small size (20-200 nm) and short lifetimes (<1 s).<sup>5</sup> We have designed and synthesized a series of fluorinated ganglioside GM1 analogues (F-GM1s) to facilitate the lipid rafts detection using nanoSIMS, taking advantage of their almost identical properties to native version and high sensitivity in SIMS imaging.

We have achieved the synthesis of fluorinated GM1 by modification of natural GM1 that derived from bovine brain tissues using fluorinated fatty acid substitution on the lipid tails. (Chapter 2) Mono- and tri-fluorinated GM1 analogues<sup>6</sup> have been obtained with fluorine atoms at different positions of the lipid tail. Coupling of fluorinated fatty acid NHS ester exhibited good selectivity to the sphingosine amine group of DeAc-lysoGM1, compared to the other amine group on sialic acid residue, because of higher steric hindrance at the oligosaccharide moiety. Higher order fluorination (-C6F13) of GM1 has also been accomplished either on the fatty acid chain or both the fatty acid (C6F13-GM1) and the sphingosine chains (Di-C6F13-GM1). The C6F13 modification of

the fatty acid chain was achieved similarly by substitution with a new fatty acid containing the -C6F13 residue. The modification of sphingosine hydrocarbon chain has governed a cleavage of the double bond to form an aldehyde using ozonolysis at low temperature, followed by wittig coupling of the aldehyde with a ylide containing the -C6F13 residue. Peracetylation of hydroxyl groups on the GM1 analogue was required for the wittig coupling. Purification of the Di-C6F13-GM1 product was easily achieved by using a fluororous phase cartridge that can separate high fluorinated molecules from non-fluorinated ones. This is the first report of modification of the sphingosine chain on ganglioside analogues. Our previous trial of sphingosine modification by using olefin cross metathesis showed the desired product but also a by-product with different length of the hydrocarbon chain that could not be separated from the product.

We have evaluated the biophysical properties of F-GM1s using multiple techniques, including FACS, AFM and calcium signaling assay. In FACS analysis (Chapter 3, Section 3.1), mono- and tri-fluorinated GM1 were incubated in cell culture to incorporate on the cells and labeled with FITC-CTB for detection. All the F-GM1s exhibited concentration dependent incorporation on living cells, and their activities were at the same level as that of the native GM1.

AFM has been extensively used to study phase behaviors of supported lipid bilayers (SLBs). We have studied the phase properties of F-GM1s in SLBs by using the AFM imaging technique. (Chapter 3, Section 3.2) We have employed DPPC/POPC mixture to prepare SLBs than can form gel phase and liquid disordered ( $l_d$ ) phase in the membrane. By addition of F-GM1s, a type of separated microdomains has been observed

in the gel phase and at the boundary between the two phases. By comparing with SLBs containing native GM1, it has been revealed that 18-F-GM1 has the most similar phase properties as the native version.

Ganglioside GM1 has been found to be related to calcium signaling in T cells and able to induce increase of cytosolic calcium ion concentrations by clustering on cell surfaces.<sup>7</sup> We have employed F-GM1s on Jurkat cells to study their activities in calcium signaling. (Chapter 3, Section 3.3) It has been observed that 18-F-GM1 exhibited very similar ability as the native GM1 to induce calcium ion influx. Therefore, based on all the biophysical property test results, we have concluded that the terminal mono-fluorinated version (18-F-GM1) best mimics the native GM1 and could be potentially used as a probe to study its behaviors in membranes.

SIMS is a light-free, secondary ion mass spec based technique that can scan and map the chemical compositions of sample surfaces.<sup>8</sup> Using the nanoSIMS imaging technique, we have observed phase separation and domain formation in a quaternary mixture of supported lipid bilayers (SPM/DOPC/cholesterol = 2:2:1 with 5% F-GM1). By isotopic labeling, the domains were revealed to be rich in F-GM1 and cholesterol, and other areas have higher composition of SPM and DOPC. Mono-fluorination enabled the high sensitivity of GM1 analogues in SIMS detection and generated the first chemical composition image of membrane domains formed by GM1 and cholesterol. Additionally, it has also been noticed that 18-F-GM1 formed much larger domains than 12-F-GM1 in SLBs. We attributed it to their phase property difference resulted from 12-F induced structural change. A DSC experiment verified the property difference by comparing their

phase transition temperatures ( $T_m$ ) in aqueous solution. As a result, 18-F-GM1 exhibited a  $T_m$  very close to that of native GM1, whereas 12-F-GM1 showed a significant change of  $T_m$ . Therefore, the terminal mono-fluorinated GM1 mimics native GM1 as an almost “label-free” probe, thus provides us with a new tracking system for lipid rafts study by using high resolution SIMS imaging.

As the size of lipid rafts are in the range of 20-200 nm, current available nanoSIMS that has resolution of ~100 nm can theoretically detect the relatively larger rafts of 100-200 nm in size. However, nanoSIMS technically requires a thin layer of samples on a conductive support for secondary ion generation and detection,<sup>8</sup> which may hamper its application in living cell studies. TOF-SIMS requires milder working conditions and, in fact, has been used to image living cells<sup>9-12</sup> or tissue samples<sup>13,14</sup> by several research groups. Many efforts have been made to enhance the sensitivity and resolution of TOF-SIMS,<sup>15</sup> nonetheless, the lateral resolution of TOF-SIMS imaging remains at micrometer scale<sup>16</sup>. The short lifetime of lipid rafts might be another problem, because current scan rate of SIMS imaging is around 1 ms/pixel and to obtain a whole image usually takes seconds to minutes. Therefore, the direct visualization of lipid rafts in living cells using SIMS imaging technique might not be done today, but we believe that with the fast development of SIMS imaging technique, especially in the last few years, it will be achieved in the near future. It might be a long way but it is on the right direction.

Gangliosides play an important role in endocytosis and participate in vesicle formation in caevolae and lipid rafts induced endocytic pathways.<sup>17</sup> We have proposed to

use ganglioside GM1 as a carrier, taking advantage of its higher composition in endocytic vesicles, to efficiently deliver cargos to inside of the cells. For this purpose, we have designed a new fluorescently labeled GM1 marker to study the endocytic pathway of GM1 in mammalian cells. We chose NBD for the fluorescence label and the sialic residue as the modification site to minimize any influences during endocytic processes. The GM1 derivative linked with NBD was obtained by synthesis and applied to HeLa cells for confocal fluorescence microscopy imaging. By comparing with localization of other dyes, the NBD labeled GM1 has been found accumulated in lysosomes, golgi complex, and ER. The observations suggested the endocytic pathway of GM1 in cells and provided us with theoretical basis for probe design and drug delivery studies in future.

Ganglioside GM1 is a common component of lipid rafts in cell membranes and potentially enhances the lipid rafts induced endocytosis, which the mechanism is unclear though. The carriers of GM1 derivatives can more efficiently deliver cargos into the cells via endocytic pathways, compared to other drug carriers that passively utilize endocytosis for delivery, such as lipids, peptides, and nanoparticles. Indeed, any component of lipid rafts has the potential to deliver cargos through the same route, and their different trafficking pathways can provide with more options to selectively deliver cargos to different targets. For example, if a drug or inhibitor targets an enzyme that resides in *trans*-golgi network, the carrier of GM1 derivative can efficiently transport the molecule to the organelles other than randomly distribute it in the cytosol. Or, if a ganglioside of lipid rafts component can selectively transport to ER via endocytic trafficking pathway, we can link inhibitors onto the ganglioside carrier to screen any potential targets in ER. In

any events, the lipid rafts based delivery system is promising to construct a new platform for drug delivery and functional studies.

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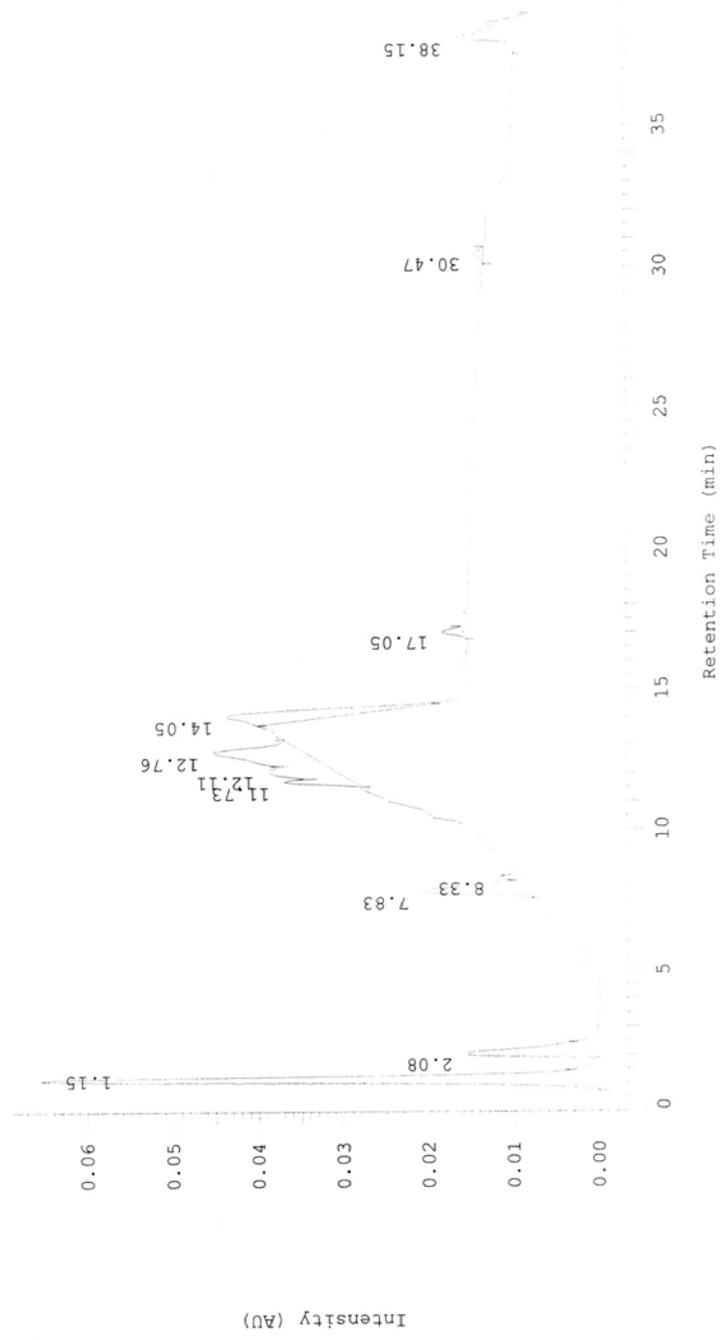
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## *Appendix*

GM1 - Std

anal\_C8 - Vial 1 Inj 1 anal\_C8 - Channel 1

Current Data Path: C:\Win32App\HSM\Zhao\DATA\0217  
Data Desc.: IFM CH1 2-D  
Vial Number: 1 Inj Number: 1 Sample Name: anal\_C8



App 1. HPLC trace of ganglioside GM1 standard

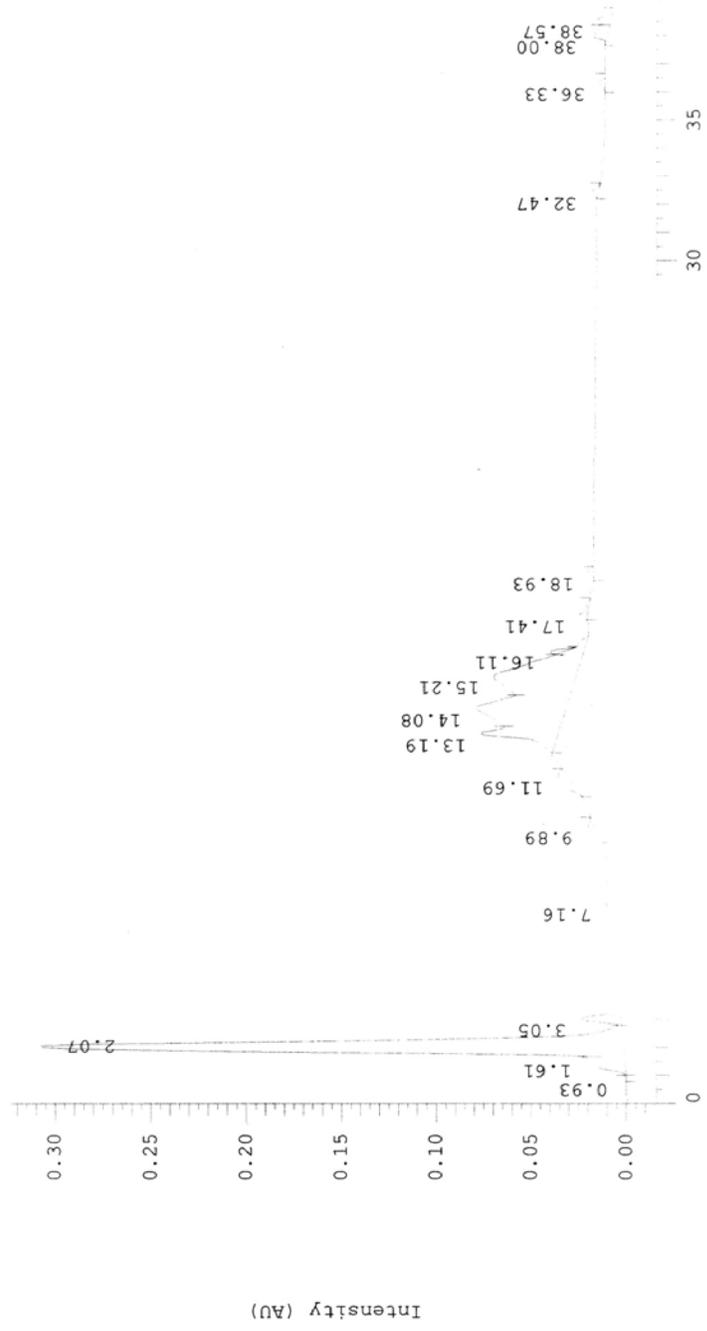
GM1 - PD

anal\_C8 - Vial 1 Inj 1 anal\_C8 - Channel 1

Current Data Path: C:\Win32App\HSM\Zhao\DATA\0206

Data Desc.: IFM CH1 2-D

Vial Number: 1 Inj Number: 1 Sample Name: anal\_C8



App 2. HPLC trace of isolated GM1 from bovine brain

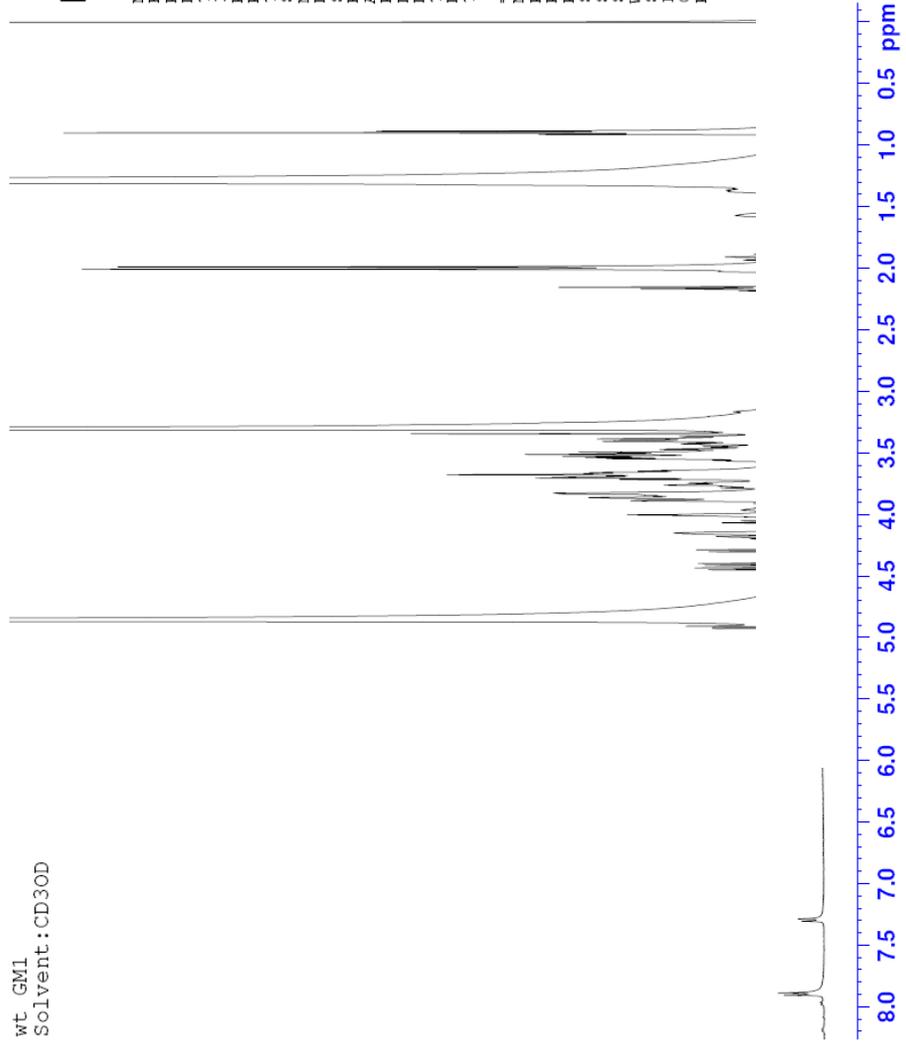
**BRUKER**

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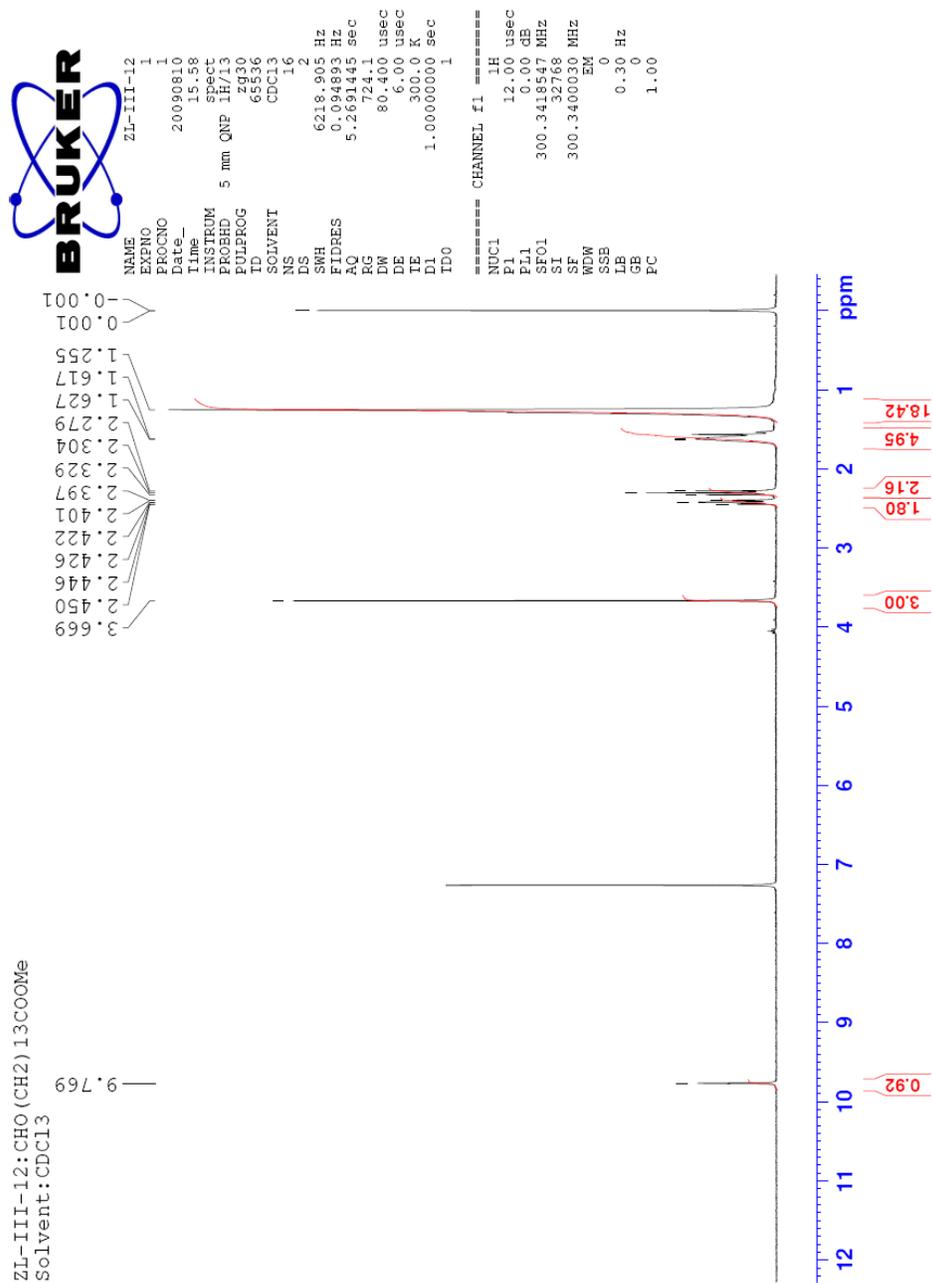
NAME      wt-GM1
EXPNO     2
PROCNO    1
Date_     20110624
Time      20.23
INSTRUM   spect
PROBHD    5 mm PABBO BB-
PULPROG   zg30
TD         65536
SOLVENT   MeOD
NS         480
DS         2
SWH        10330.578 Hz
FIDRES     0.1157632 Hz
AQ         3.1719923 sec
RG         203
EW         48.400 usec
DE         6.50 usec
TE         296.2 K
D1         1.00000000 sec
TD0        1

===== CHANNEL f1 =====
NUC1       1H
P1         14.50 usec
PL1        2.00 dB
PL1W       14.10554981 W
SF01       500.1330885 MHz
SI         32768
SF         500.1300120 MHz
WDW        EM
SSB        0
LB         0.30 Hz
GB         0
PC         1.00

```



App 3. <sup>1</sup>H-NMR spectrum of wild type ganglioside GM1 from bovine brain



App 4. <sup>1</sup>H-NMR spectrum of methyl 15-Oxopentadecanoate (6)

**BRUKER**

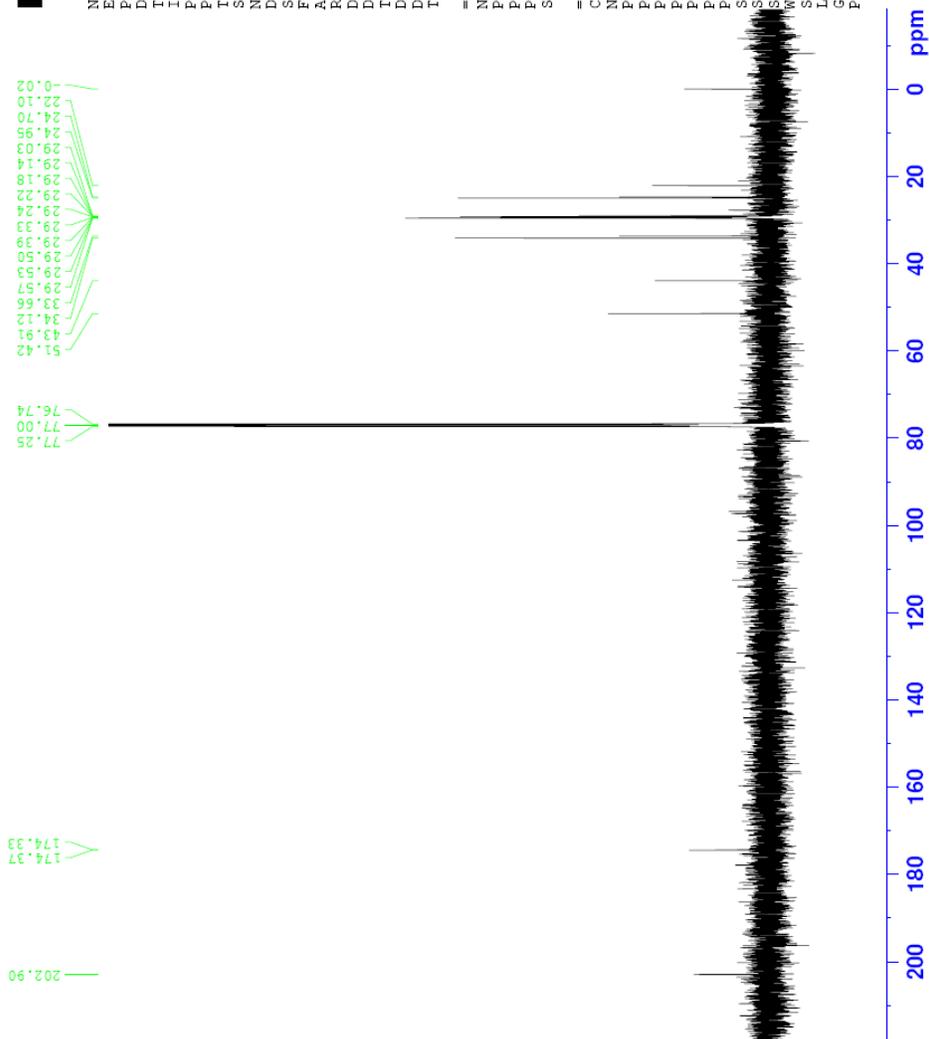
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NAME ZL-III-12-C13
EXPNO 4
PROCNO 1
Date_ 20100211
Time_ 14.02
INSTRUM spect
PROBHD 5 mm PABBO BB-
PULPROG zgpg30
TD 65536
SOLVENT CDCl3
NS 1024
DS 4
SWH 29761.904 Hz
FIDRES 0.454131 Hz
AQ 1.1010548 sec
RG 203
DE 16.800 usec
TE 300.0 K
DE 6.50 usec
D1 0.50000000 sec
D11 0.03000000 sec
TD0 1

===== CHANNEL f1 =====
NUC1 13C
P1 8.50 usec
PL1 0.00 dB
PL1W 89.92553711 W
SFO1 125.7703643 MHz

===== CHANNEL f2 =====
CFPRG2 waltz16
NUC2 1H
PCPD2 80.00 usec
PL2 2.00 dB
PL12 16.80 dB
PL13 16.80 dB
PL2W 14.10554981 W
PL12W 0.46707872 W
PL13W 0.46707872 W
SFO2 500.1320005 MHz
SI 32768
SF 125.7577890 MHz
WDW EM
SSB 0
LB 1.00 Hz
GB 0
PC 1.40

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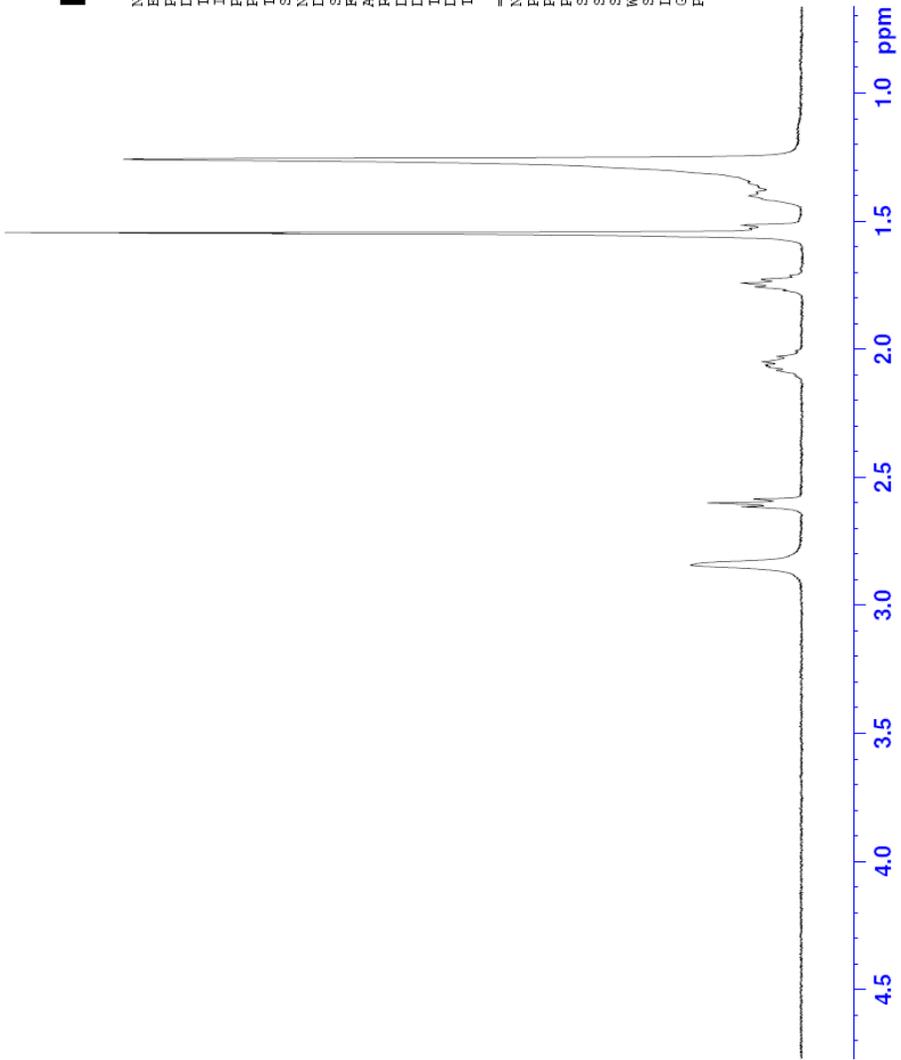


App 5.  $^{13}\text{C}$ -NMR spectrum of methyl 15-Oxopentadecanoate (6)



NAME ZL-III-215  
EXPNO 1  
PROCNO 1  
Date\_ 20110209  
Time 21.01  
INSTRUM spect  
PROBHD 5 mm FAPBO BB-  
PULPROG zg30  
ID 65536  
SOLVENT CDCl3  
NS 16  
DS 2  
SWH 10330.578 Hz  
FIDRES 0.157632 Hz  
AQ 3.1719923 sec  
RG 203  
DM 48.400 usec  
DE 6.50 usec  
TE 295.2 K  
D1 1.00000000 sec  
TD0 1

===== CHANNEL f1 =====  
NUC1 1H  
P1 14.50 usec  
PL1 2.00 dB  
PL1W 14.10554981 W  
SFO1 500.1330885 MHz  
SI 32768  
SF 500.1300120 MHz  
WDW EM  
SSB 0  
LB 0.30 Hz  
GB 0  
PC 1.00



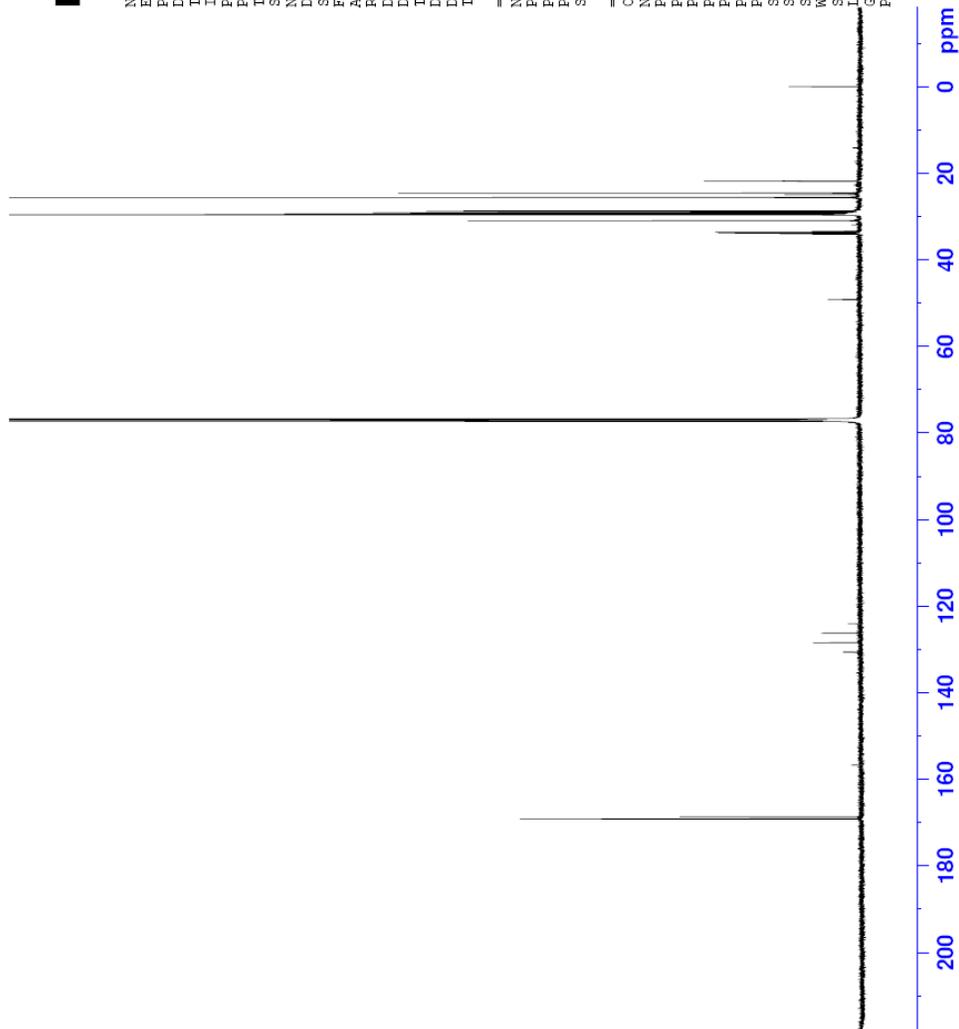
App 6. <sup>1</sup>H-NMR spectrum *N*-Succinimidyl 18,18,18-Trifluorostearate (**11**)



ZL-III-08-C13  
NAME  
EXENO 1  
PROCNO 1  
Date\_ 20100212  
Time\_ 15.31  
INSTRUM spect  
PROBHD 5 mm PABBO BB-  
PULPROG zgpg30  
ID 65536  
SOLVENT CDCl3  
NS 40960  
DS 4  
SWH 29761.904 Hz  
FIDRES 0.454131 Hz  
AQ 1.1010548 sec  
RG 203  
DW 16.800 usec  
DE 6.50 usec  
TE 297.4 K  
D1 0.50000000 sec  
D11 0.03000000 sec  
ID0 1

==== CHANNEL f1 =====  
NUC1 13C  
P1 8.50 usec  
PL1 0.00 dB  
PL1W 89.92553711 W  
SF01 125.7703643 MHz

==== CHANNEL f2 =====  
CPDPRG2 waltz16  
NUC2 1H  
PCPD2 80.00 usec  
PL2 2.00 dB  
PL12 16.80 dB  
PL13 16.80 dB  
PL1W 14.10554981 W  
PL12W 0.46707872 W  
PL13W 0.46707872 W  
SF02 500.1320005 MHz  
SI 32768  
SF 125.7577890 MHz  
WDW EM  
SSB 0  
LB 1.00 Hz  
GB 0  
PC 1.40



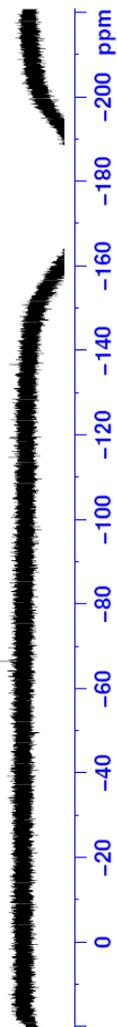
App 7.  $^{13}\text{C}$ -NMR spectrum *N*-Succinimidyl 18,18,18-Trifluorostearate (11)



NAME ZL-III-08-F19  
EXPNO 1  
PROCNO 1  
Date\_ 20090730  
Time 15.37  
INSTRUM spect  
PROBHD 5 mm PABBO BB-  
PULPROG zgpg30  
ID 131072  
SOLVENT CDCl3  
NS 16  
DS 4  
SWH 113636.367 Hz  
FIDRES 0.866977 Hz  
AQ 0.5767668 sec  
RG 203  
DW 4.400 usec  
DE 6.50 usec  
TE 295.9 K  
D1 1.00000000 sec  
TD0 1

===== CHANNEL f1 =====  
NUC1 19F  
P1 15.00 usec  
PL1 -0.40 dB  
PL1W 22.30925179 W  
SFO1 470.5453180 MHz  
SI 65536  
SF 470.5923770 MHz  
WDW EM  
SSB 0  
LB 0.30 Hz  
GB 0  
PC 1.00

67.99  
67.99  
68.99



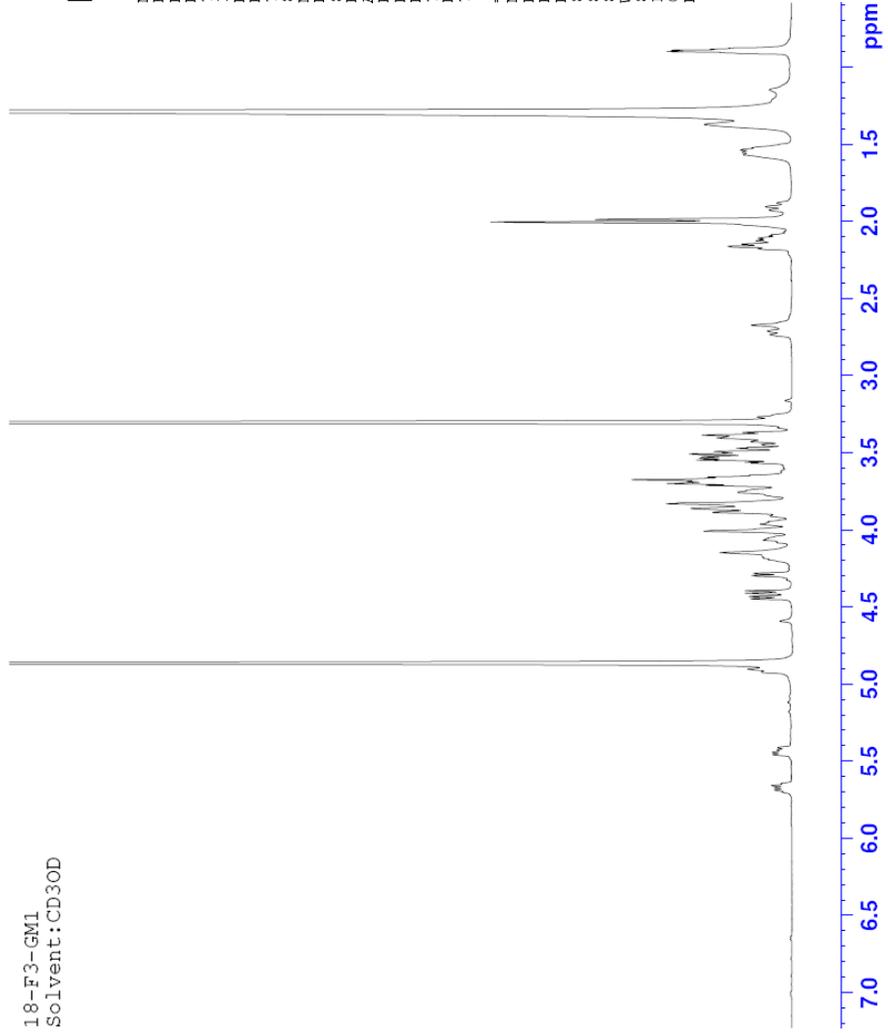
App 8.  $^{19}\text{F}$ -NMR spectrum *N*-Succinimidyl 18,18,18-Trifluorostearate (**11**)



18-F3-GM1  
Solvent:CD3OD

NAME ZL-III-220\_H1  
EXPNO 1  
PROCNO 4  
Date\_ 20110726  
Time 13.35  
INSTRUM spect  
PROBHD 5 mm PABBO BR-  
PULPROG zg30  
TD 65536  
SOLVENT MeOD  
NS 1024  
DS 2  
SWH 10330.578 Hz  
FIDRES 0.157632 Hz  
AQ 3.1719923 sec  
RG 203  
DW 48.400 usec  
DE 6.50 usec  
TE 295.6 K  
D1 1.00000000 sec  
TD0 1

==== CHANNEL f1 =====  
NUC1 1H  
P1 14.50 usec  
PL1 2.00 dB  
PLW 14.10554981 W  
SFO1 500.1330885 MHz  
SI 32768  
SF 500.1300120 MHz  
WDW EM  
SSB 0  
LB 0.30 Hz  
GB 0  
PC 1.00



App 9. <sup>1</sup>H-NMR spectrum 18-F3-GM1 (12)



NAME ZL-III-220\_F19  
EXPNO 1  
PROCNO 1  
Date\_ 20110726  
Time 12.09  
INSTRUM spect  
PROBHD 5 mm PABBO BB-  
PULPROG zgpg30  
ID 131072  
SOLVENT MeOD  
NS 16  
DS 4  
SWH 113636.367 Hz  
FIDRES 0.866977 Hz  
AQ 0.5767668 sec  
RG 203  
DW 4.400 usec  
DE 6.50 usec  
TE 295.5 K  
D1 1.00000000 sec  
ID0 1

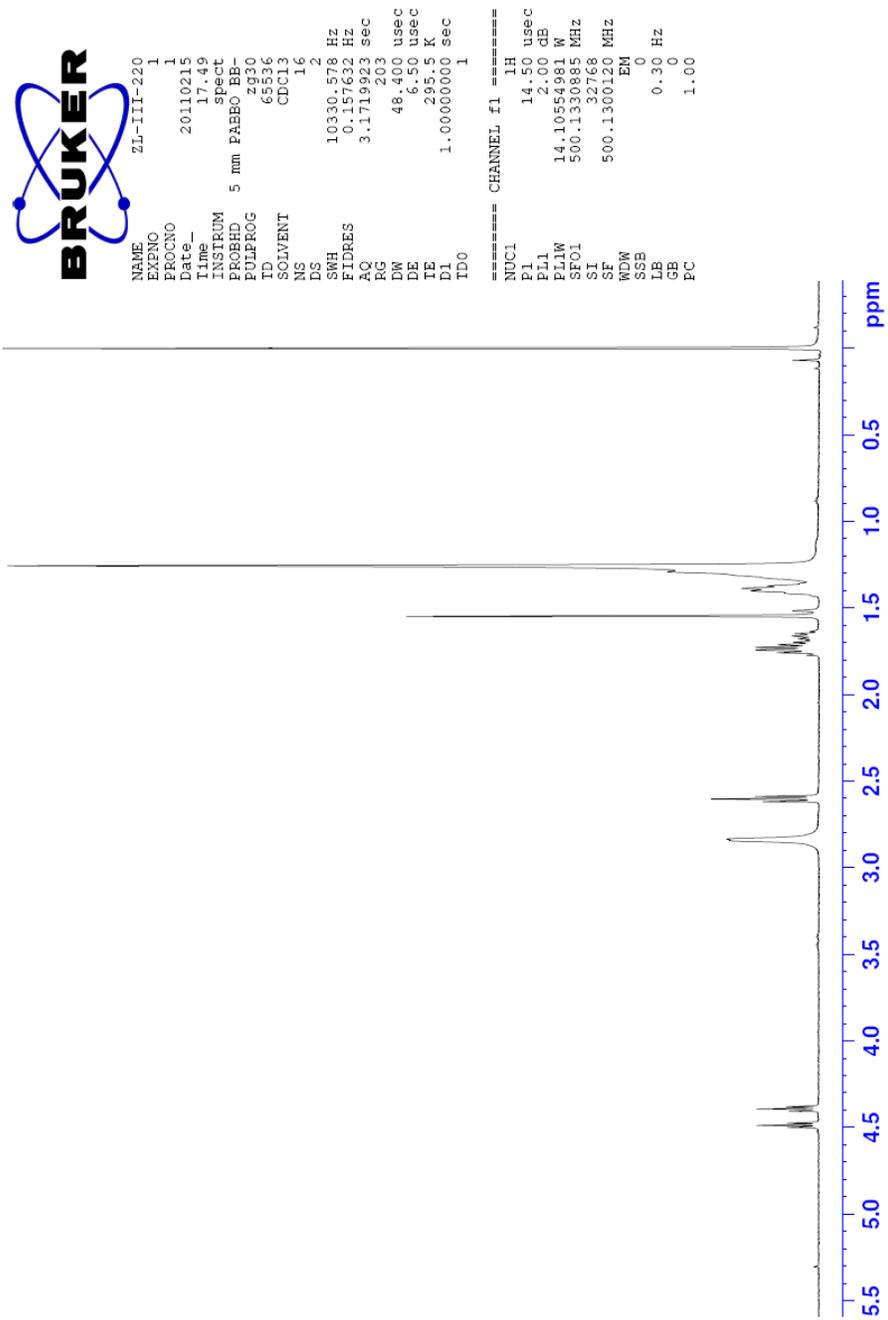
===== CHANNEL f1 =====  
NUC1 19F  
P1 15.00 usec  
PL1 0.00 dB  
SFO1 470.5453180 MHz  
SF 470.5453180 MHz  
WDW EM  
SSB 0  
LB 0.30 Hz  
GB 0  
PC 1.00

18-F3-GM1\_F19  
Solvent:CD3OD

15.89  
89.89  
99.89



App 10. <sup>19</sup>F-NMR spectrum 18-F3-GM1 (12)



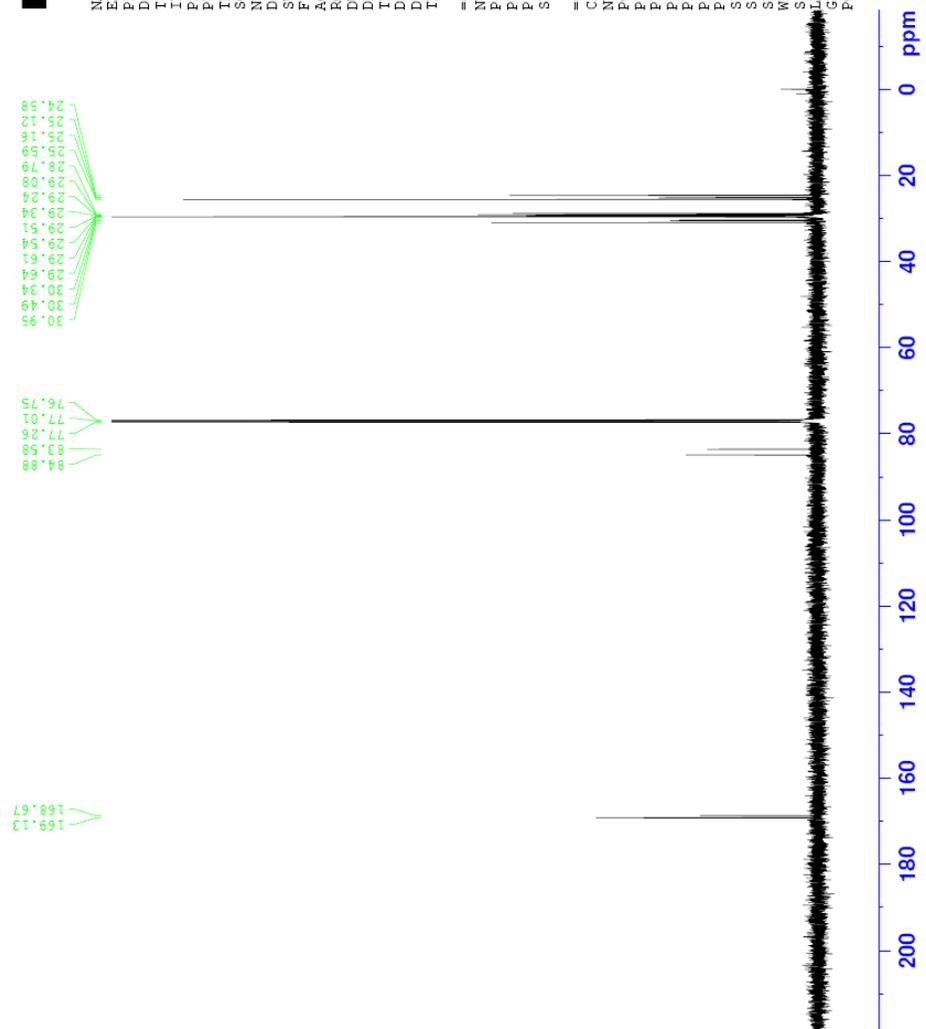
App 11.  $^1\text{H}$ -NMR spectrum *N*-succinimidyl 18-fluorostearate (**17**)



NAME ZL-III-220-C13  
EXFNO 1  
PROCNO 1  
Date\_ 20110215  
Time 19.01  
INSTRUM spect  
PROBHD 5 mm PABBO BB-  
PULPROG zgpg30  
ID 65536  
SOLVENT CDCl3  
NS 1024  
DS 4  
SWH 28761.904 Hz  
FIDRES 0.454131 Hz  
AQ 1.1010548 sec  
RG 203  
DM 16.800 usec  
DE 6.50 usec  
TE 298.16 K  
D1 0.50000000 sec  
D11 0.03000000 sec  
TD0 1

===== CHANNEL f1 =====  
NUC1 <sup>13</sup>C  
P1 8.50 usec  
PL1 0.00 dB  
PL1W 89.82553711 W  
SF01 125.7703643 MHz

===== CHANNEL f2 =====  
CFDPRG2 waltz16  
NUC2 <sup>1</sup>H  
PCPD2 80.00 usec  
PL2 2.00 dB  
PL12 16.80 dB  
PL13 16.80 dB  
PL14 16.80 dB  
PL15 14.10554991 W  
PL12W 0.46707872 W  
PL13W 0.46707872 W  
SF02 500.1320005 MHz  
SI 32768  
SF 125.7577890 MHz  
EM  
WDW 0  
SSB 0  
LFB 1.00 Hz  
GB 0  
PC 1.40

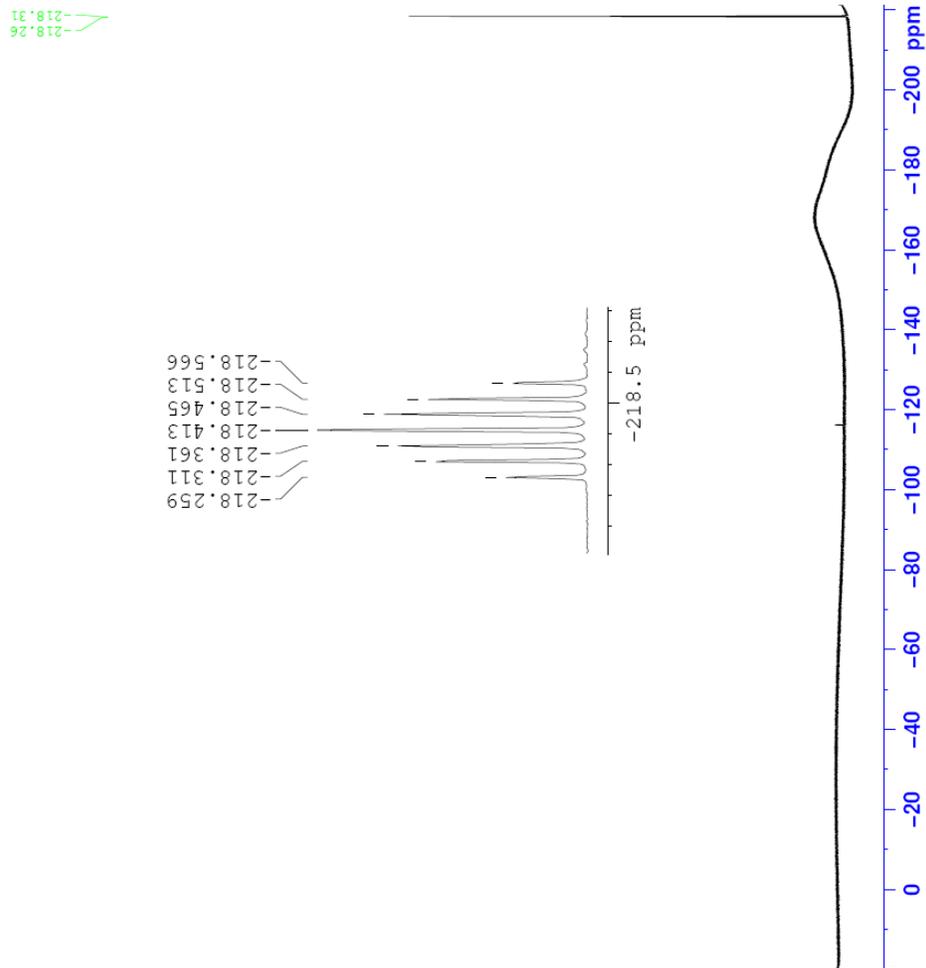


App 12. <sup>13</sup>C-NMR spectrum *N*-succinimidyl 18-fluorostearate (17)



NAME ZL-III-220-F19  
EXPNO 4  
PROCNO 1  
Date\_ 20110215  
Time\_ 18.13  
INSTRUM spect  
PROBHD 5 mm FAPBO BB-  
PULPROG zgpg30  
TD 131072  
SOLVENT CDCl3  
NS 128  
DS 4  
SWH 113636.367 Hz  
FIDRES 0.866977 Hz  
AQ 0.5767668 sec  
RG 203  
DW 4.400 usec  
DE 6.50 usec  
TE 295.4 K  
D1 1.00000000 sec  
TD0 1

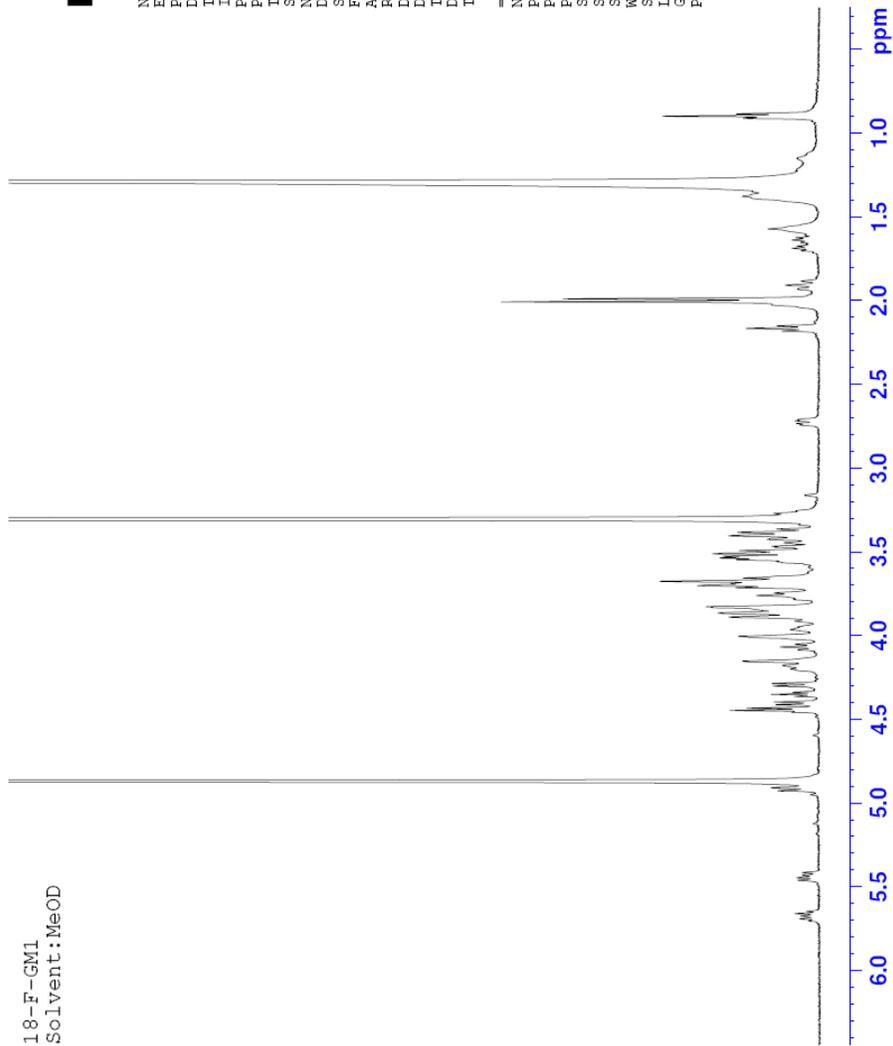
===== CHANNEL f1 =====  
NUC1 19F  
P1 15.00 usec  
PL1 -0.40 dB  
PL1W 22.30925179 W  
SF01 470.5453180 MHz  
SI 65536  
SF 470.5926038 MHz  
WDW EM  
SSB 0  
LB 0.30 Hz  
GB 0  
PC 1.00



App 13.  $^{19}\text{F}$ -NMR spectrum *N*-succinimidyl 18-fluorostearate (17)



NAME 18-F-GM1  
EXNO 1  
PROCNO 1  
Date\_ 20110405  
Time\_ 19.01  
INSTRUM spect  
PROBHD 5 mm PABBO BB-  
PULPROG zgpg30  
TD 65336  
SOLVENT MeOD  
NS 16  
DS 2  
SWH 10330.578 Hz  
FIDRES 0.157632 Hz  
AQ 3.1719323 sec  
RG 203  
DW 48.400 usec  
DE 6.50 usec  
TE 295.3 K  
D1 1.00000000 sec  
TD0 1  
===== CHANNEL f1 =====  
NUC1 1H  
P1 14.50 usec  
PL1 2.00 dB  
PL1W 14.10554981 W  
SF01 500.1330885 MHz  
SI 32768  
SF 500.1300120 MHz  
WDW EM  
SSB 0  
LB 0.30 Hz  
GB 0  
PC 1.00

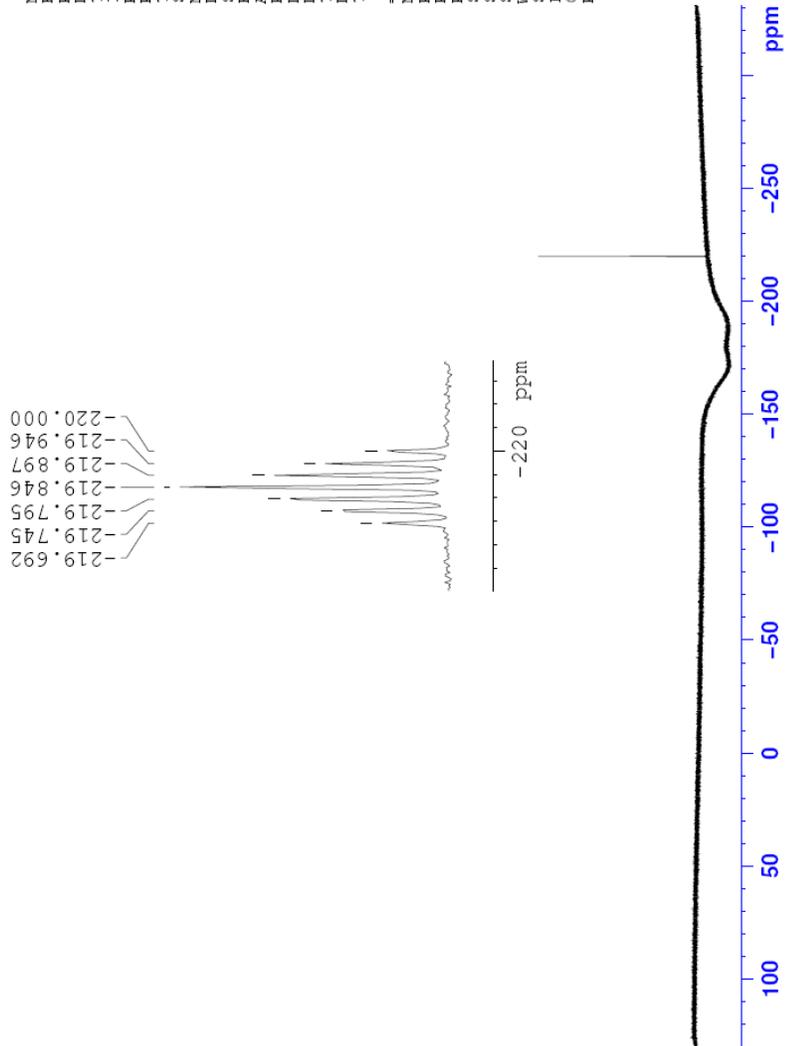


App 14.  $^1\text{H}$ -NMR spectrum 18-F-GM1 (18)



ZL-III-39-F19-test  
NAME  
EXPNO 2  
PROCNO 1  
Date\_ 20091019  
Time 15.17  
INSTRUM spect  
PROBHD 5 mm FAPBO BB-  
PULPROG zgpg30  
TD 131072  
SOLVENT MeOD  
NS 128  
DS 4  
SWH 217391.297 Hz  
FIDRES 1.658564 Hz  
AQ 0.3015156 sec  
RG 90.5  
DE 2.300 usec  
TE 300.0 K  
D1 1.00000000 sec  
TD0 1

===== CHANNEL f1 =====  
NUC1 <sup>19</sup>F  
P1 15.00 usec  
PL1 -0.40 dB  
RF1 22.30923170 MHz  
SF01 470.5453180 MHz  
SI 65536  
SF 470.5923770 MHz  
WDW EM  
SSB 0  
LB 0.30 Hz  
GB 0  
PC 1.00

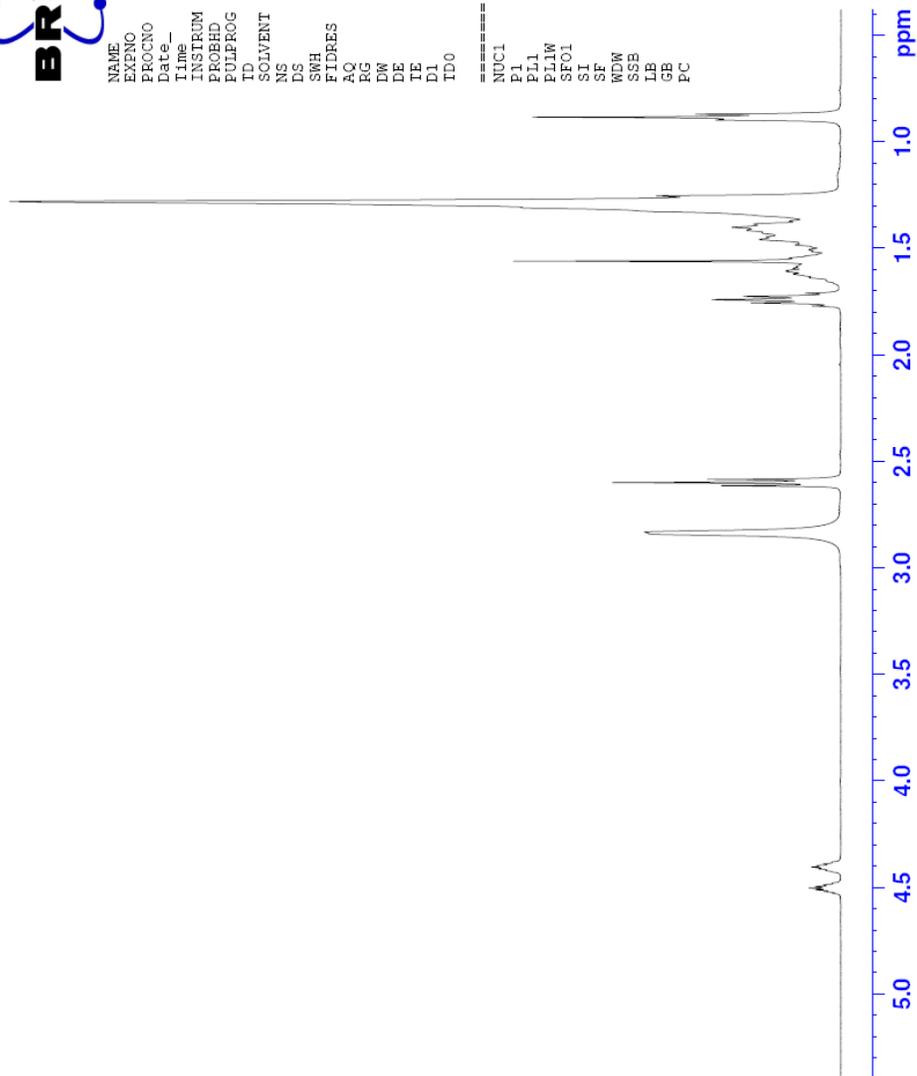


App 15. <sup>19</sup>F-NMR spectrum 18-F-GM1 (18)



NAME ZL-III-257  
EXNO 1  
PROCNO 1  
Date\_ 20110610  
Time 18.02  
INSTRUM spect  
PROBHD 5 mm PABBO BB-  
PULPROG zg30  
TD 65536  
SOLVENT CDCl3  
NS 16  
DS 2  
SWH 10330.578 Hz  
FIDRES 0.157632 Hz  
AQ 3.171923 sec  
RG 203  
DW 48.400 usec  
DE 6.50 usec  
TE 295.5 K  
D1 1.00000000 sec  
TD0 1

===== CHANNEL f1 =====  
NUC1 1H  
P1 14.50 usec  
PL1 2.00 dB  
PL1W 14.10554981 W  
SF01 500.1330885 MHz  
SI 32768  
SF 500.1300120 MHz  
EM  
WDW EM  
SSB 0  
LB 0.30 Hz  
GB 0  
PC 1.00



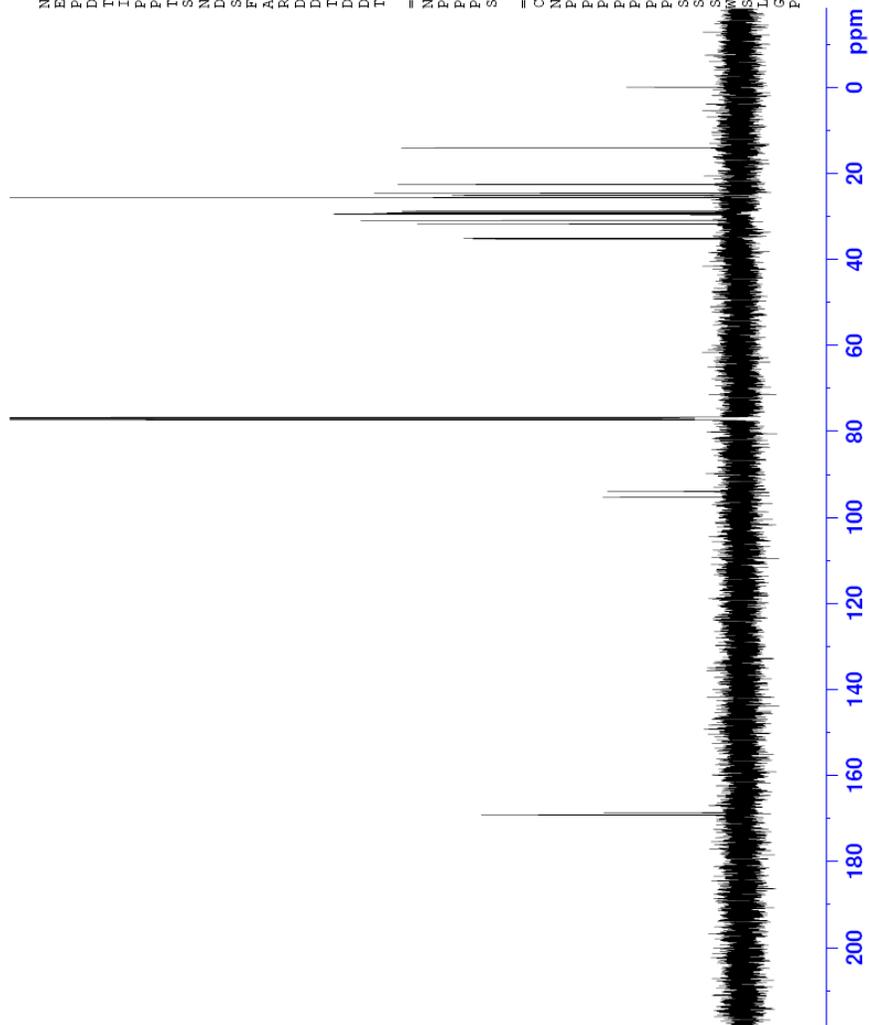
App 16.  $^1\text{H}$ -NMR spectrum *N*-succinimidyl 12-fluorooctadecanoate (**22**)



NAME ZL-III-257\_C13  
EXPNO 1  
PROCNO 1  
Date\_ 20110610  
Time 18.39  
INSTRUM spect  
PROBHD 5 mm PABBO BB-  
PULPROG zgpg30  
ID 65536  
SOLVENT CDCl3  
NS 1024  
DS 4  
SWH 29761.904 Hz  
FIDRES 0.454131 Hz  
AQ 1.1010548 sec  
RG 203  
DW 16.800 usec  
DE 6.50 usec  
TE 298.7 K  
D1 0.5000000 sec  
D11 0.0300000 sec  
TD0 1

===== CHANNEL f1 =====  
NUC1 13C  
P1 8.50 usec  
PL1 0.00 dB  
PL1W 89.92553711 W  
SFO1 125.7703643 MHz

===== CHANNEL f2 =====  
CPDPRG2 wait216  
NUC2 1H  
PCPD2 80.00 usec  
PL2 2.00 dB  
PL12 16.83 dB  
PL13 16.80 dB  
PL12W 14.10554981 W  
PL12W 0.46386331 W  
PL13W 0.46707872 W  
SFO2 500.1320005 MHz  
SI 65536  
SF 125.7577890 MHz  
WDW EM  
SSB 0  
LB 1.00 Hz  
GB 0  
PC 1.40

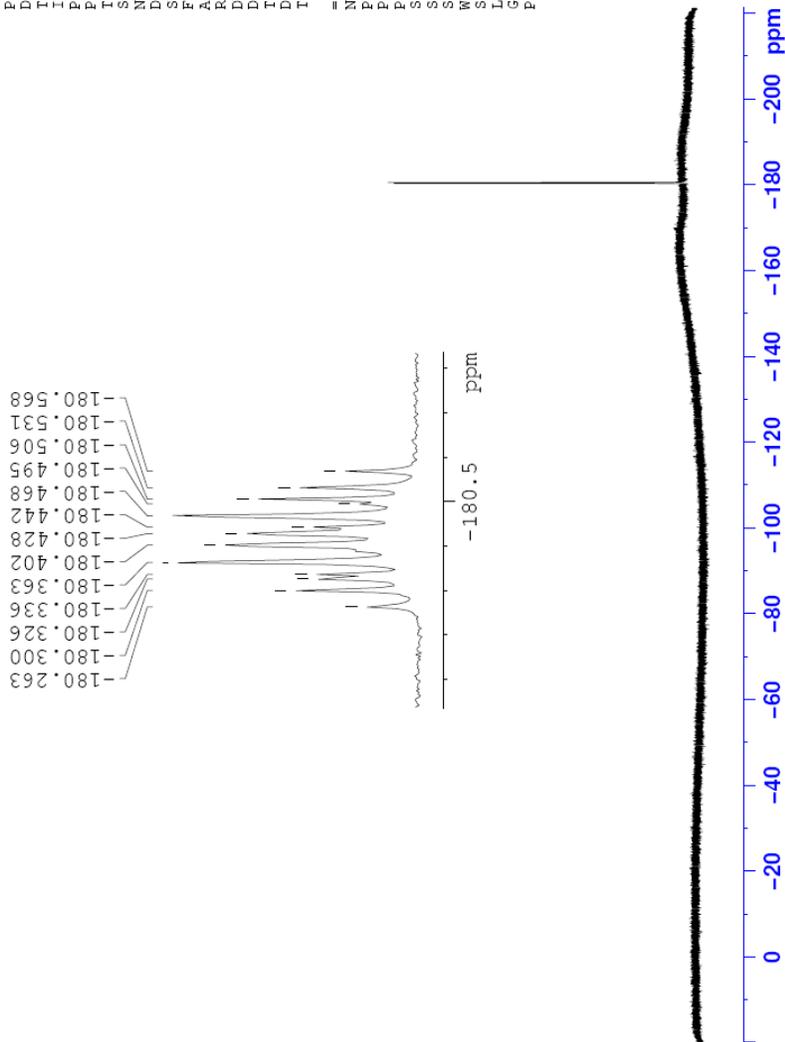


App 17. <sup>13</sup>C-NMR spectrum *N*-succinimidyloctadecanoate (**22**)

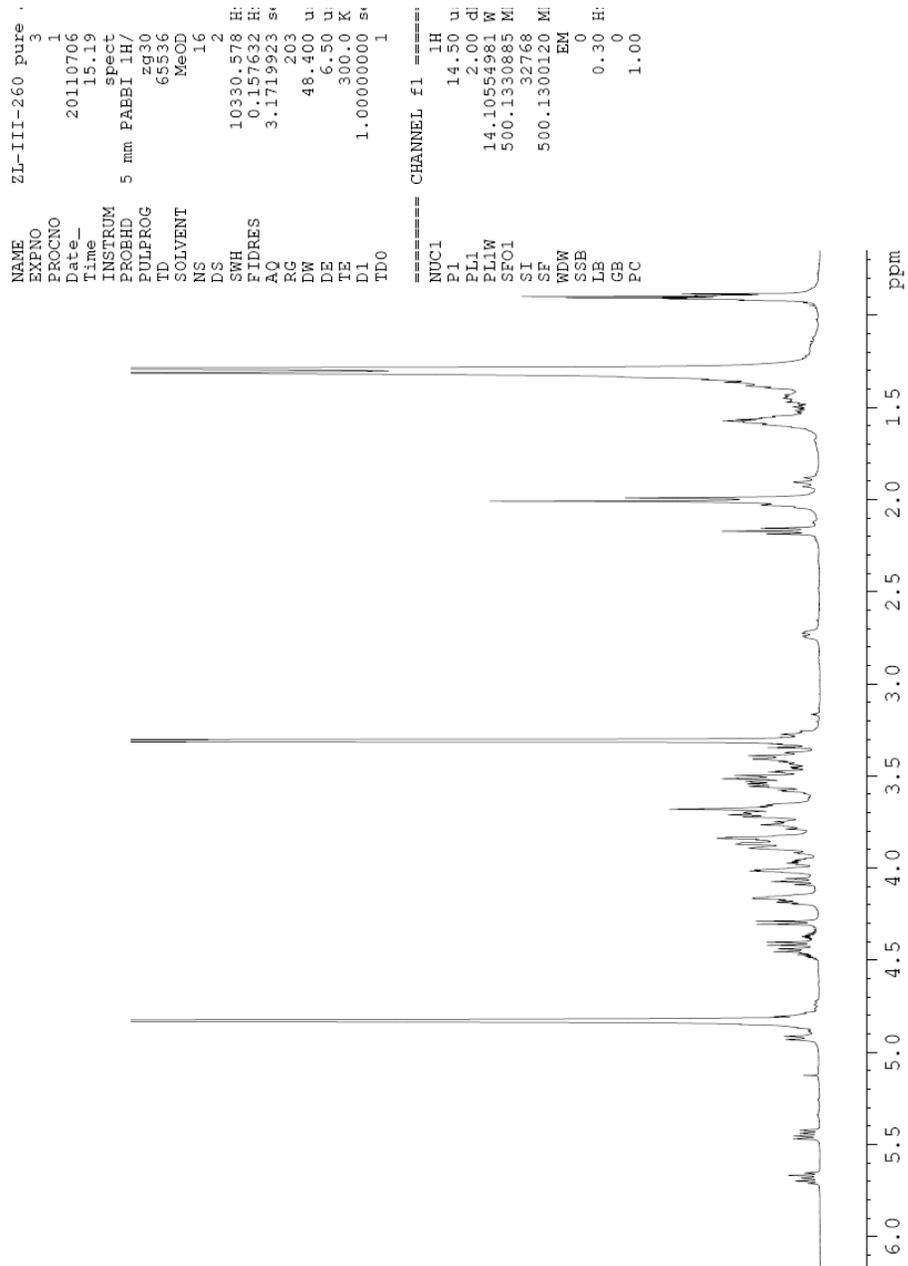


NAME ZL-III-297\_F19  
EXENO 1  
PROCNO 1  
Date\_ 20110610  
Time 17.58  
INSTRUM spect  
PROBHD 5 mm PABBO BB-  
PULPROG zgpg30  
ID 131072  
SOLVENT CDCl3  
NS 16  
DS 4  
SWH 113636.367 Hz  
FIDRES 0.866977 Hz  
AQ 0.5767668 sec  
RG 203  
DW 4.400 usec  
DE 6.50 usec  
TE 295.6 K  
D1 1.0000000 sec  
ID0 1

===== CHANNEL f1 =====  
NUC1 19F  
P1 15.00 usec  
PL1 -0.40 dB  
PL1W 22.30925179 W  
SF01 470.5453180 MHz  
SI 65536  
SF 470.5926038 MHz  
WDW EM  
SSB 0  
LB 0.30 Hz  
GB 0  
PC 1.00



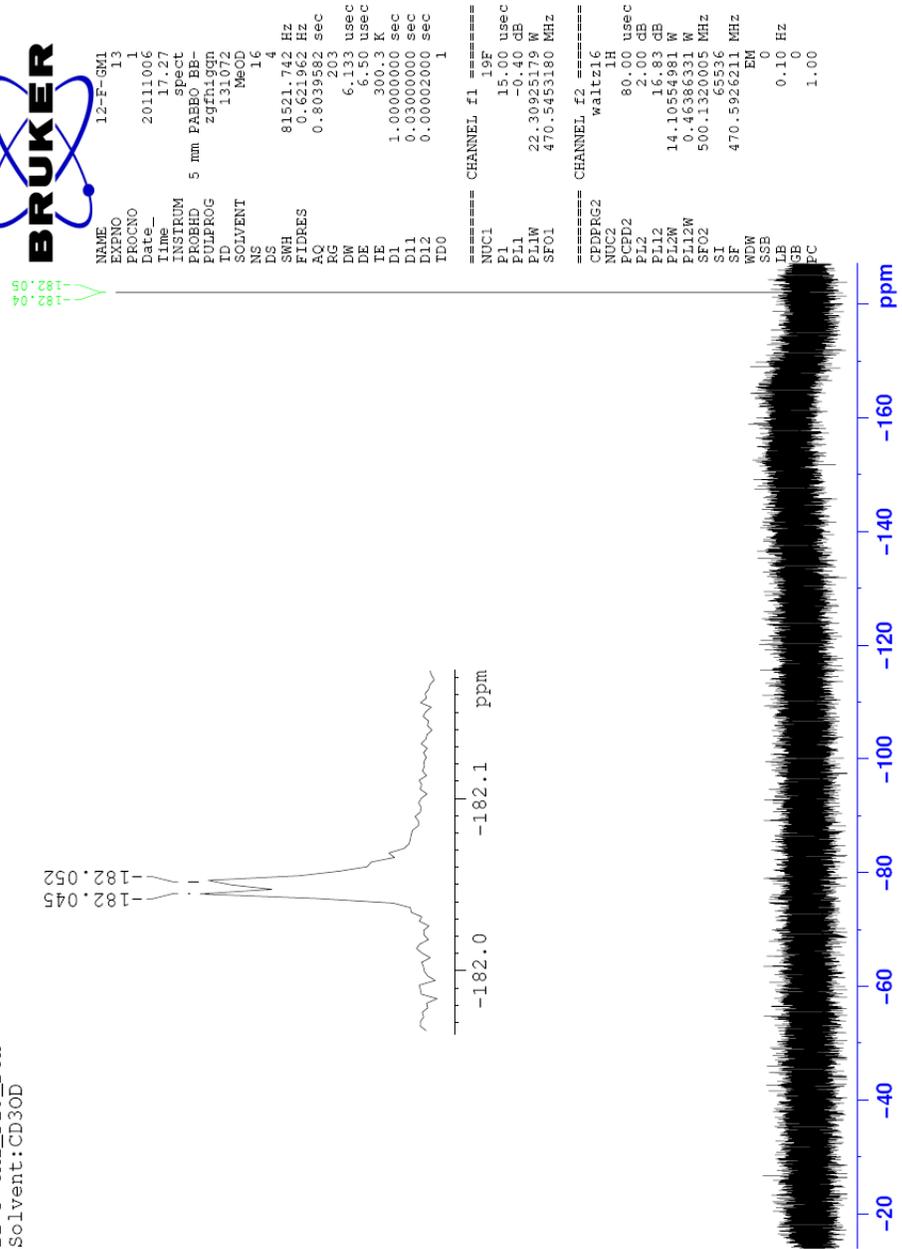
App 18.  $^{19}\text{F}$ -NMR spectrum *N*-succinimidyl 12-fluorooctadecanoate (**22**)



App 19. <sup>1</sup>H-NMR spectrum of 12-F-GM1 (23)



12-F-GM1\_F19\_DeH  
Solvent: CD3OD

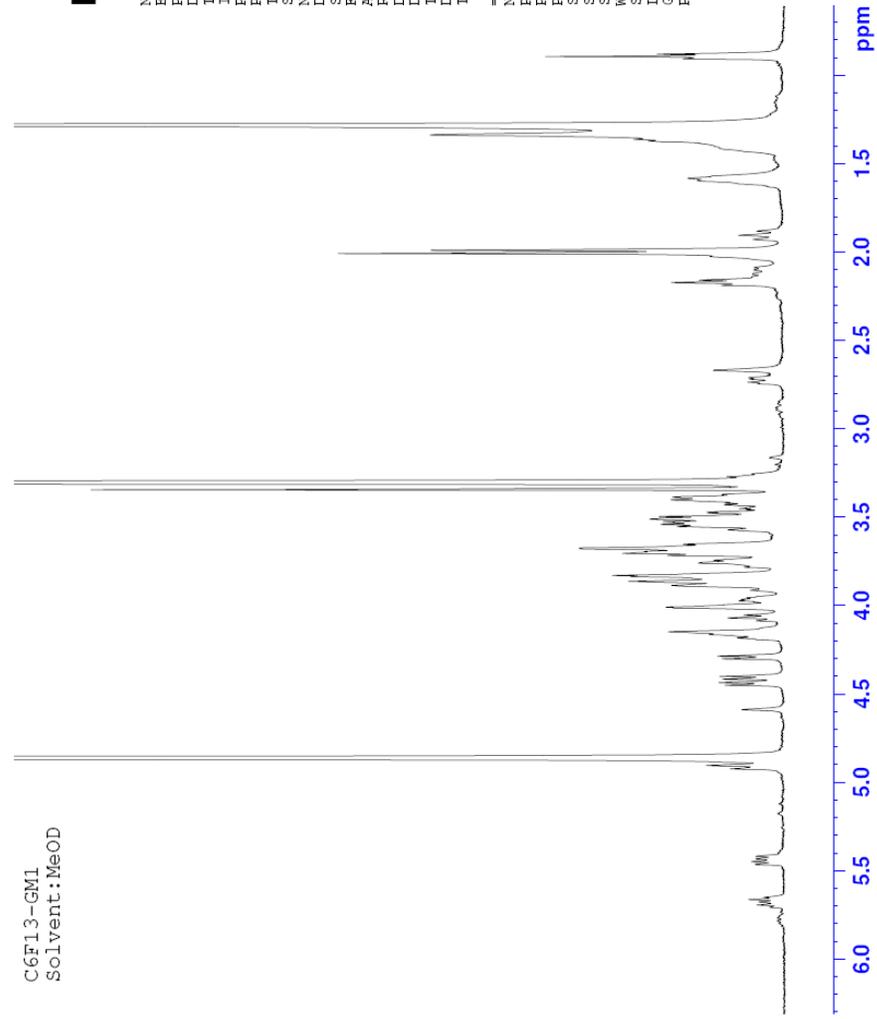


App 20. <sup>1</sup>H decoupled <sup>19</sup>F-NMR spectrum of 12-F-GM1 (23)



C6F13-GM1  
Solvent: MeOD

NAME C6F13-GM1  
EXPNO 1  
PROCNO 1  
Date\_ 20110729  
Time 12.19  
INSTRUM spect  
PROBHD 5 mm PABBO BB-  
PULPROG zg30  
ID 65536  
SOLVENT MeOD  
NS 16  
DS 2  
SWH 10330.578 Hz  
FIDRES 0.157632 Hz  
AQ 3.1719823 sec  
RG 48 203  
DW 46.450 usec  
DE 6.50 usec  
TE 296.0 K  
D1 1.00000000 sec  
TD0 1  
===== CHANNEL f1 =====  
NUC1 1H  
P1 14.50 usec  
PL1 2.00 dB  
SFO1 500.130085 MHz  
SF 500.130085 MHz  
WDW EM  
SSB 0  
GB 0.30 Hz  
PC 1.00



App 21. <sup>1</sup>H-NMR spectrum of C6F13-GM1 (24)

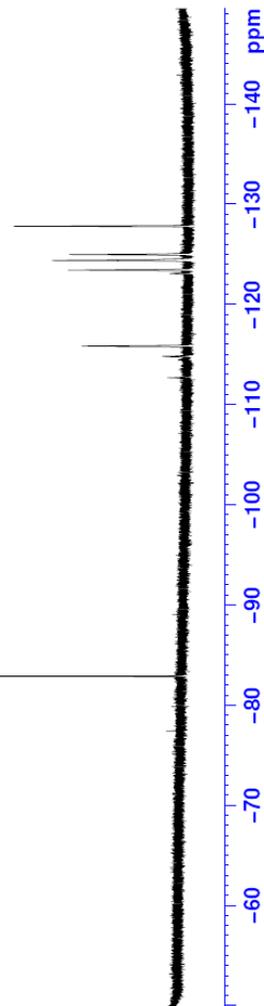


NAME C6F13-GM1\_F19  
EXPNO 1  
PROCNO 1  
Date\_ 20110729  
Time\_ 12.08  
INSTRUM spect  
PROBHD 5 mm PABBO BB-  
PULPROG zgpg30  
TD 131072  
SOLVENT MeOD  
NS 16  
DS 4  
SWH 46875.000 Hz  
FIDRES 0.357628 Hz  
AQ 1.3981513 sec  
RG 203  
DW 10.667 usec  
DE 6.50 usec  
TE 295.8 K  
D1 4.0000000 sec  
TD0 1

===== CHANNEL f1 =====  
NUC1 19F  
P1 15.00 usec  
PL1 -0.40 dB  
PL1W 22.30925179 W  
SF01 470.5456752 MHz  
SI 65536  
SF 470.5926038 MHz  
EM  
SSB 0  
LB 0.30 Hz  
GB 0  
PC 1.00

C6F13-GM1\_F19  
Solvent:MeOD

82.86  
82.87  
82.88  
82.89  
115.80  
115.83  
115.87  
123.43  
124.38  
124.98  
127.80



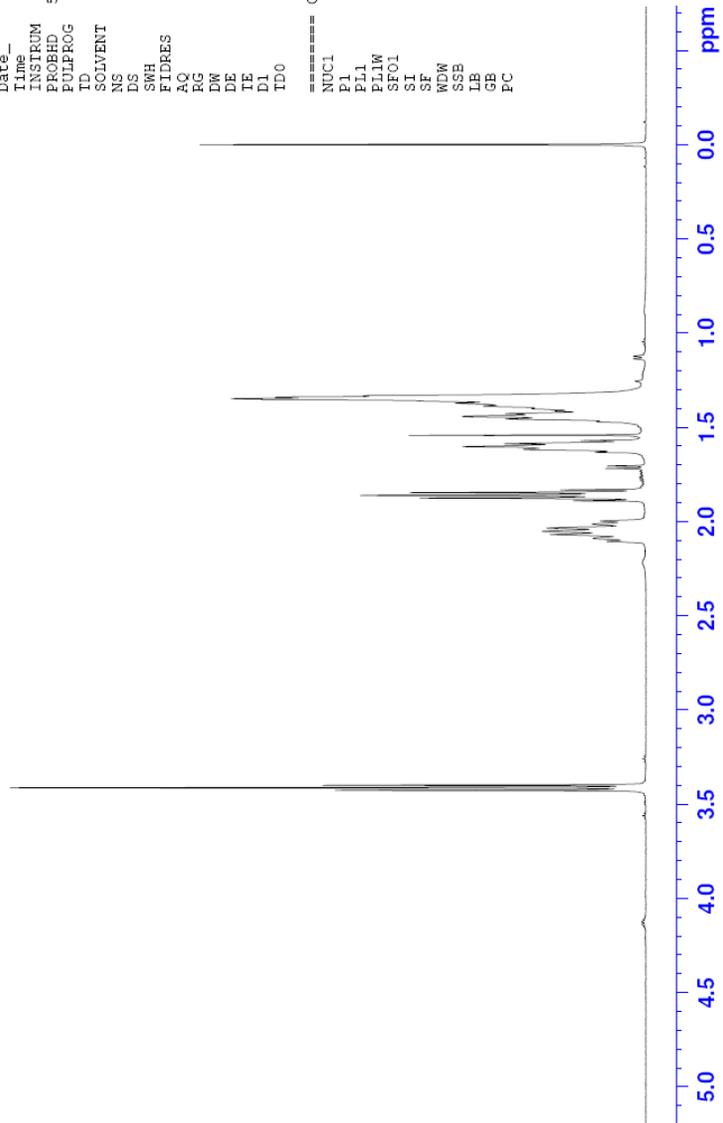
App 22. <sup>19</sup>F-NMR spectrum of C6F13-GM1 (24)



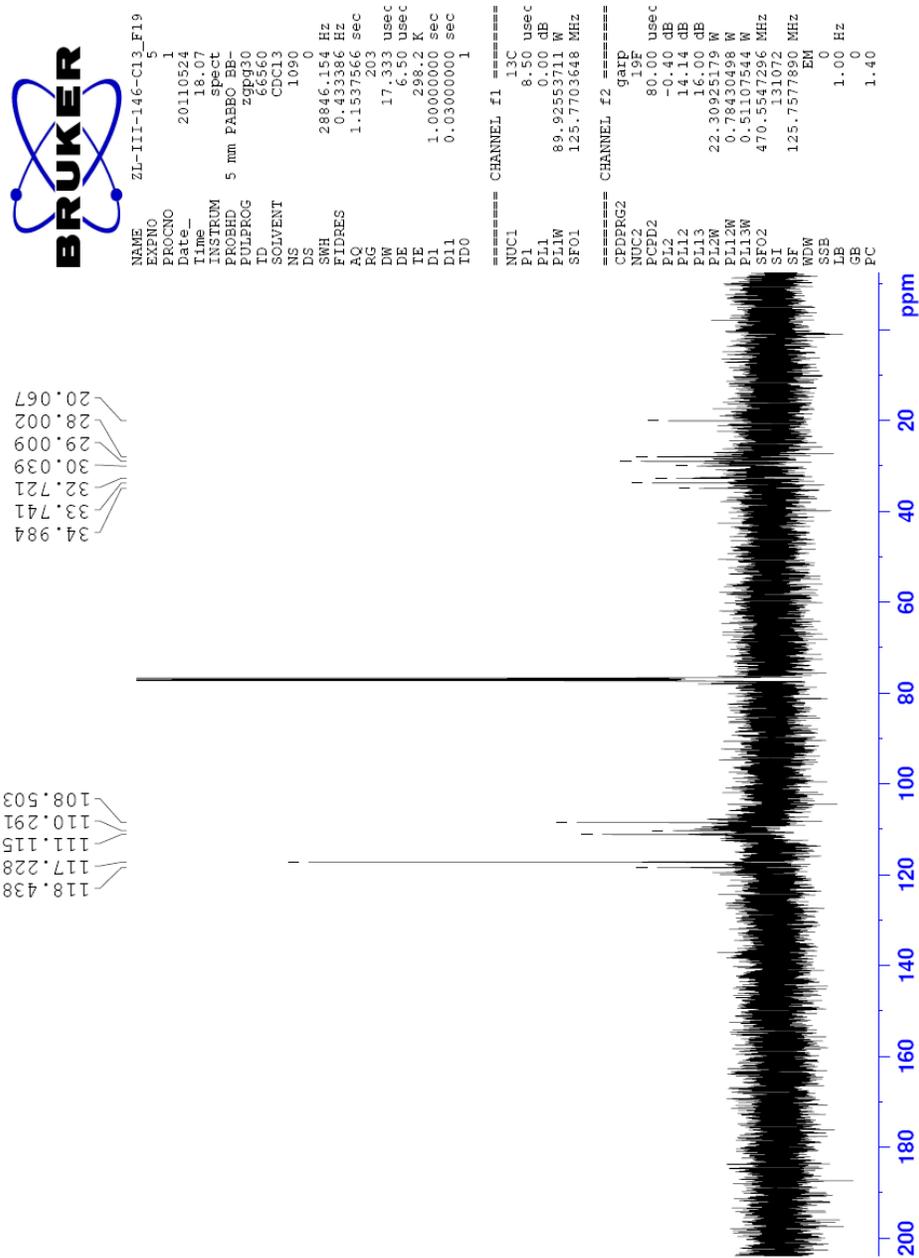
C6F13 (CH2) 8Br  
Solvent: CDCl3

NAME ZL-III-146  
EXPNO 5  
PROCNO 1  
Date\_ 20110523  
Time 20.08  
INSTRUM spect  
PROBHD 5 mm PABBO BB-  
PULPROG zg30  
TD 65536  
SOLVENT CDCl3  
NS 16  
DS 2  
SWH 10330.578 Hz  
FIDRES 0.157632 Hz  
AQ 3.1719923 sec  
RG 203  
DW 48.400 usec  
DE 6.50 usec  
TE 295.1 K  
D1 1.00000000 sec  
TD0 1

===== CHANNEL f1 =====  
NUC1 1H  
P1 14.50 usec  
PL 2.00 dB  
P1LW 14.10554981 W  
SFO1 500.1300885 MHz  
SF 500.1300885 MHz  
WDW EM  
SSB 0  
LB 0.30 Hz  
GB 0  
PC 1.00



App 23. <sup>1</sup>H-NMR spectrum of 14-bromo-1,1,1,2,2,3,3,4,4,5,5,6,6-tridecafluorotetradecane (**27**)

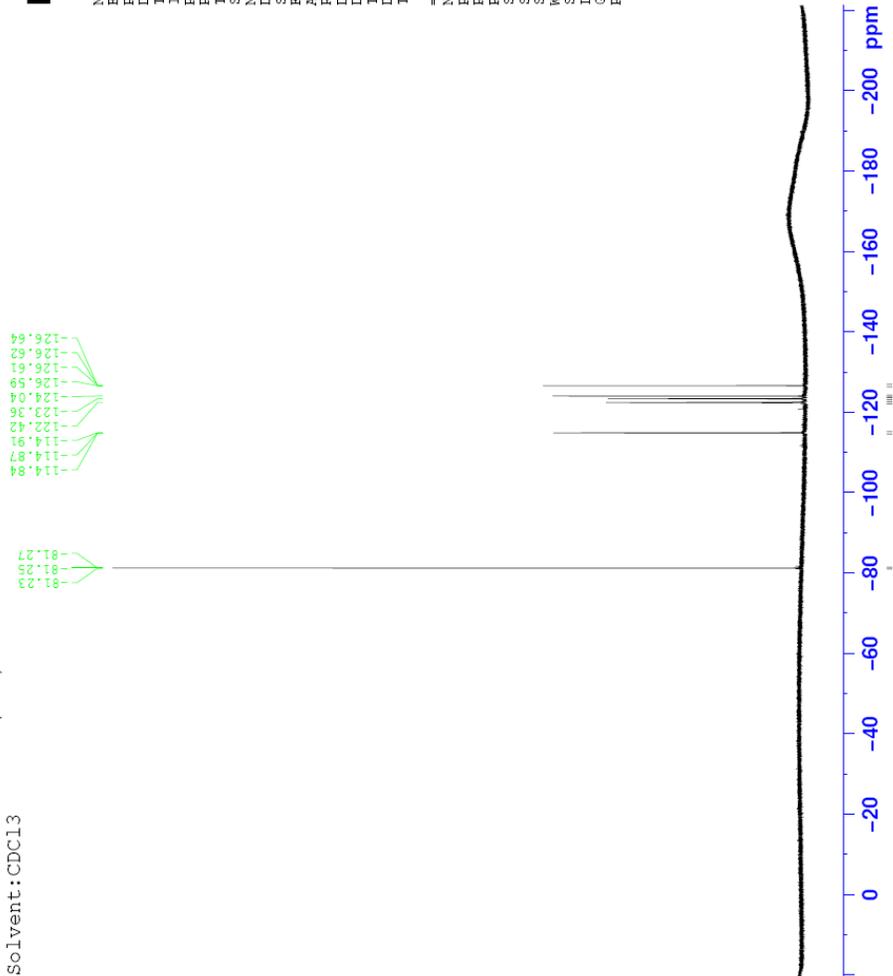


App 24.  $^{19}\text{F}$  decoupled  $^{13}\text{C}$ -NMR spectrum of 14-bromo-1,1,1,2,2,3,3,4,4,5,5,6,6-tridecafluorotetradecane (**27**)



ZL-III-146-F19  
NAME ZL-III-146-F19  
EXNO 1  
PROCNO 1  
Date\_ 20100828  
Time 18.33  
INSTRUM spect  
PROBHD 5 mm PABBO BB  
PULPROG zgpg30  
ID 131072  
SOLVENT CDCl3  
NS 16  
DS 4  
SWH 113635.367 Hz  
FIDRES 0.886977 Hz  
AQ 0.5767668 sec  
RG 4.200  
DM 4.200 usec  
DE 6.50 usec  
TE 295.1 K  
D1 1.00000000 sec  
TD0 1  
===== CHANNEL f1 =====  
NUC1 19F  
P1 15.00 usec  
PL1 -0.40 dB  
PL1W 22.30925179 W  
SF01 470.5453180 MHz  
SI 65536  
SF 470.5926038 MHz  
EM 0  
LB 0  
GB 0  
PC 1.00

ZL-III-146-F19:C6F13 (CH2) 8Br  
Solvent:CDCl3

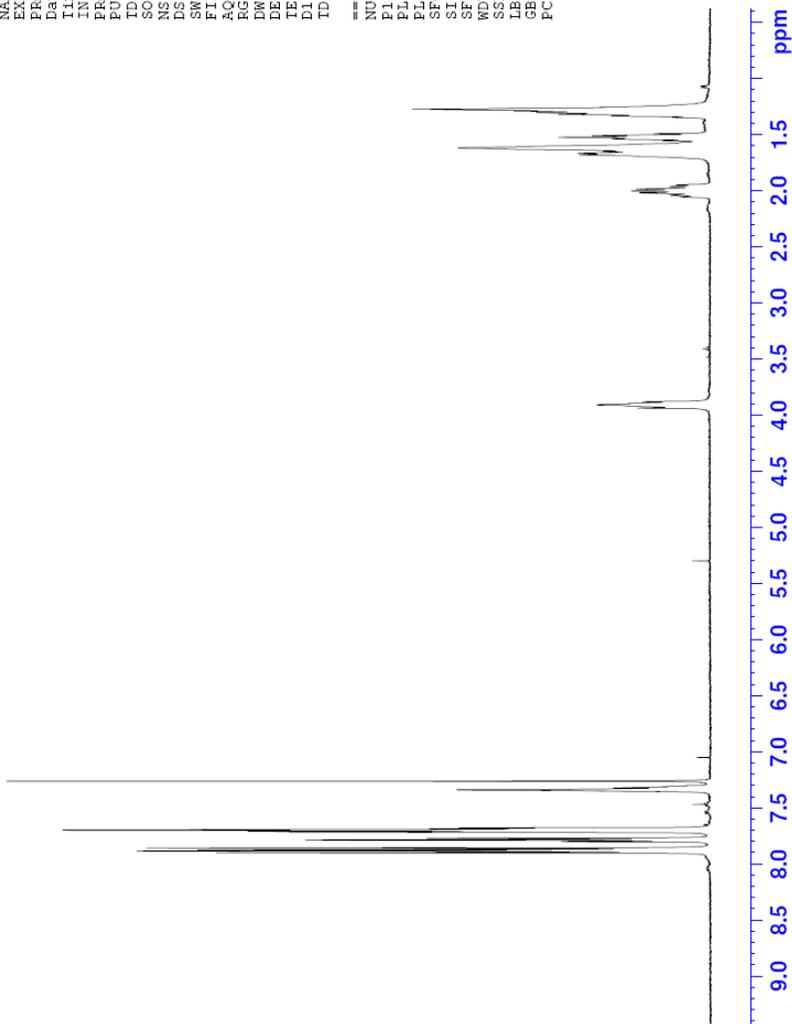


App 25. <sup>19</sup>F-NMR spectrum of 14-bromo-1,1,1,2,2,3,3,4,4,5,5,6,6-tridecafluorotetradecane (**27**)



NAME SL-I-002  
EXEN0 1  
PROCNO 1  
Date\_ 20110305  
Time 17.03  
INSTRUM spect  
PROBHD 5 mm PABBO BB-  
PULPROG zg30  
ID 65836  
SOLVENT CDCl3  
NS 16  
DS 2  
SWH 10330.578 Hz  
FIDRES 0.157632 Hz  
AQ 3.1719323 sec  
RG 203  
DW 48.400 usec  
DE 6.50 usec  
TE 296.0 K  
D1 1.00000000 sec  
TD0 1

===== CHANNEL f1 =====  
NUC1 1H  
P1 14.50 usec  
PL1 2.00 dB  
PL1W 14.10554991 W  
SF01 500.1330885 MHz  
SI 32768  
SF 500.1300120 MHz  
EM  
SSB 0  
WDW 0.30 Hz  
LB 0  
GB 0  
PC 1.00



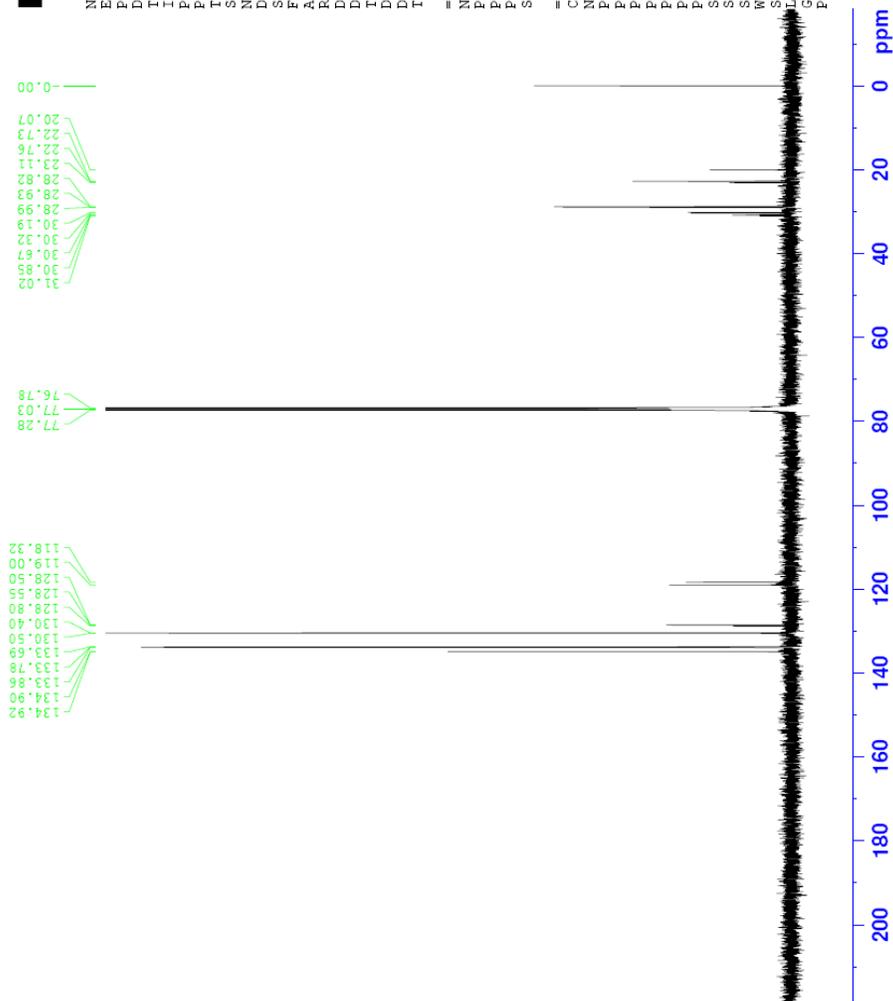
App 26.  $^1\text{H}$ -NMR spectrum of 9,9,10,10,11,11,12,12,13,13,14,14,14-tridecafluorotetradecanyl triphenylphosphonium bromide (**28**)



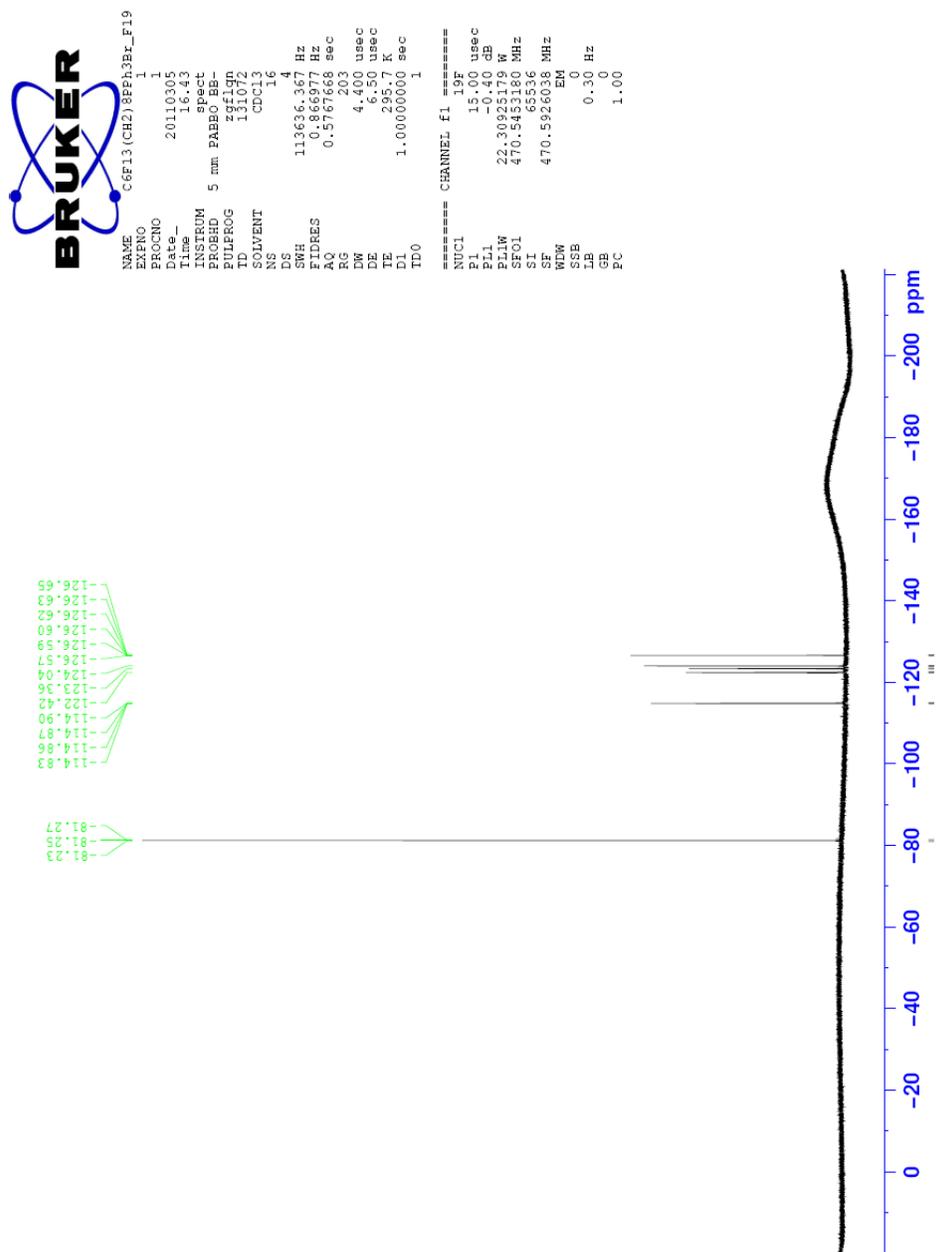
NAME SL-I-00  
EXPNO 7  
PROCNO 1  
Date\_ 20110307  
Time 6.51  
INSTRUM spect  
PROBHD 5 mm PABBO BB-  
PULPROG zgpg30  
ID 65636  
SOLVENT CDCl3  
NS 15360  
DS 4  
SWH 29761.904 Hz  
FIDRES 0.454131 Hz  
AQ 1.1010548 sec  
RG 203  
DW 16.800 usec  
DE 6.50 usec  
TE 299.7 K  
D1 2.0000000 sec  
D11 0.0300000 sec  
TD0 1

==== CHANNEL f1 =====  
NUC1 <sup>13</sup>C  
P1 81.50 usec  
PL1 0.00 dB  
PL1W 89.92553711 W  
SF01 125.7703643 MHz

==== CHANNEL f2 =====  
CPDPRG2 waitz16  
NUC2 <sup>1</sup>H  
PCPD2 80.00 usec  
PL2 2.00 dB  
PL2W 16.80 dB  
PL3 16.80 dB  
PL3W 14.10554981 W  
PL4W 0.46707872 W  
PL5W 0.46707872 W  
SF02 500.1320005 MHz  
SI 32768  
WDW SF  
EM 125.7577849 MHz  
SSB 0  
LB 1.00 Hz  
GB 0  
FC 1.40



App 27. <sup>19</sup>F decoupled <sup>13</sup>C-NMR spectrum of 9,9,10,10,11,11,12,12,13,13,14,14,14-tridecafluorotetradecanyl triphenylphosphonium bromide (**28**)



App 28.  $^{19}\text{F}$ -NMR spectrum of 9,9,10,10,11,11,12,12,13,13,14,14,14-tridecafluorotetradecanyl triphenylphosphonium bromide (**28**)



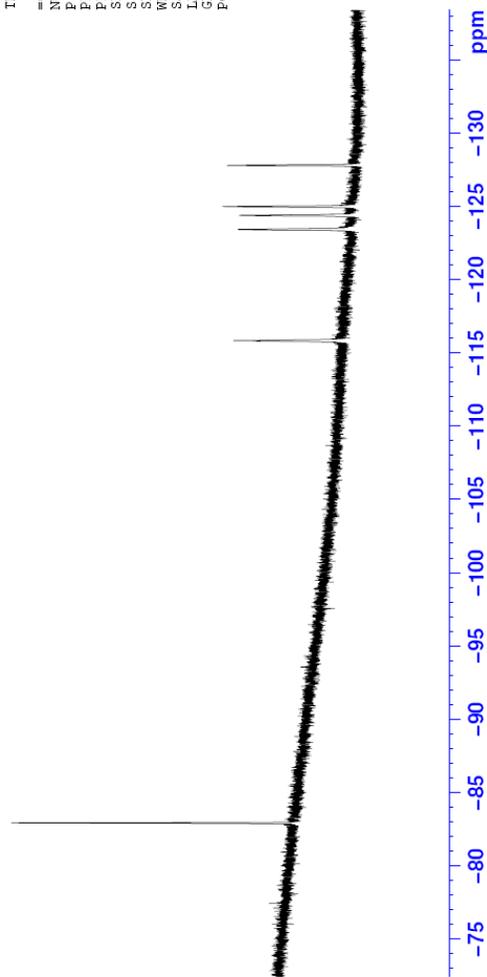
D1-C6F13-GM1-19F

NAME: D1-C6F13-GM1-19F  
EXPNO: 5  
PROCNO: 20111006  
Date\_ Time: 18.40  
INSTRUM: spect  
PROBHD: 5 mm PABBO BB-  
PULPROG: zgpg30  
TD: 131072  
SOLVENT: MeOD  
NS: 512  
DS: 4  
SWH: 113636.367 Hz  
FIDRES: 0.866977 Hz  
AQ: 0.5767668 sec  
RG: 203  
DM: 4.400 usec  
DE: 6.50 usec  
TE: 299.9 K  
D1: 1.00000000 sec  
ID0: 1

CHANNEL f1  
NUC1: 19F  
P1: 15.00 usec  
PL1: -0.40 dB  
PL12: 22.30925179 W  
SF01: 470.5453180 MHz  
SI: 65536  
SF: 470.5926038 MHz  
WDW: EM  
SSB: 0  
LB: 0.30 Hz  
GB: 0  
PC: 1.00

D1-C6F13-GM1-19F  
Solvent: CD3OD  
86.28  
86.28  
86.28

115.83  
123.42  
124.38  
124.99  
127.80

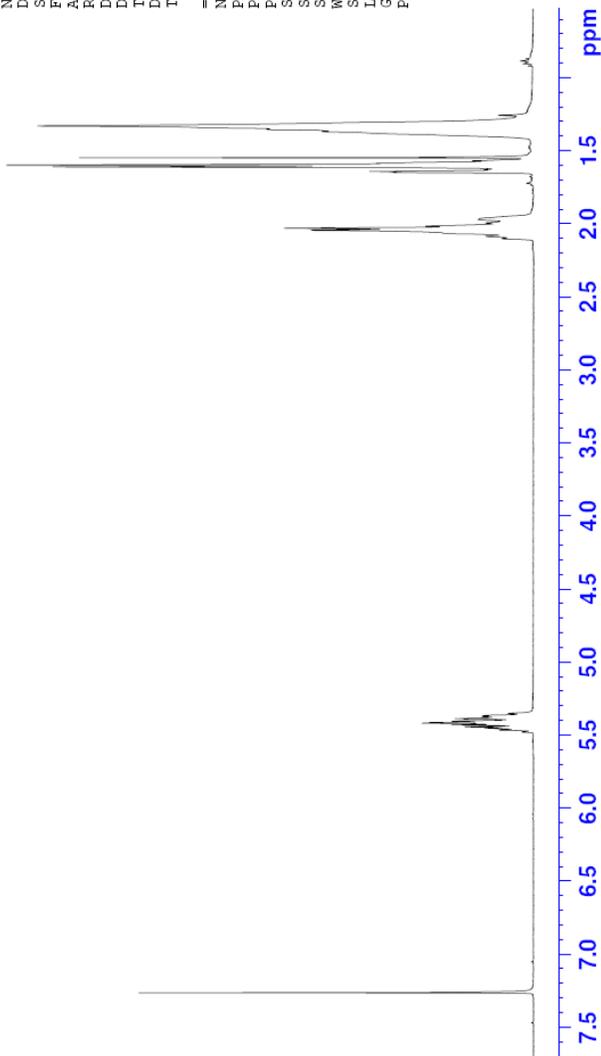


App 29. <sup>19</sup>F-NMR spectrum of Di-C6F13-GM1 (31)



NAME ZL-III-97  
EXPNO 2  
PROCNO 1  
Date\_ 20100512  
Time 16.54  
INSTRUM spect  
PROBHD 5 mm PABBO BB-  
PULPROG zg30  
TD 65536  
SOLVENT CDCl3  
NS 16  
DS 2  
SWH 10330.578 Hz  
FIDRES 0.1157632 Hz  
AQ 3.1719923 sec  
RG 203  
RW 48.400 usec  
DE 8.50 usec  
TE 295.1 K  
D1 1.00000000 sec  
TD0 1

===== CHANNEL f1 =====  
NUC1 1H  
P1 14.50 usec  
PL1 2.00 dB  
PL1W 14.10554981 W  
SF01 500.1330885 MHz  
SI 32768  
SF 500.1300120 MHz  
WDW EM  
SSB 0  
LB 0.30 Hz  
GB 0  
PC 1.00



App 30.  $^1\text{H-NMR}$  spectrum of (*E*)-11,11,12,12,13,13,14,14,15,15,16,16,16-tridecafluorohexadec-2-ene (**38**)

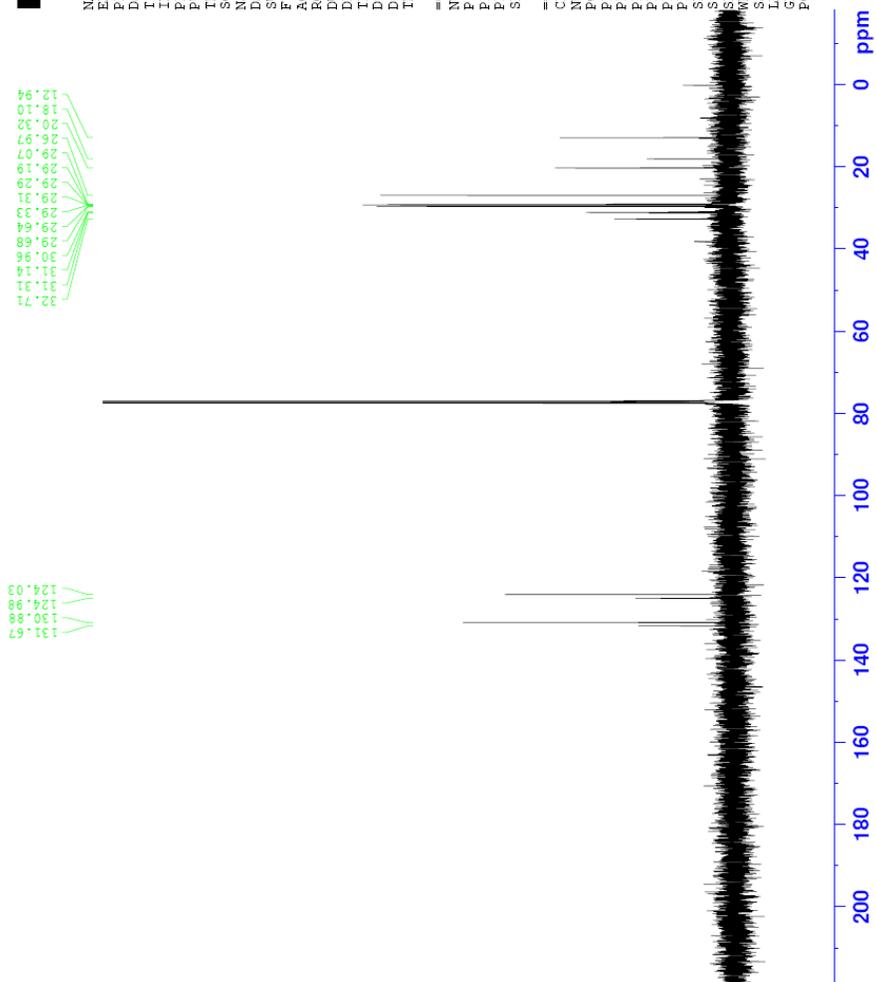


```

NAME          ZL-III-97-C13
EXPNO         2
PROCNO        1
Date_         20100512
Time          17.29
INSTRUM       spect
PROBHD        5 mm PABBO-BB-
PULPROG       zgpg30
TD            65536
SOLVENT       CDCl3
NS            1024
DS            4
SWH           25761.904 Hz
FIDRES        0.454131 Hz
AQ            1.1010548 sec
RG            203
DW            16.800 usec
DE            6.50 usec
TE            297.8 K
D1            0.5000000 sec
t11          0.0300000 sec
TD0           1

===== CHANNEL f1 =====
NUC1          13C
P1            8.50 usec
PL1           0.00 dB
PL1W          89.92553711 W
SFO1          125.7703643 MHz

===== CHANNEL f2 =====
CFDPRG2      waltz16
NUC2          1H
PCPD2        80.00 usec
PL2           2.00 dB
PL12         16.80 dB
PL13         16.80 dB
PL2W         14.10554981 W
PL12W        0.46707872 W
PL13W        0.46707872 W
SFO2          500.1320005 MHz
SI            32768
SF           125.7577588 MHz
GB           0 Hz
PC           1.00
CE           1.40
  
```

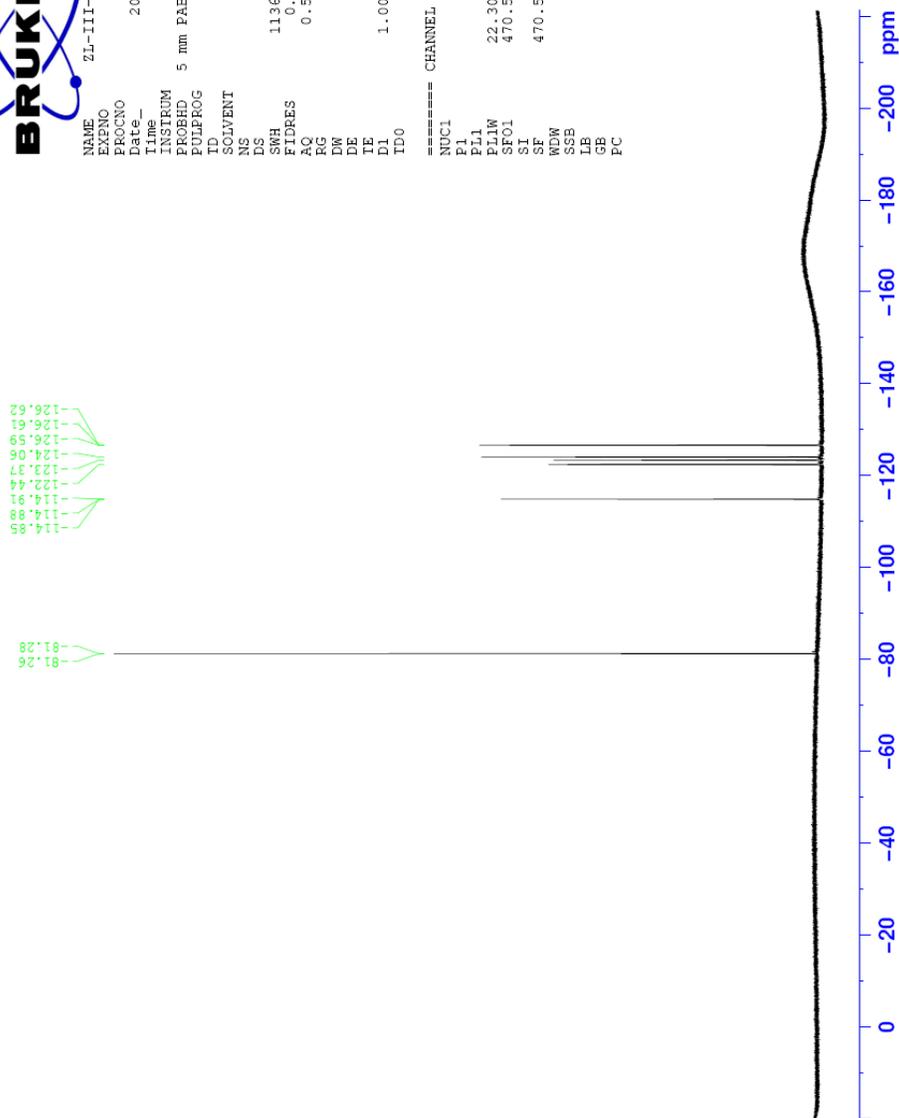


App 31.  $^{13}\text{C}$ -NMR spectrum of (*E*)-11,11,12,12,13,13,14,14,15,15,16,16,16-tridecafluorohexadec-2-ene (**38**)

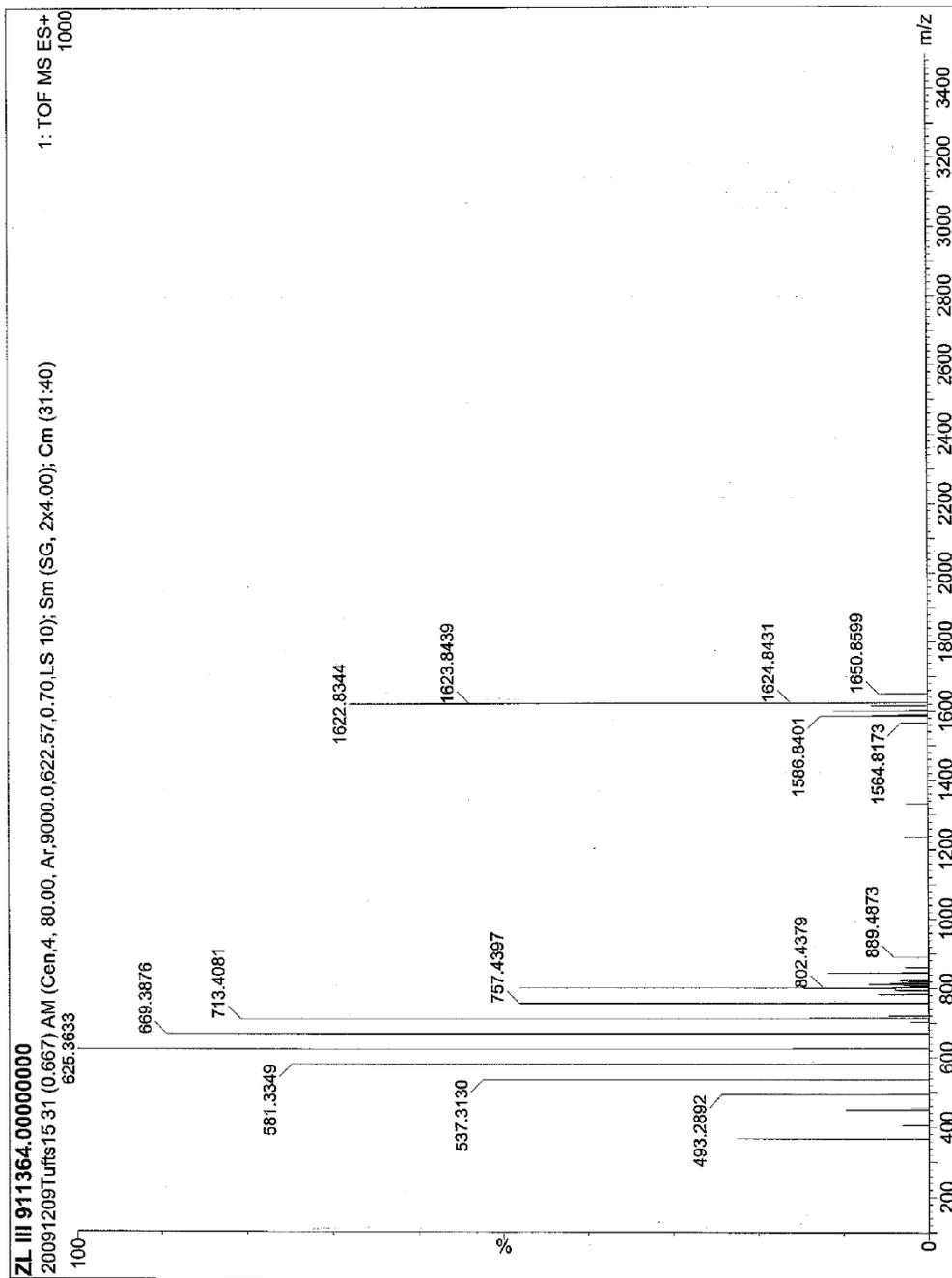


NAME 2L-III-97-F19  
EXPNO 1  
PROCNO 1  
Date\_ 20100512  
Time\_ 15.45  
INSTRUM spect  
PROBHD 5 mm PABBO BB-  
PULPROG zgpg30  
ID 131072  
SOLVENT CDCl3  
NS 16  
DS 4  
SWH 113636.367 Hz  
FIDRES 0.866977 Hz  
AQ 0.5767668 sec  
RG 203  
DW 4.400 usec  
DE 6.50 usec  
TE 294.8 K  
DI 1.00000000 sec  
DO 1

===== CHANNEL f1 =====  
NUC1 <sup>19</sup>F  
P1 15.00 usec  
PL1 0.00 dB  
FL1 22.30000000 MHz  
SFO1 470.942480000 MHz  
SF 470.942480000 MHz  
WDW EM  
SSB 0  
LB 0.30 Hz  
GB 0  
PC 1.00



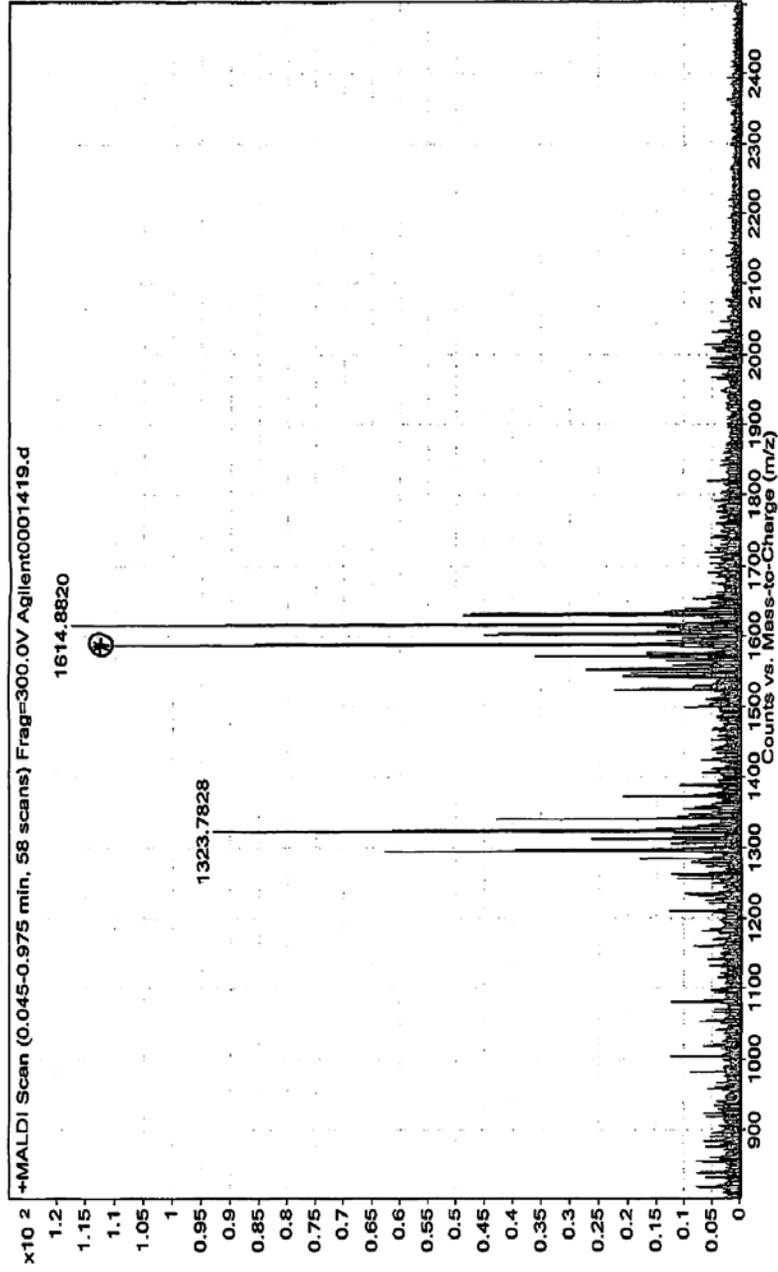
App 32. <sup>19</sup>F-NMR spectrum of (*E*)-11,11,12,12,13,13,14,14,15,15,16,16,16-tridecafluorohexadec-2-ene (**38**)



App 33. HRMS of 18-F3-GM1 (12)

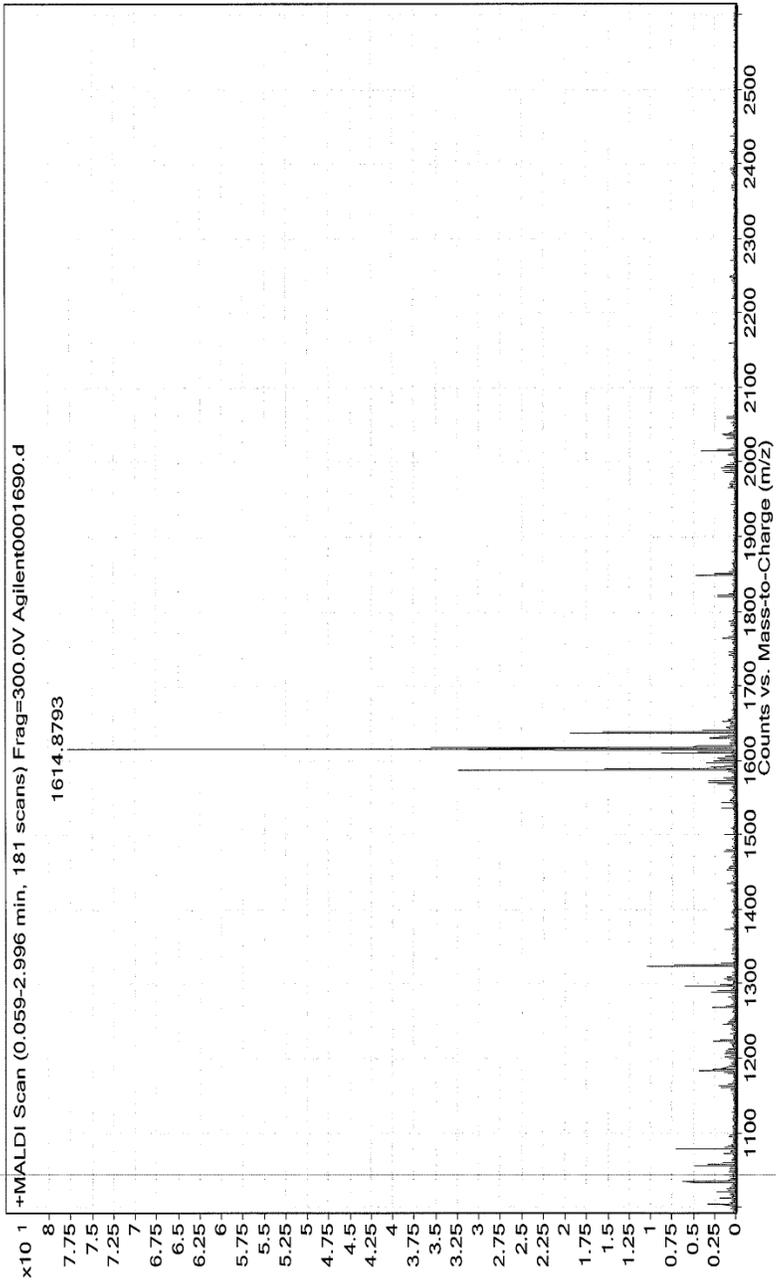
18-F-GM1

Sample Name	Unavailable	Position	Unavailable	Instrument Name	Unavailable	User Name	Unavailable
Inj Vol	Unavailable	InjPosition	Unavailable	SampleType	Unavailable	IRM Calibration Status	Success
Data Filename	Agilent001419.d	ACQ Method	Unavailable	Comment	Sample information is unavailable	Acquired Time	Unavailable



App 34. HRMS of 18-F-GM1 (16)

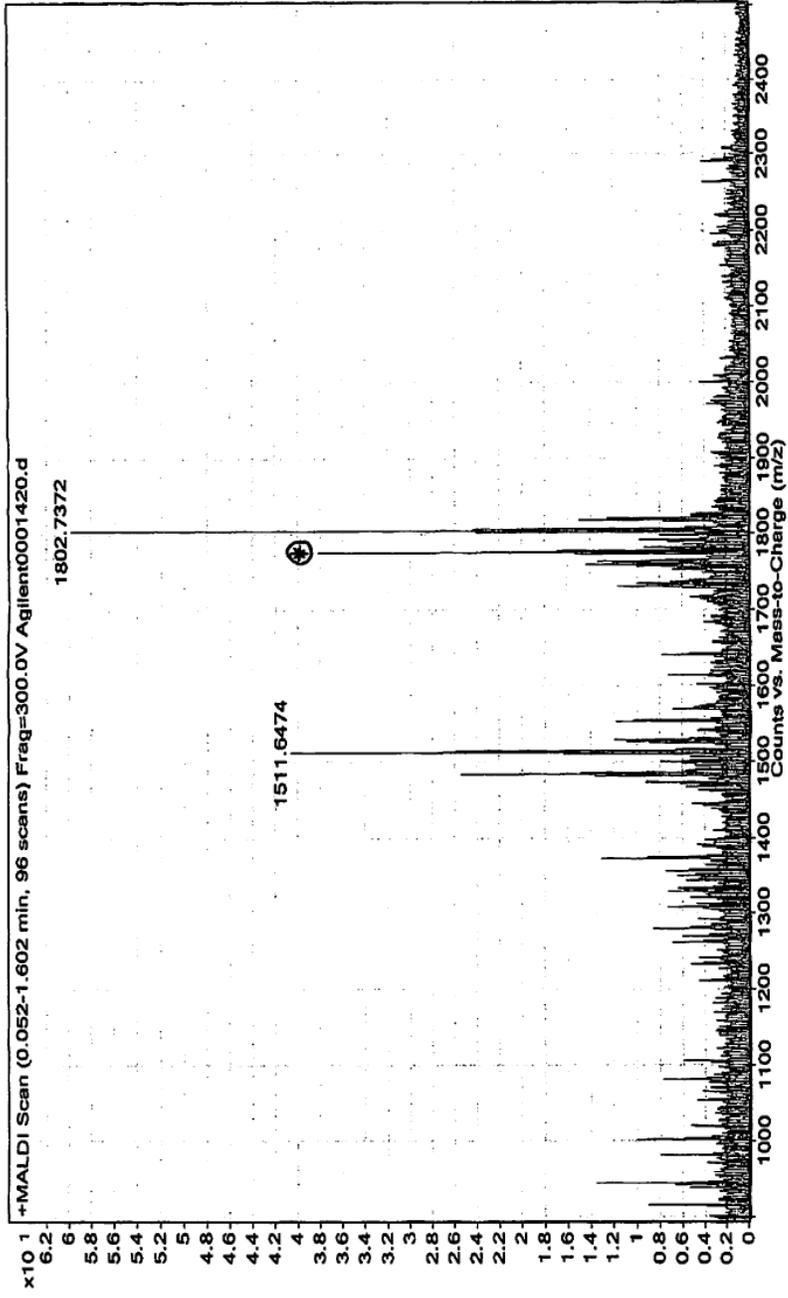
**Sample Name** 12F-GM1  
**Int Vol** -1  
**Data Filename** Agilent0001690.d  
**Position** P1-A1  
**InjPosition**  
**ACQ Method**  
**Instrument Name** Instrument 1  
**SampleType** Sample  
**Comment** Liu\*\*Agilent0001690\*\*  
**User Name** Marek A. Domin  
**IRM Calibration Status** Success  
**Acquired Time** 5/16/2011 1:58:24 PM  
**Outside Work**



App 35. HRMS of 12-F-GM1 (23)

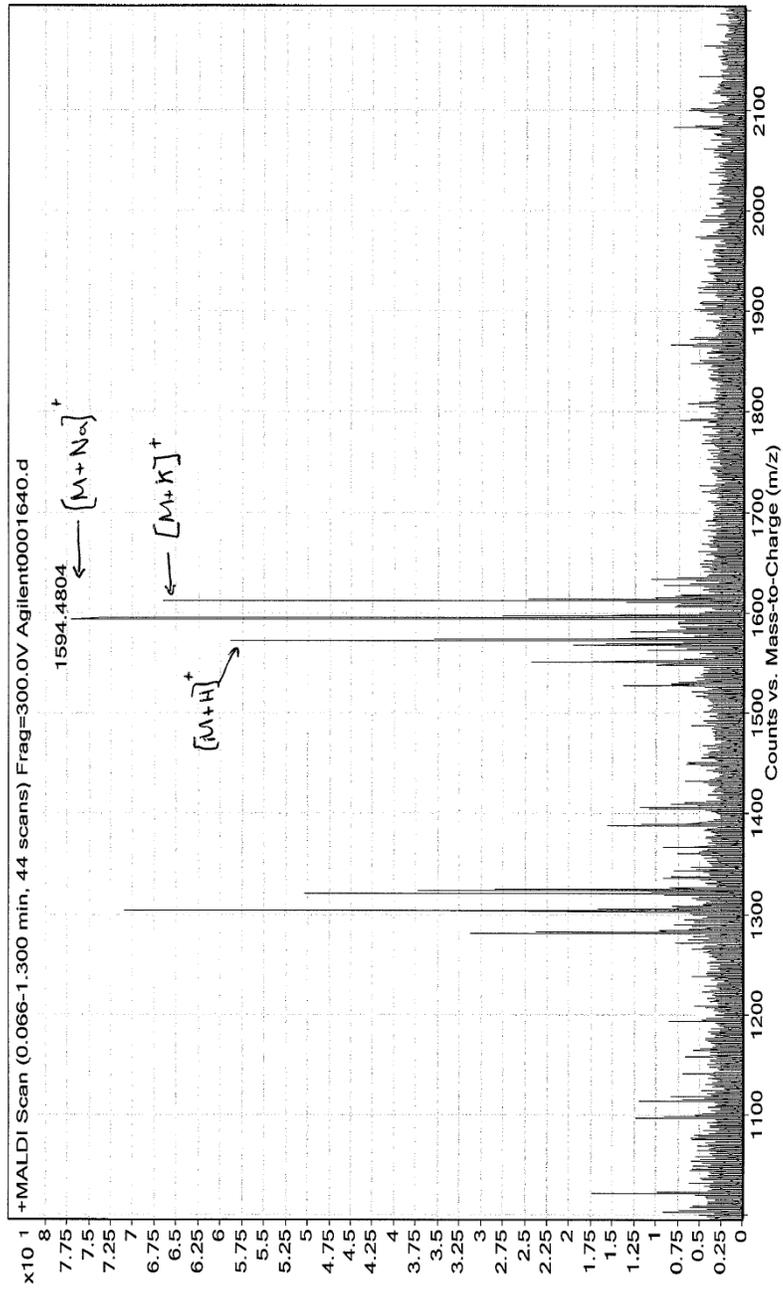
C6F13-GM1

Sample Name	Unavailable	Position	Unavailable	Instrument Name	Unavailable	User Name	Unavailable
Inj Vol	Unavailable	InjPosition	Unavailable	SampleType	Unavailable	IRM Calibration Status	Success
Data Filename	Agilent0001420.d	ACQ Method	Unavailable	Comment	Sample information is unavailable	Acquired Time	Unavailable



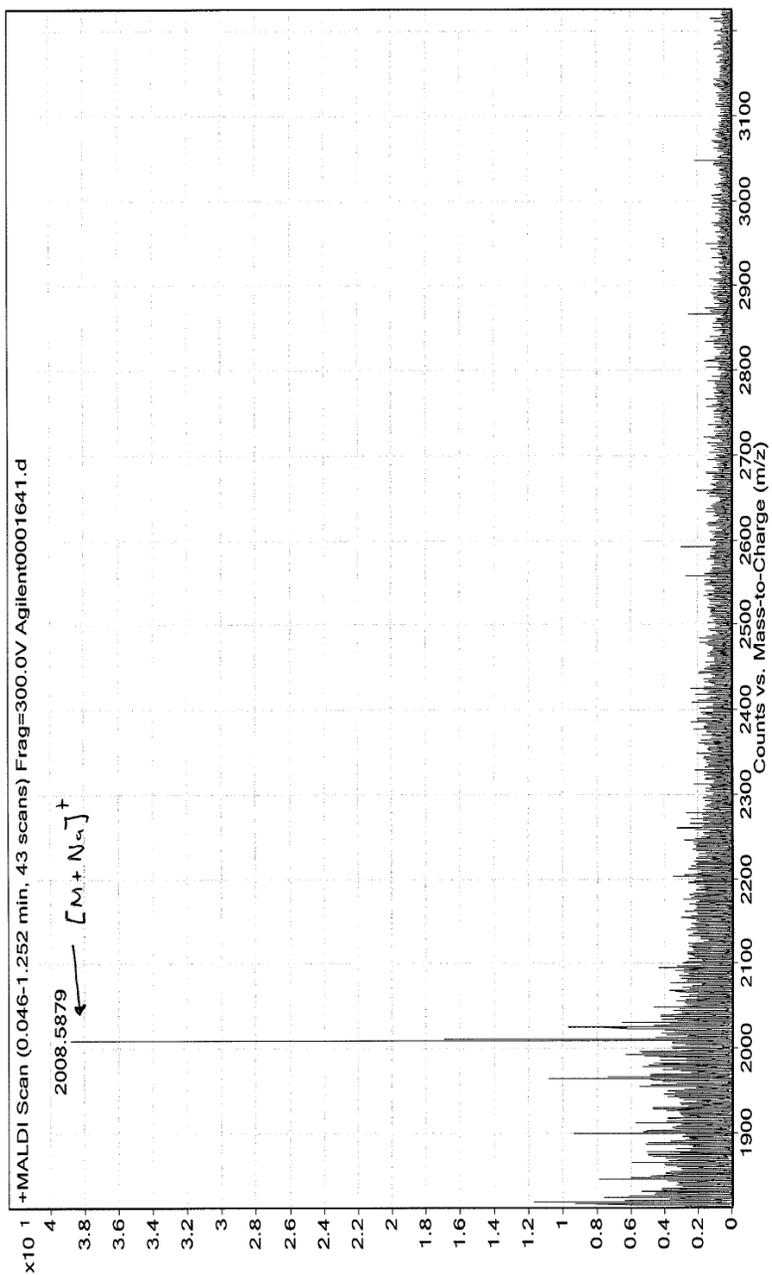
App 36. HRMS of C6F13-GM1 (24)

**Sample Name** C6F13-GM1-CHO  
**Inj Vol** -1  
**Data Filename** Agilent0001640.d  
**Position** PL-A1  
**InjPosition** ACQ Method  
**Instrument Name** Instrument 1  
**SampleType** Sample  
**Comment** Liu\*\*Agilent0001640\*\*  
**User Name** Marek A. Domin  
**IRM Calibration Status** Success  
**Acquired Time** 5/6/2011 9:52:55 AM  
**Outside Work**



App 37. HRMS of C6F13-GM1-CHO (29)

**Sample Name** Di-C6F13-GM1  
**Ini Vol** -1  
**Data Filename** Agilent0001641.d  
**Position** P1-A1  
**Instrument Name** Instrument 1  
**Sample** Lir\*\*Agilent0001641\*\*  
**Comment** Outside Work  
**User Name** Marek A. Domin  
**IRM Calibration Status** Success  
**Acquired Time** 5/6/2011 10:08:52 AM



App 38. HRMS of Di-C6F13-GM1 (31)