



# **Why Food is Not Enough**

## **Environmental Enteropathy, Mycotoxins, the Gut Microbiome, and Malnutrition**

February 27, 2015

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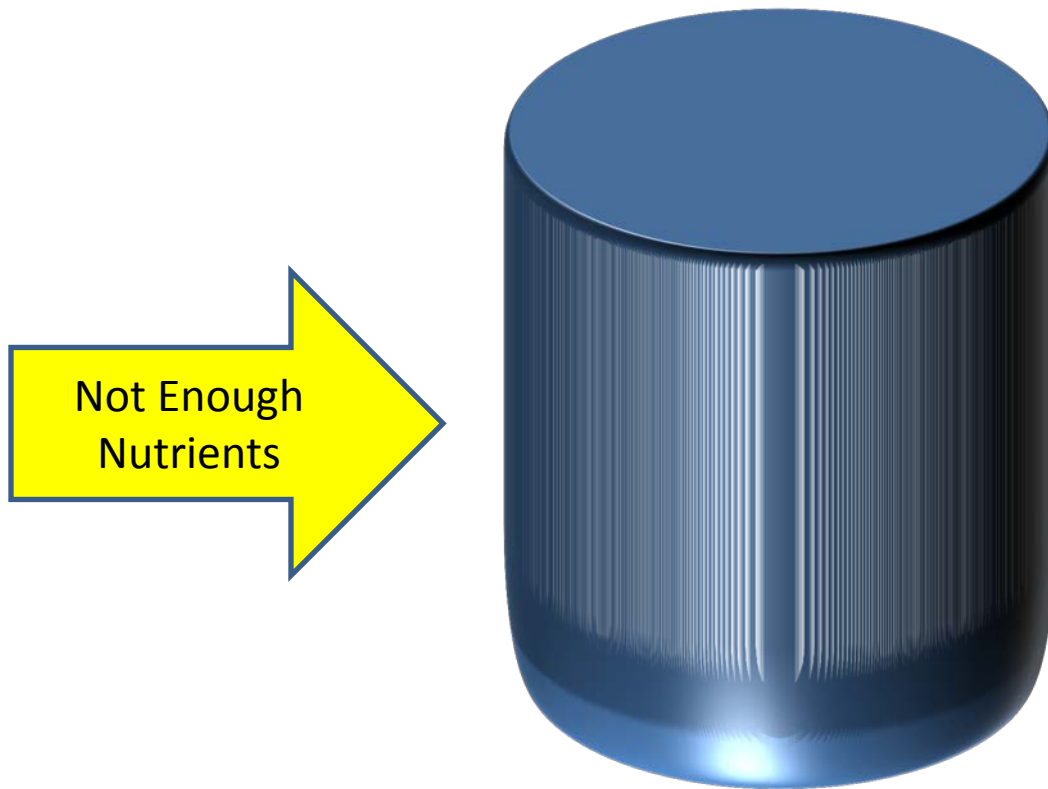
# Learning Objectives

- Learn about important new (and unexpected) data on the roles of the gut microbiome, aflatoxins, and sanitation in health and nutrition for low-income countries.
- Understand new integrative paradigms about how health and growth are affected by nutrition and the environment.
- Contextualize this information in terms of US (high-income country) history.

# Focus of this talk

- Malnutrition and under-nutrition remain major global health issues, even as obesity and over-nutrition are on the rise.
- An operating paradigm has been that the lack of food is the key gap.
- This turns out to be too simple – new data is showing major influences of the external, and internal (microbiome), environments.

# Simple Idea – Not Enough Food Leads to Malnutrition; solution= Food.



- Stunting
- Wasting
- Small for Gestational Age/Low Birth Weight
- Micronutrient Deficiency (Fe, Zn, vitamin A, Iodine)

# Stunting – low *height* for age



CDC Children with Kwashiorkor – Stunting, protein deficiency

# Wasting – low *weight* for age

This slide dates to a  
famine in South Asia  
Acute Shortage of Food



# Supposition:

**↑food = ↑income & ↑nutrition  
and thus to better health**

.....

**Higher Income = Can afford more  
food, more diverse/healthier diet**

**Higher production of food = more  
food available in household**



— What We Do —

## AGRICULTURAL DEVELOPMENT

STRATEGY OVERVIEW

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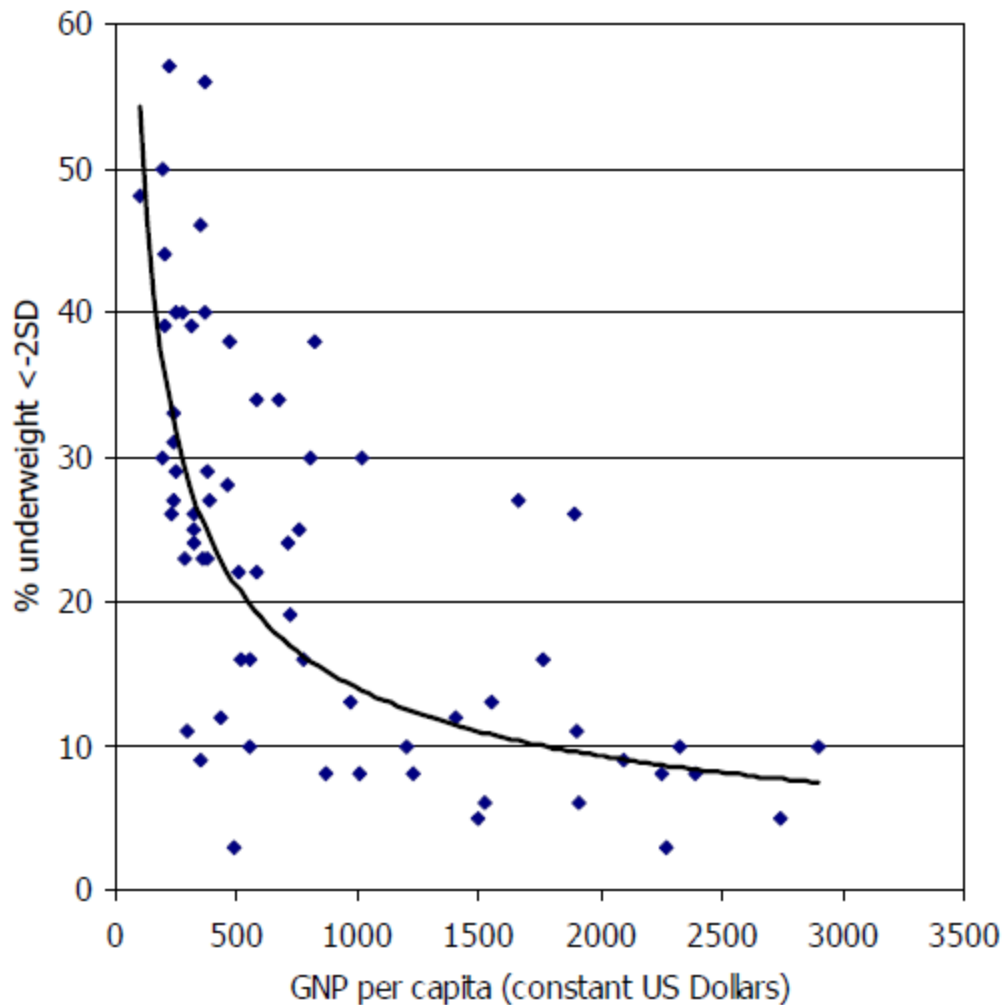


*A maize farmer in Tanzania whose crop yields have increased through the use of better seeds.*

**OUR GOAL:** to reduce hunger and poverty for millions of farming families in Sub-Saharan Africa and South Asia by increasing agricultural productivity in a sustainable way.



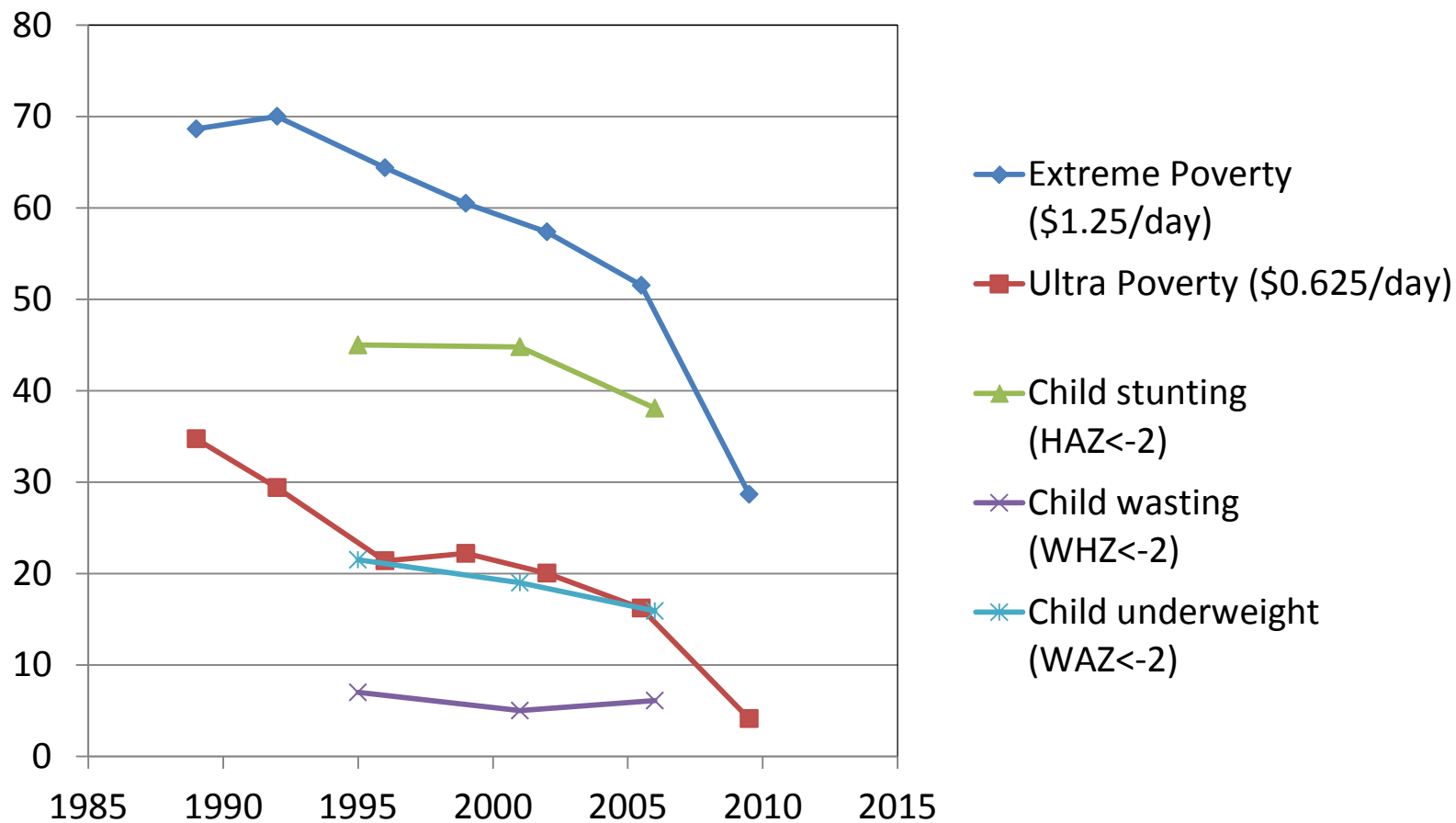
# World Bank data – underweight versus GNP



Why isn't the Relationship a Straight line if More \$\$\$ = Better Nutrition??

From presentation by Will Masters: note **steep rate of decline in poverty versus very modest rate of decline in undernutrition** -

## Poverty and child undernutrition in Uganda, 1989-2009



Source: Poverty rates are calculated from World Bank (2011), PovcalNet (<http://iresearch.worldbank.org/PovcalNet/>), updated 11 April 2011. Estimates are based on over 700 household surveys from more than 120 countries, and refer to per-capita expenditure at purchasing-power parity prices for 2005. Undernutrition rates are from Uganda Demographic and Health Surveys 1995 (Mar.-Aug. 1995), 2000-01 (Sept. 2000-March 2001), and 2006 (May-Oct. 2006).

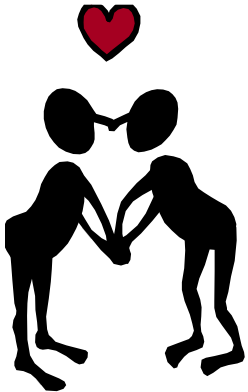
## Maternal and Child Nutrition 2

### Evidence-based interventions for improvement of maternal and child nutrition: what can be done and at what cost?

*Zulfiqar A Bhutta, Jai K Das, Arjumand Rizvi, Michelle F Gaffey, Neff Walker, Susan Horton, Patrick Webb, Anna Lartey, Robert E Black, The Lancet Nutrition Interventions Review Group, and the Maternal and Child Nutrition Study Group*

- 800,000 neonatal / 3.1 million childhood deaths per year. 165 million stunted children.
- If top 10 nutrition interventions targeted to 34 countries with 90% of childhood deaths ...
- Reduce deaths by **15%**, stunting by **20%**, acute wasting by **61%**. (For < \$10 billion per year).

# Bad News: Lancet review (2013) of how much “food would fix” – not much (20%).



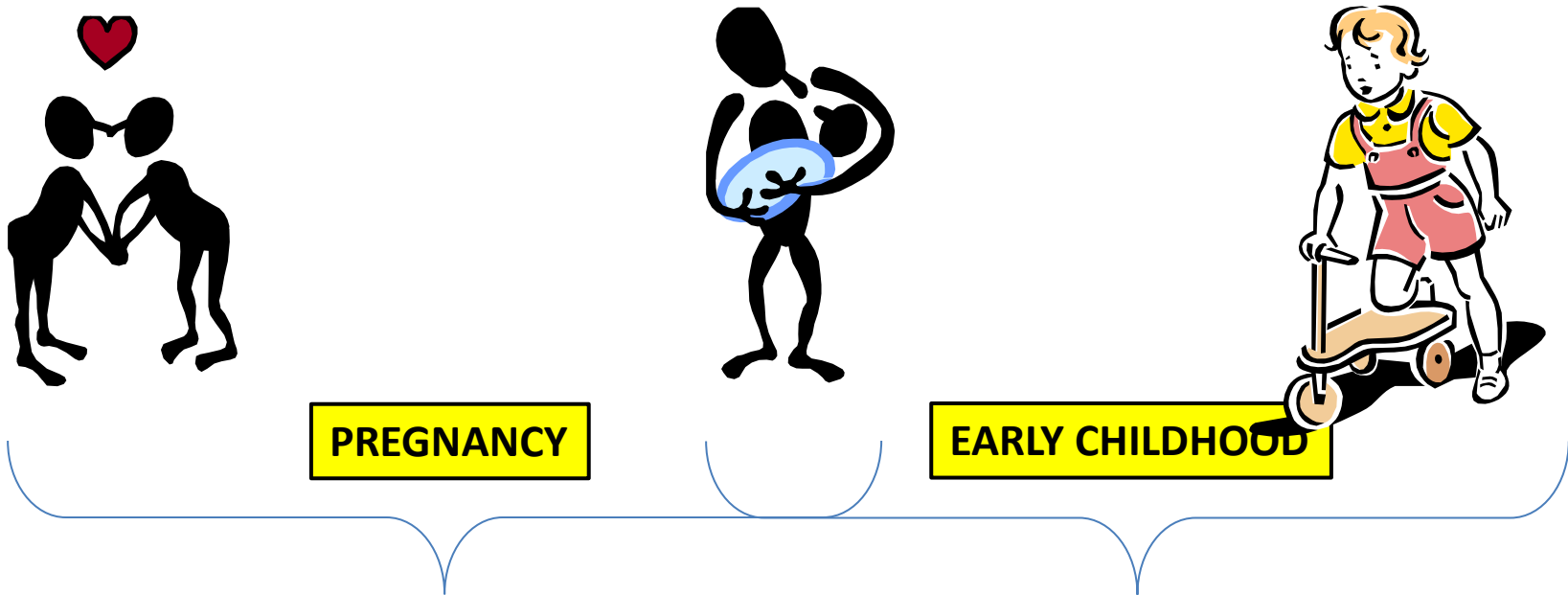
**PREGNANCY**

**EARLY CHILDHOOD**

ADOLESCENT, PRECONCEPTION, GESTATIONAL, AND MATERNAL NUTRITION  
ADEQUATE CALORIES (PROTEINS, FATS, CARBOS) IN ALL LIFE STAGES  
DIVERSITY OF MICRONUTRIENTS, VITAMINS, HIGH QUALITY PROTEINS  
OPTIMAL BREASTFEEDING, RESPONSIVE FEEDING PRACTICES, STIMULATION  
GOOD COMPLEMENTARY FEEDING 6-23 MONTHS, DIETARY DIVERSITY  
WEALTH, EDUCATION – [BE SURE TO CHOOSE YOUR PARENTS WELL]  
Others.....

All are  
*Known*  
Revalent  
Nutrition  
Actions

It's not just what you eat...  
It's your external and internal environment  
And how they are linked (water and sanitation)



**MYCOTOXINS:** FUNGAL FOOD TOXINS WHICH IMPAIR GROWTH AND IMMUNITY

**ENVIRONMENTAL ENTEROPATHY:**

**INFLAMED, LEAKY, DYSFUNCTIONAL INTESTINES**

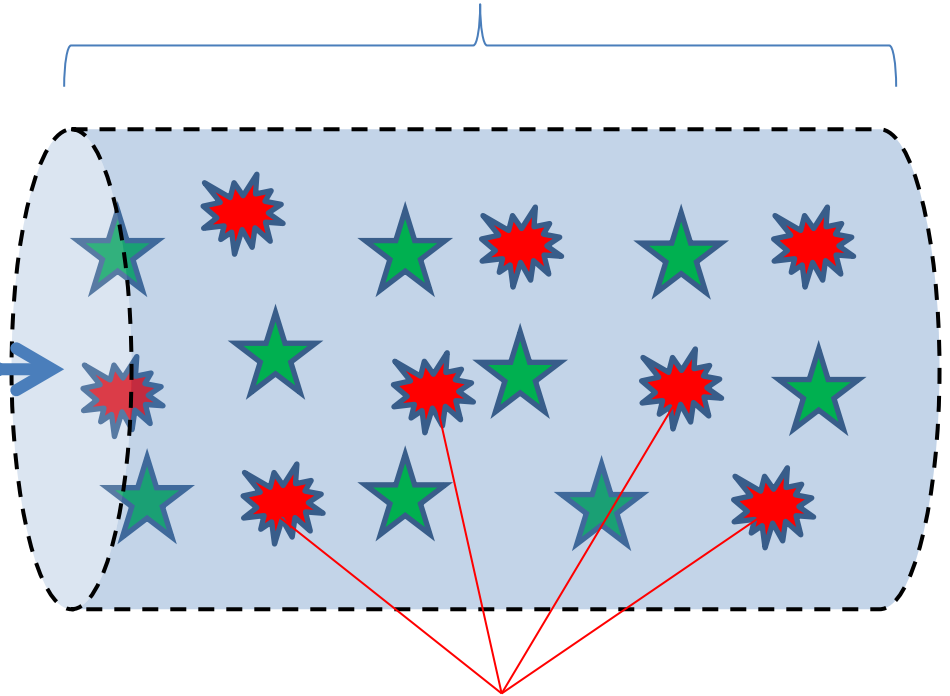
**THE GUT *MICROBIOME* - GUT BACTERIA GONE BAD**

MYCOTOXINS  
IN FOOD

HUMAN AND  
ANIMAL  
PATHOGENS

MICRO- AND  
MACRO-  
NUTRIENTS

PERMEABLE (“LEAKY”)  
AND INFLAMMED GUT



UNHEALTHY  
INTESTINAL  
MICROBIOME

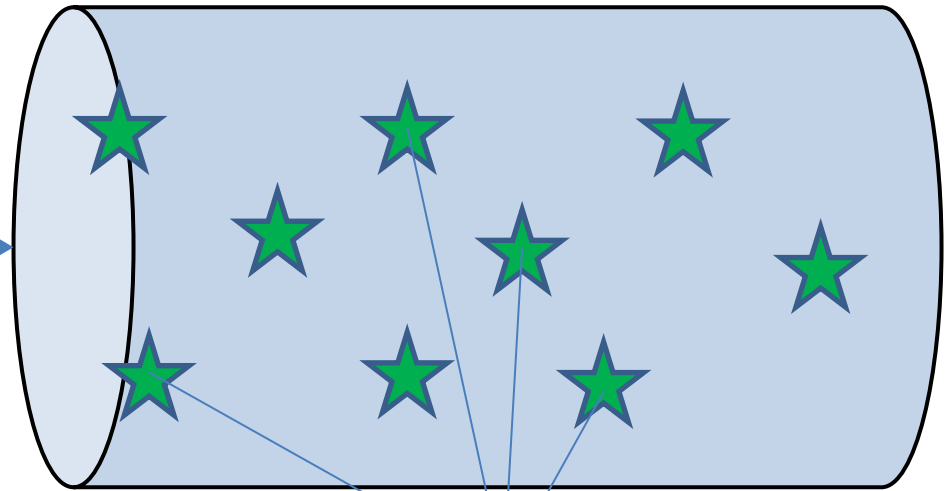


MYCOTOXINS  
IN FOOD

NORMAL GUT –  
NOT PERMEABLE

HUMAN AND  
ANIMAL  
PATHOGENS

MICRO- AND  
MACRO-  
NUTRIENTS



HEALTHY  
INTESTINAL  
MICROBIOME

# Agriculture in Urban Nairobi: Sewage

Left: broken sewage main in field. Right: lush fields.



Farmers work in contaminated fields; crops contaminated with human pathogens; go home to families carrying tools & wearing boots that have been in sewage...



**Water** needed for crop productivity, vegetable kitchen gardens and dietary diversity (animal meat protein is good), to promote income, keep farmers hydrated and fit for work, .... Promote gender equality .... Irrigation, reservoir construction help address climate change ....  
What else does the water carry?

# AGRICULTURAL WASTEWATER

ORGANISM	TYPICAL SOURCE
ROTAVIRUS	HUMANS; PERHAPS ANIMALS
HEPATITIS A	HUMANS
HEPATITIS E	HUMANS, <b>SWINE</b>
<i>E. coli</i> (bacteria)	<b>CATTLE, HUMANS</b>
<i>Shigella</i> species	HUMANS
<i>Salmonella enterica</i> (bacteria)	<b>CATTLE, POULTRY, SWINE, HUMANS</b>
<i>Campylobacter jejuni</i> (bacteria)	<b>POULTRY</b>
<i>Cryptosporidium</i> * (protozoan)	<b>CATTLE, HUMANS, OTHER FARM ANIMALS</b>
<i>Microsporidia</i> * (fungus)	<b>FARM AND DOMESTIC ANIMALS, HUMANS</b>
* Causes chronic diarrhea, wasting, malnutrition in people with HIV/AIDS	
<i>Cryptosporidium</i> – a leading cause of diarrhea children < 24 months; known to cause stunting; and children have x 4 risk of death in next year	

Poor Sanitation / Hygiene. Fecal Contamination  
of Domestic Environment

Fecal Ingestion Infants/Children and Enteric Infections

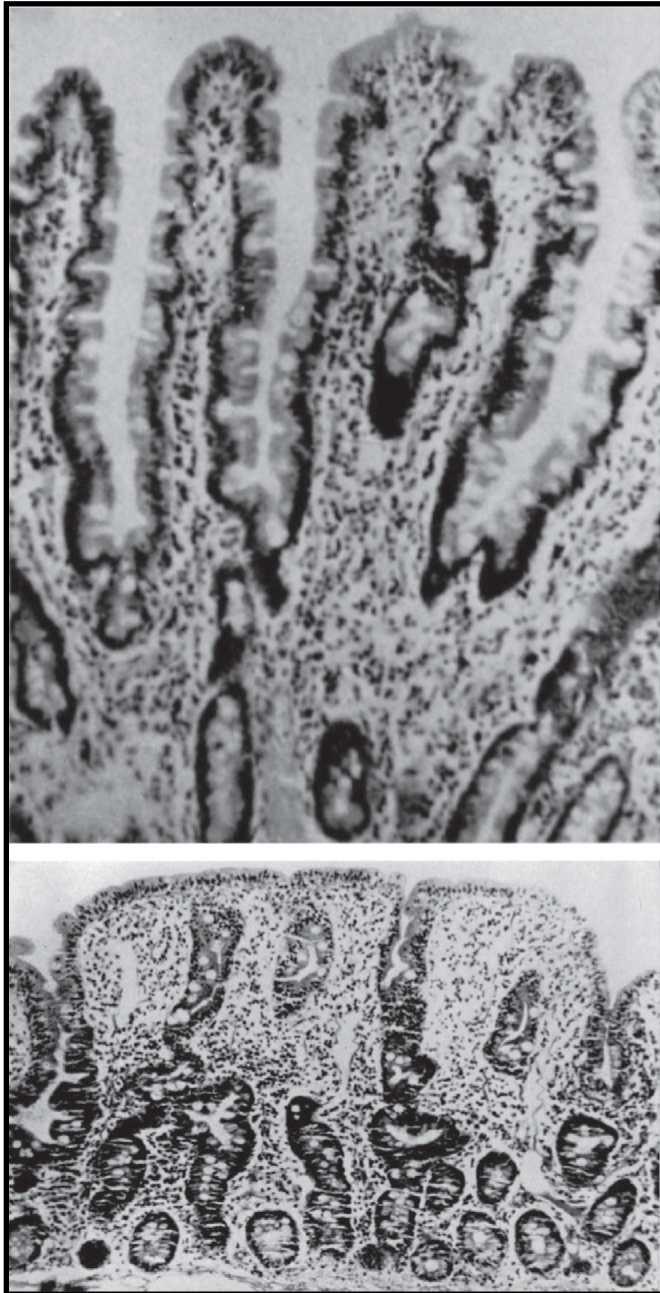


(1) Increased gut permeability (2) Bacteria (and gut  
contents) leak into body (3) Intestinal Inflammation



## **ENVIRONMENTAL ENTEROPATHY**

**In studies dating to 1993, 43% of stunting explained by increased gut permeability (Also called environmental enteric dysfunction, EED, and tropical enteropathy).**



## **ENVIRONMENTAL ENTEROPATHY (EE or EED)**

**People living in contaminated environments have leaky, chronically inflamed intestines**

**EE** - Short blunted villi, tissue is infiltrated with inflammatory cells. 15% less protein and 5% less carbohydrate is absorbed.

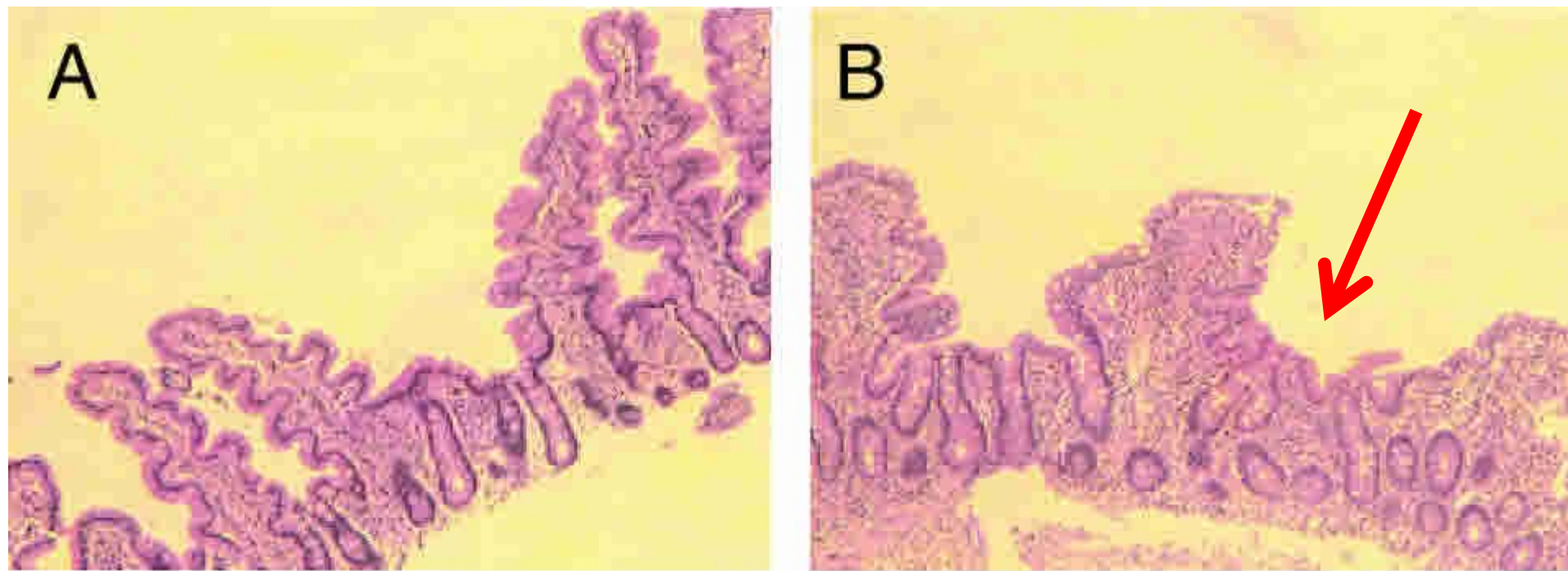
↑ nutritional needs, bacteria leak into body, leads to anemia.

**Bad bacteria** are likely cause.



## RESPONSES OF SMALL INTESTINAL ARCHITECTURE AND FUNCTION OVER TIME TO ENVIRONMENTAL FACTORS IN A TROPICAL POPULATION

PAUL KELLY, IAN MENZIES, ROGER CRANE, ISAAC ZULU, CAROLE NICKOLS, ROGER FEAKINS, JAMES MWANSA, VICTOR MUDENDA, MAX KATUBULUSHI, STEVE GREENWALD, AND MICHAEL FARTHING



Mild (left) and severe (right) villus blunting  
**Less absorptive surface area is present**

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TABLE 4

Intestinal infections detected in 3,260 monthly samples from asymptomatic participants\*

Organism	Frequency of isolation	Frequency of isolation one month before investigation
<i>Cryptosporidium parvum</i>	31	1
<i>Isospora belli</i>	11	0
Microsporidia	5	0
<i>Giardia intestinalis</i>	40	5
<i>Blastocystis hominis</i>	236	19
<i>Ascaris lumbricoides</i>	489	33
Hookworm	92	13
<i>Strongyloides stercoralis</i>	11	0
<i>Schistosoma mansoni</i>	12	0
<i>Trichuris trichiura</i>	6	0
<i>Taenia saginata</i>	7	0
<i>Iodamoeba butschlii</i>	120	5
<i>Entamoeba histolytica/dispar</i>	12	0
<i>Entamoeba hartmanni</i>	47	4
<i>Chilomastix mesnili</i>	208	25
<i>Endolimax nana</i>	259	12
<i>Hymenolepis nana</i>	19	2
<i>Salmonella</i> spp.	44	4
<i>Shigella</i> spp.	2	3
<i>Aeromonas hydrophila</i>	13	1
<i>Citrobacter rodentium</i>	608	42
<i>Vibrio cholerae</i>	3	0

\* The table shows which organisms were isolated from asymptomatic participants and which organisms were isolated from participants in the month prior to investigations carried out (jejunal biopsy and sugar testing). It is apparent that for many organisms it is not possible to determine any effect on the mucosa since there were too few isolates in the month prior to investigation.

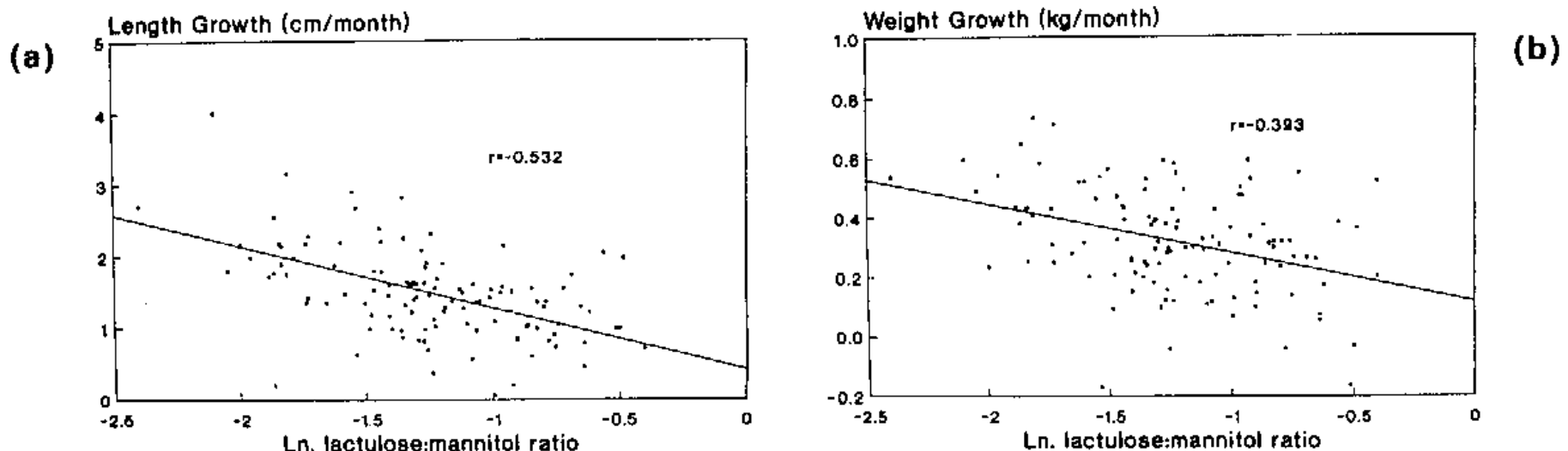


- Water and sanitation reduce transmission of pathogens;
- Water and sanitation interventions improve nutritional status – (is it decreased diarrhea)?
- Tropical enteropathy renamed environmental enteropathy (EE) when the linkage to unsanitary environment recognized. Hallmark of EE is gut mucosal damage, permeability. (Keusch et al: Env. Enteric Dysfunction)
- Recognition that persons with EE have “asymptomatic” infections with pathogens



# Lunn et al Lancet 1991: Intestinal permeability, mucosal injury, and growth faltering in Gambian infants.

- Infants aged 2-10 months recruited into longitudinal study ( $n=119$   $\geq 3$  observations). Infants had diarrhea 7.5%, and “growth depressing permeability” 76% of the time. **43% of stunting** explained by  $\uparrow$  gut permeability and  $\downarrow$  absorptive capacity (differential absorption of lactulose and mannitol)

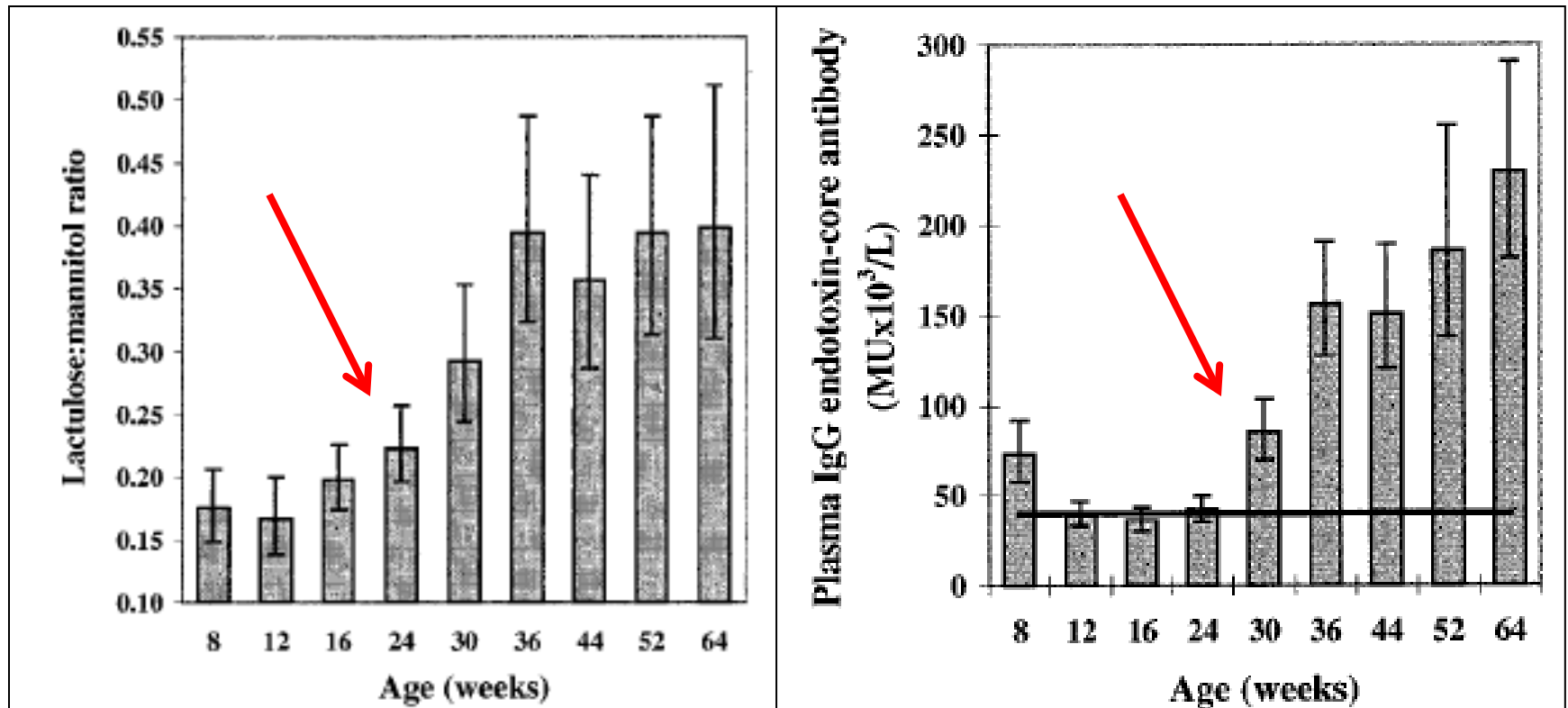


**Fig 2—The relation between intestinal permeability (expressed as  $\log_e$  lactulose:mannitol ratio) and mean monthly (a) length and (b) weight growth of 119 rural Gambian infants.**

Significance of regression coefficients,  $p < 0.001$ .

Intestinal permeability and mucosal damage (left) and antibody to bacterial endotoxin (right) rise after weaning when exposure to pathogens increases

Lunn et al *Lancet* 1991



# Handwashing is “necessary but not sufficient”

Amer J Human Biol 23:621-629 (2011)

*Original Research Article*

Hand-Washing, Subclinical Infections, and Growth: A Longitudinal Evaluation of an Intervention in Nepali Slums

REBECCA LANGFORD,<sup>1\*</sup> PETER LUNN,<sup>2</sup> AND CATHERINE PANTER-BRICK<sup>3</sup>

<sup>1</sup>School of Social and Community Medicine, University of Bristol, Canynge Hall, Bristol, BS8 2PS, United Kingdom

<sup>2</sup>Department of Biological Anthropology, University of Cambridge, Cambridge, CB2 3DZ, United Kingdom

<sup>3</sup>Jackson Institute for Global Affairs and Department of Anthropology, Yale University, New Haven, Connecticut

- 1<sup>st</sup> longitudinal study to assess hand-washing and enteropathy. 45 intervention, 43 control
- ↑mucosal damage = ↓ growth ( $p \leq 0.01$  HAZ, WAZ)
- **Handwashing led to 41% ↓ diarrhea morbidity**
- **No change in markers of mucosal damage**
- *HW alone* doesn't address chronic subclinical infxn



**Ingest Dietary Pathogens**



**Small Intestine Mucosal Damage**



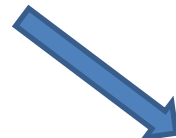
**Villus Atrophy**



**Loss of Mucosal Enzymes**

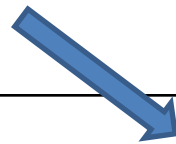


**Maldigestion & Malabsorption**



*From: Lunn, PG. 2000 Proceedings  
of the Nutrition Society 59:147*

***Δ living in contaminated environment  
Δ ingest human and animal pathogens***



**Barrier Function Compromised**



**Translocation of Antigens, Bacteria**

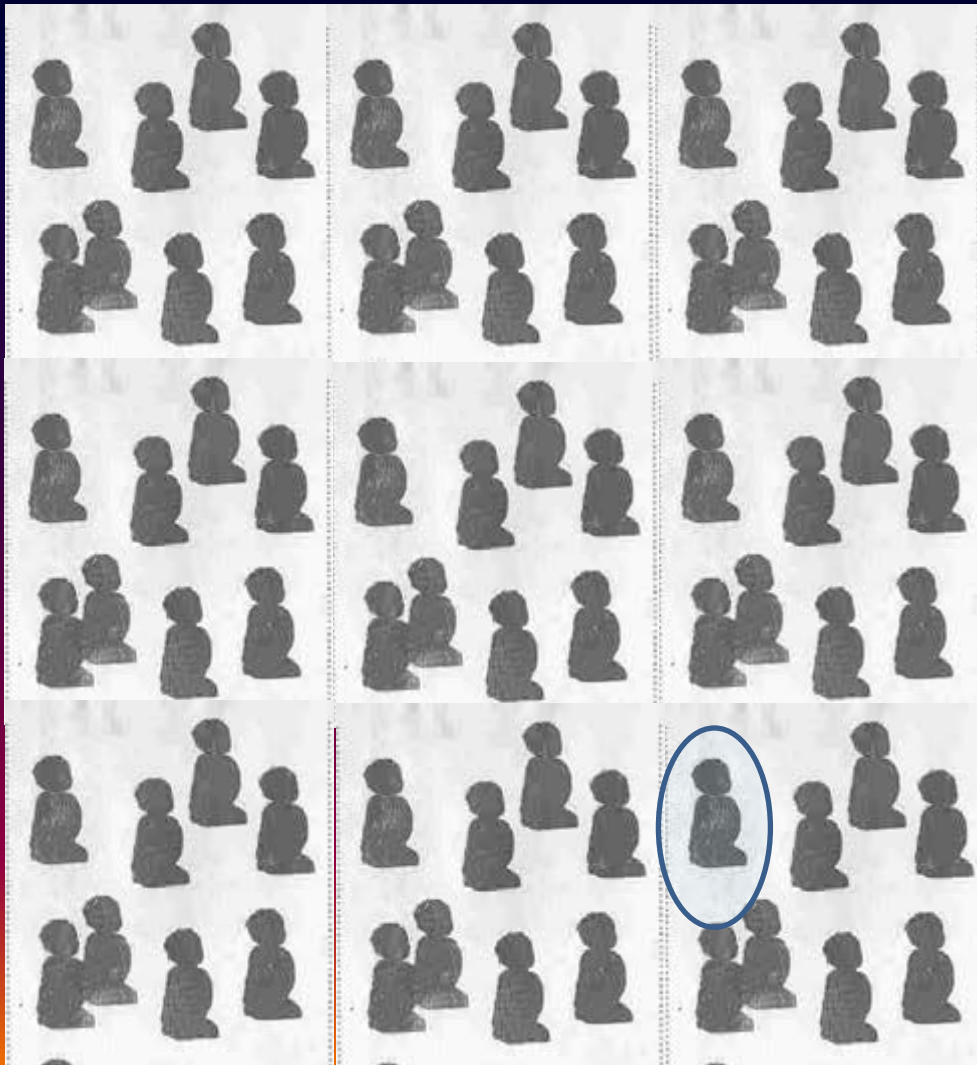


**Mucosal Inflammation and Immune  
Reaction; Systemic Immune Response**



**Growth Faltering**





ALL HAVE  
ENVIRONMENTAL  
ENTEROPATHY

Without any  
overt signs of  
'clinical' illness

Ok, so you have a leaky, inflamed gut. What lives in it?



# Gut Microbiomes of Malawian Twin Pairs Discordant for Kwashiorkor

Michelle I. Smith,<sup>1\*</sup> Tanya Yatsunenko,<sup>1\*</sup> Mark J. Manary,<sup>2,3,4</sup> Indi Trehan,<sup>2,3</sup> Rajhab Mkakosya,<sup>5</sup> Jiye Cheng,<sup>1</sup> Andrew L. Kau,<sup>1</sup> Stephen S. Rich,<sup>6</sup> Patrick Concannon,<sup>6</sup> Josyf C. Mychaleckyj,<sup>6</sup> Jie Liu,<sup>7</sup> Eric Houpt,<sup>7</sup> Jia V. Li,<sup>8</sup> Elaine Holmes,<sup>8</sup> Jeremy Nicholson,<sup>8</sup> Dan Knights,<sup>9,10†</sup> Luke K. Ursell,<sup>11</sup> Rob Knight,<sup>9,10,11,12</sup> Jeffrey I. Gordon<sup>1†</sup>

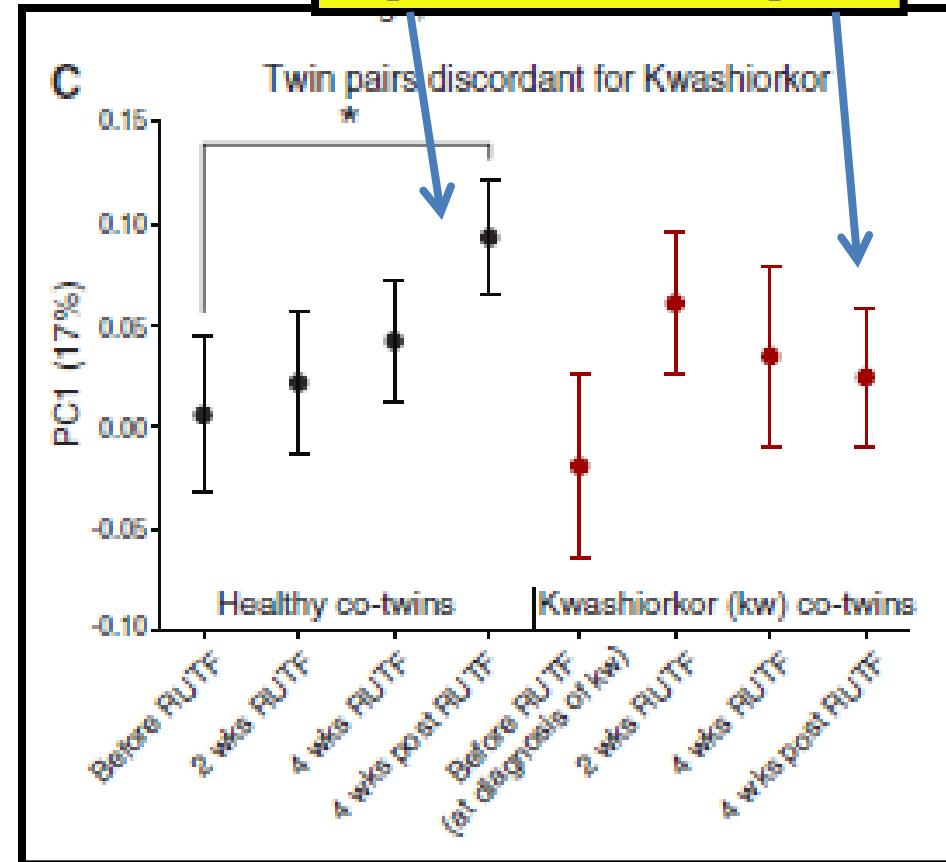
Science 339:548-554.

1 February 2013

Improve

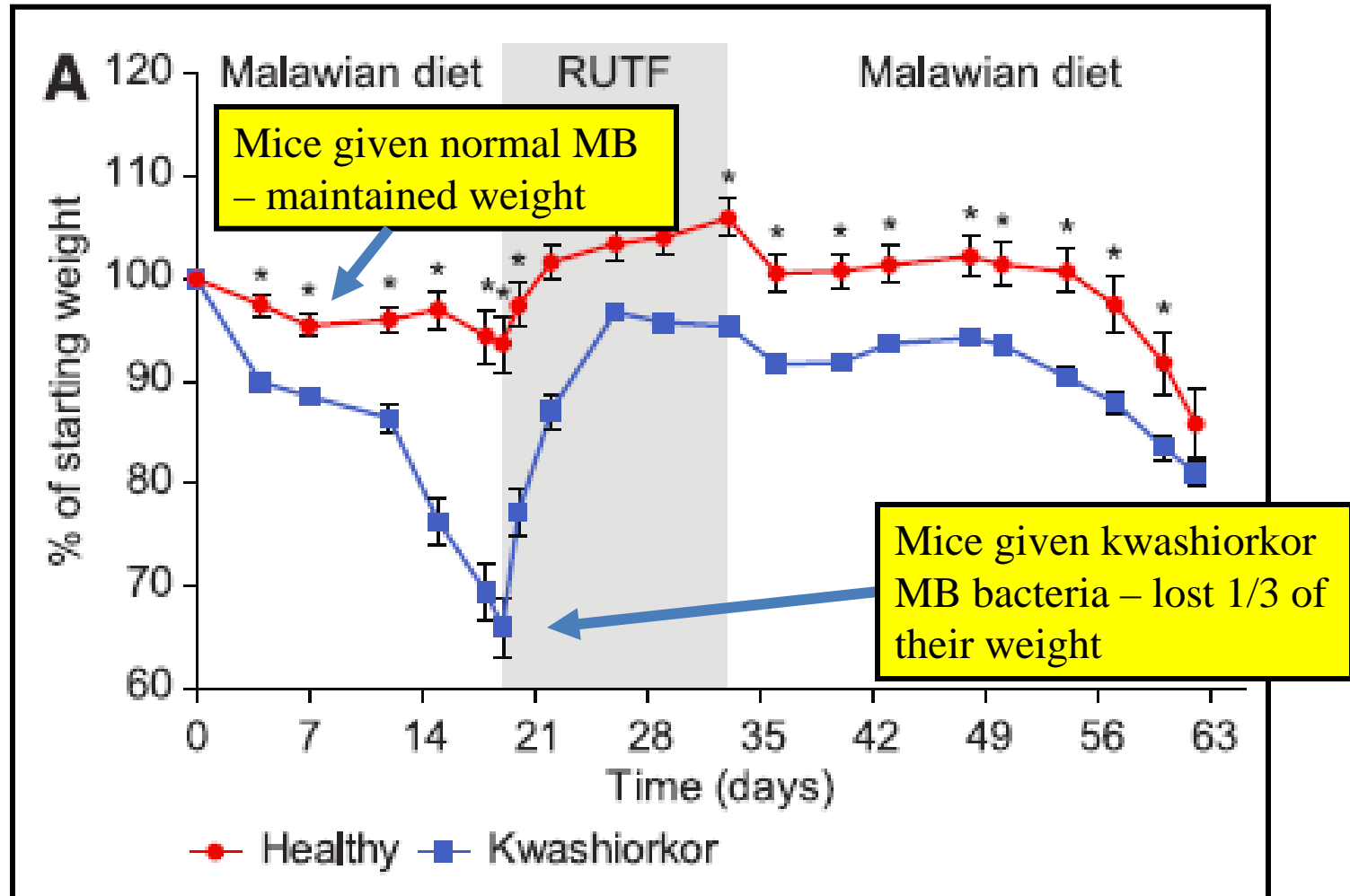
Don't Improve

- 317 Malawian twins studied first 3 years of life
- 50% both well nourished; 43% discordant (one well, one malnourished); 7% both were malnourished.
- Both twins in discordant pairs received RUTF, a therapeutic food. Gut microbiomes (MB) studied: RUTF → transient MB improvement.



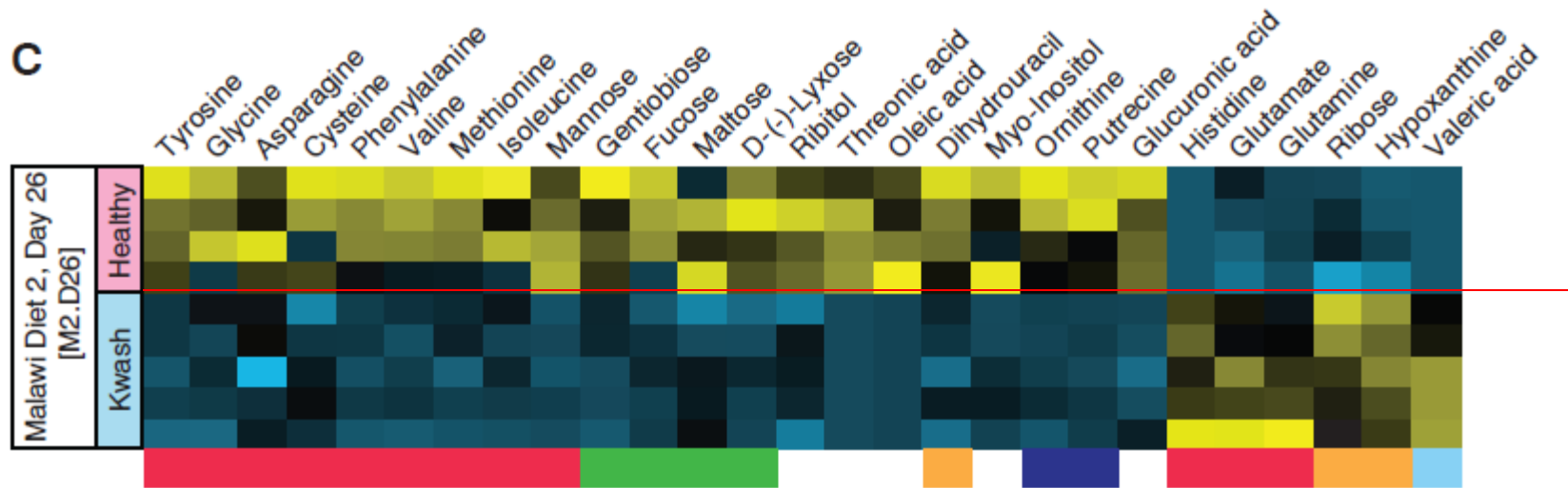
Then...

# Gnotobiotic (sterile gut) mice – given either Normal or Kwashiorkor MB

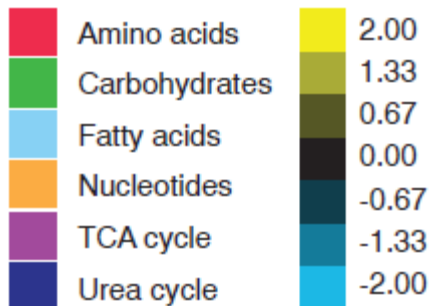




# Major adverse changes in amino acid and other gut metabolites

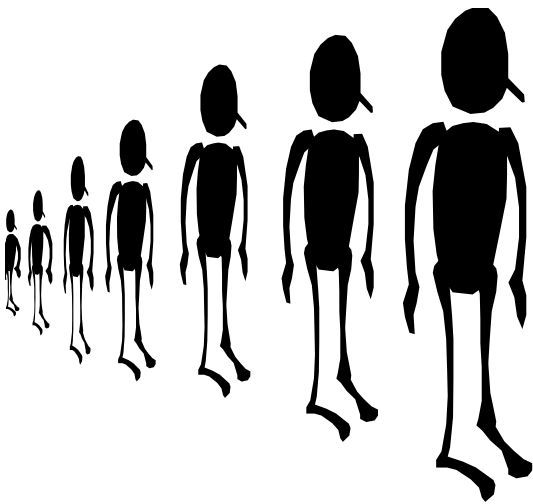


**Fig. 3.** Metabolites with significant differences in their fecal levels in gnotobiotic mice colonized with microbiota from discordant twin pair 196 as a function of diet. Data are from fecal samples collected 3 days before the end of (A) the first period of consumption of the Malawian diet (M1, day 16; abbreviated M1.D16), (B) RUTF treatment (RUTF.D10), and (C) the second period of Malawian diet consumption (M2.



Decoupled **TCA cycle** intermediates (↑ succinate) – mitochondrial metabolites – **↓ energy metabolism**  
 Kwashiorkor microbiota + Malawi diet = **abnormal sulfur metabolism** (methionine, cysteine; protein metabolism)

Good Nutrition for  
Growth & Health



## Poor populations:

- > 99% will have environmental enteropathy in the absence of good water/sanitation.
- Lacking WASH and barriers to fecal contamination, they will have a different spectrum of gut bacteria (the **gut microbiome**) than people with good WASH
- **Next: Aflatoxins**

# INSIDE YOUR GUT

Microbiome modulates your immune system

Microbiome of 1000-1150 species produces amino acids, short-chain fatty acids, and others which feed intestinal cells and shift your metabolic stance

Could malnourished children benefit from being given a new microbiome?

Diverse Microbiome

Less Diverse Microbiome

Less Diverse Microbiome

Malnourished Child Microbiome Includes More Pathogens and Actively Promotes Weight Loss in Malnourished Children

Microbiome Actively Promotes Obesity and Insulin Resistance

Fecal Transplant: Better Insulin Sensitivity and ↑ gut butyrate

UNDER-nourished  
INEFFICIENT

NORMAL BMI  
[MB energy harvesting]

OVER-nourished  
HYPER-EFFICIENT

# INSIDE YOUR GUT

Microbiome modulates your immune system

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Less Diverse Microbiome

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Less Diverse Microbiome

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NORMAL BMI  
[MB energy harvesting]

OVER-nourished  
HYPER-EFFICIENT

**Fecal Transplant: Recurrent Clostridium difficile disease**

Environmental Enteropathy occurs when people live in contaminated environments. It is reversible. For example, US Peace Corps volunteers develop EE when they live in rural African villages. When they return to the US, their EE goes away.

The absence of fecal material – be it human or animal – in the environment both prevents and “treats” EE.

**Water/sanitation is critical to this separation.**

- Dean Spears has looked at open defecation as a marker of sanitation using 140 DHS data sets from 60 countries.

How much stunting is due to poor sanitation (and possibly EE?)

How much international variation in child height can sanitation explain?

Dean Spears\*

First circulated: 10 December 2012

This version: 17 January 2013



# Key findings Spear's analysis of 140 DHS from 65 'developing' countries

- Open defecation (certainly a marker of a “contaminated environment”) is linked to a **1.24 S.D. decrease** in the height of children.
- **Sanitation alone** accounts for **54%** of the between-country height variation (next slide).
- Open defecation and a lack of sanitation in an household, along with country GDP, predict child height more than mother's height or education; governance; or infrastructure.

(b) children born in the last 5 years

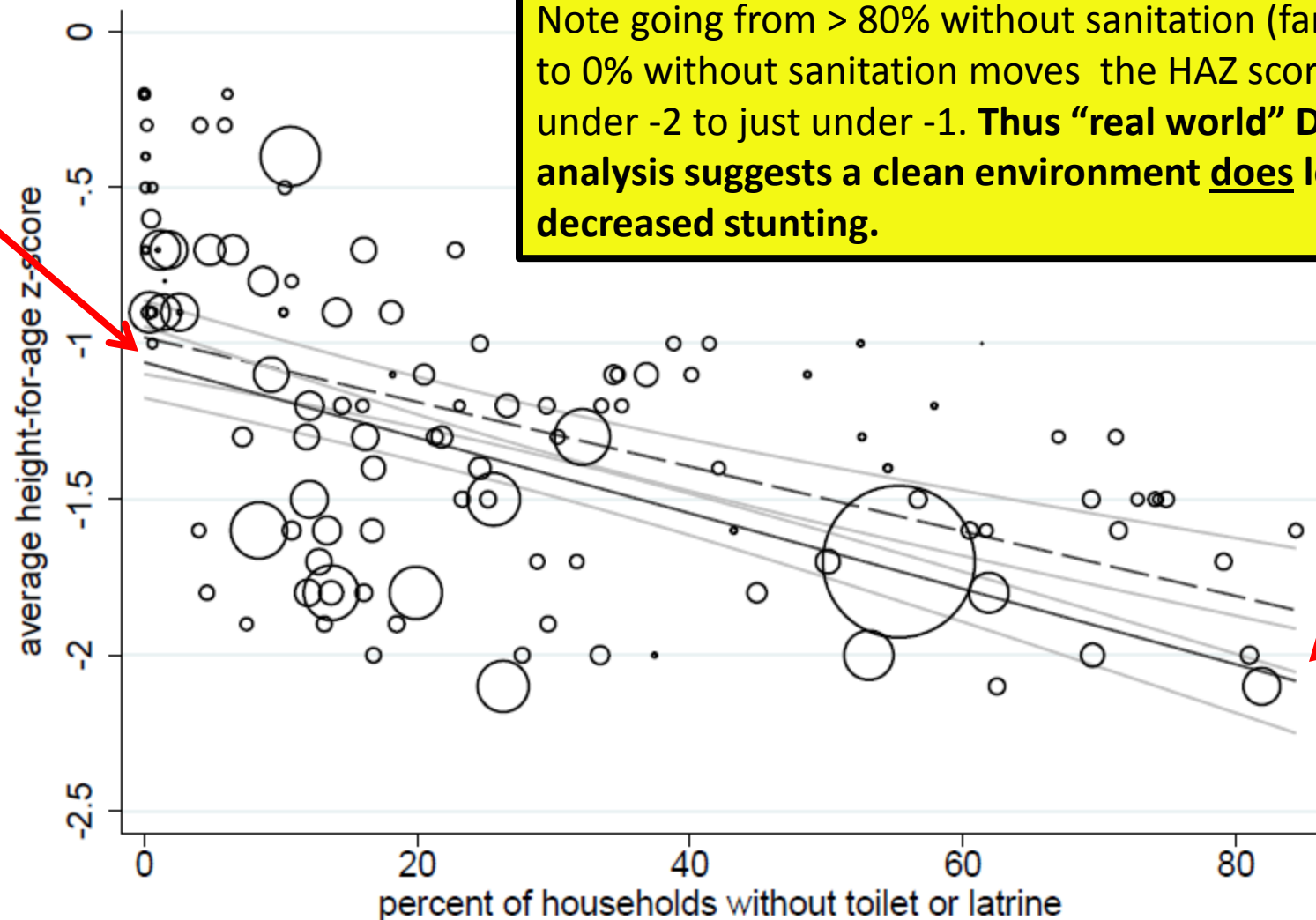


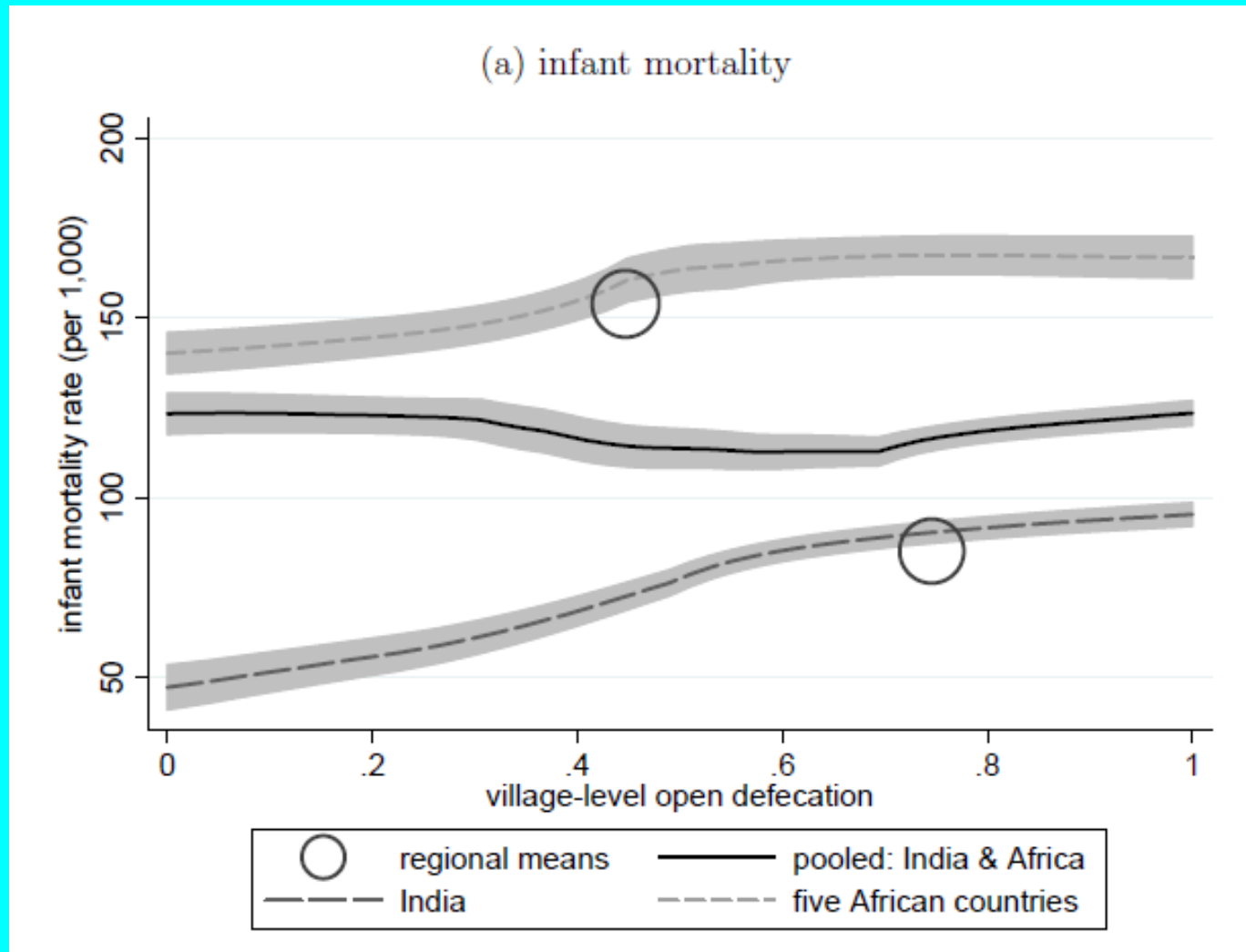
Figure 1: Open defecation predicts child height, across DHS survey round country-years  
Solid OLS regression lines weight by country population; dashed lines are unweighted.



# Econometric analyses Spears 2013

- Sanitation predicts stunting even when income is controlled. “...The difference between Nigeria’s 26% open defecation rate and India’s 55% is associated with an increase in child height approximately equivalent to quadrupling GDP per capita.” **Again: India would have to quadruple national income to make up for its poor sanitation as compared to Nigeria.**
- Sanitation and population density interact, open defecation harms human capital. Open defecation (no sanitation) explains **65%** of global height. The policy case for sanitation as a public good is immense.

# Mortality Rises with Bad Sanitation



# Aflatoxins and other mycotoxins

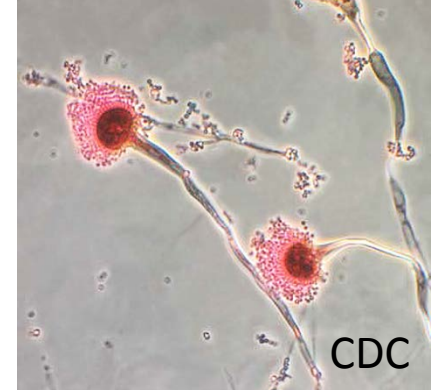


# Drying Cassava, Kamwenge Uganda: note green/yellow fungal discoloration



FUNGUS GROWING ON CASSAVA

# Aflatoxins (Aflatoxins are mycotoxins)



- Produced by *Aspergillus* fungus
- Known – hepatotoxic & cause liver cancer in people
- Known in mammals to cause growth faltering and ↓ *in utero* growth (e.g. low birth weight)
- Associated\* with lower birth weight, growth, stunting, and wasting in children
- Associated\* with lower CD4 and higher viral loads (e.g. worse immunity) in people with HIV
- Widespread exposure in sub-Saharan Africa, SE Asia; maize, peanuts, many other crops.

\*Some criticize these studies for only being “associative” - but it is *unethical* to give aflatoxins to people. Prospective studies of exposure and outcomes are needed to show “causation.”

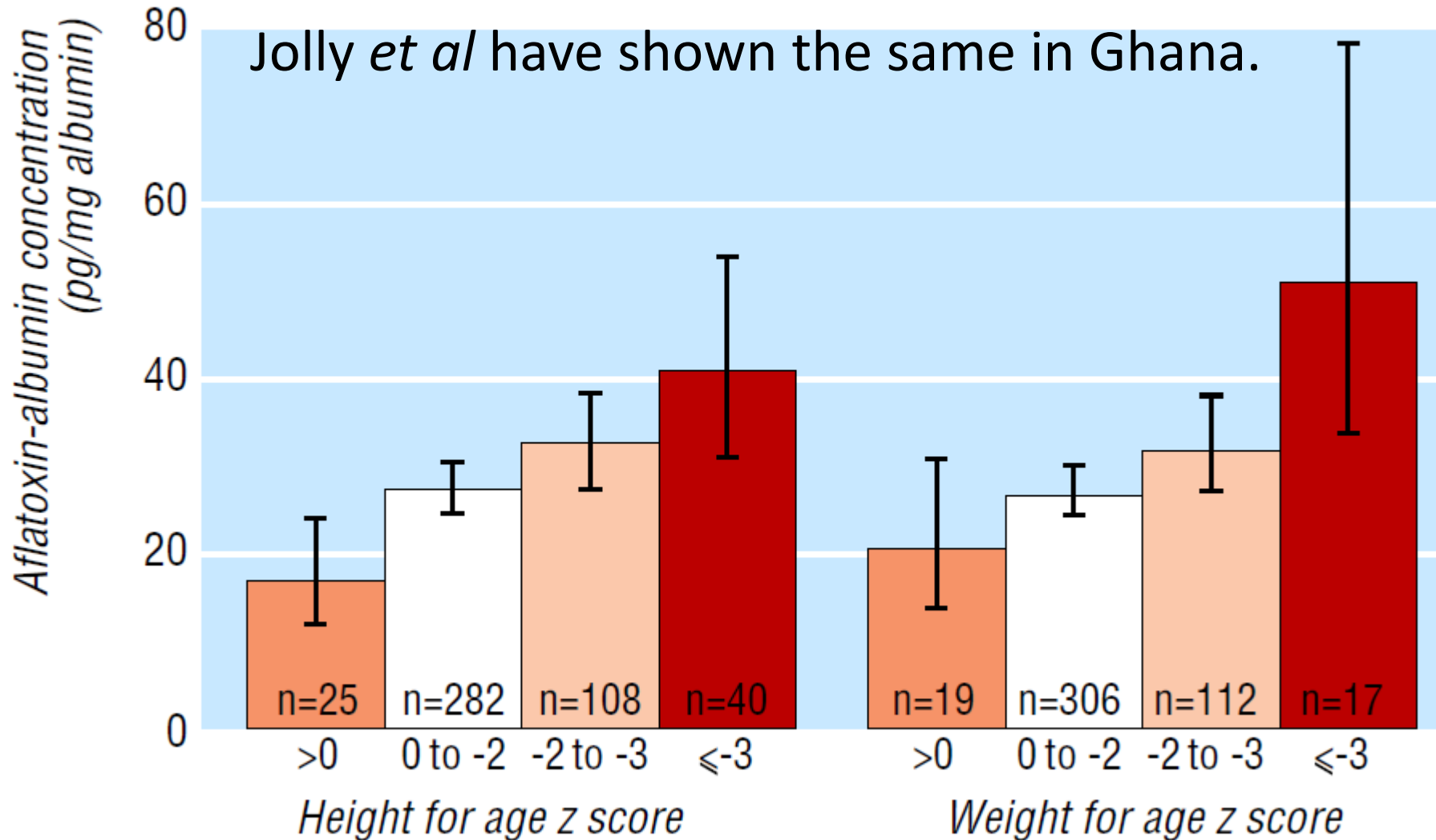
# Aflatoxins II

- Contamination occurs in the field; promoted by poor (too humid) post-harvest storage.
- Passed *in utero* and in breast milk to children
- Complementary food (e.g. porridge made from maize) is frequently contaminated – as are milk, eggs, chickens, animal meats...
- Prevention: storage without moisture/oxygen; dispersal of natural variant *Aspergillus* which lacks toxin; test and condemn crops/foods

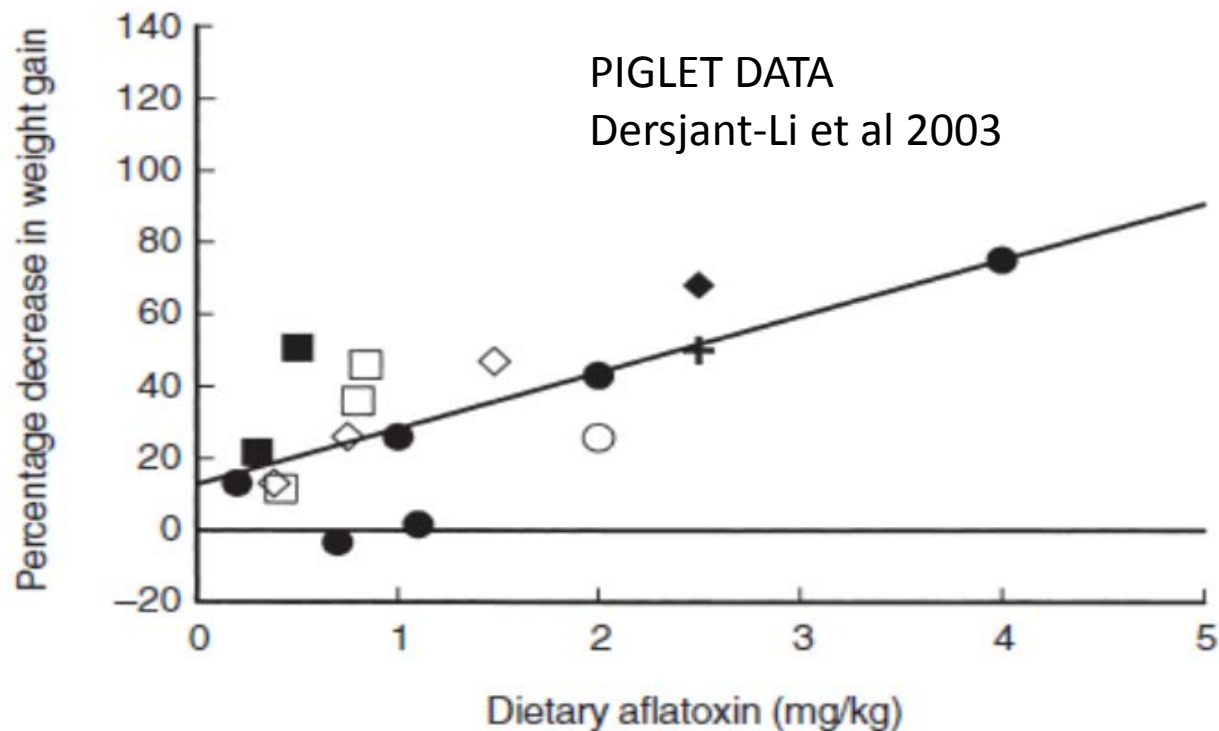


Gong et al (BMJ, 2002) showed that **stunting** and **weight for age** was **inversely related to blood aflatoxin levels** in Gambia ( $p < 0.001$ ,  $R^2 = 0.37$ ).

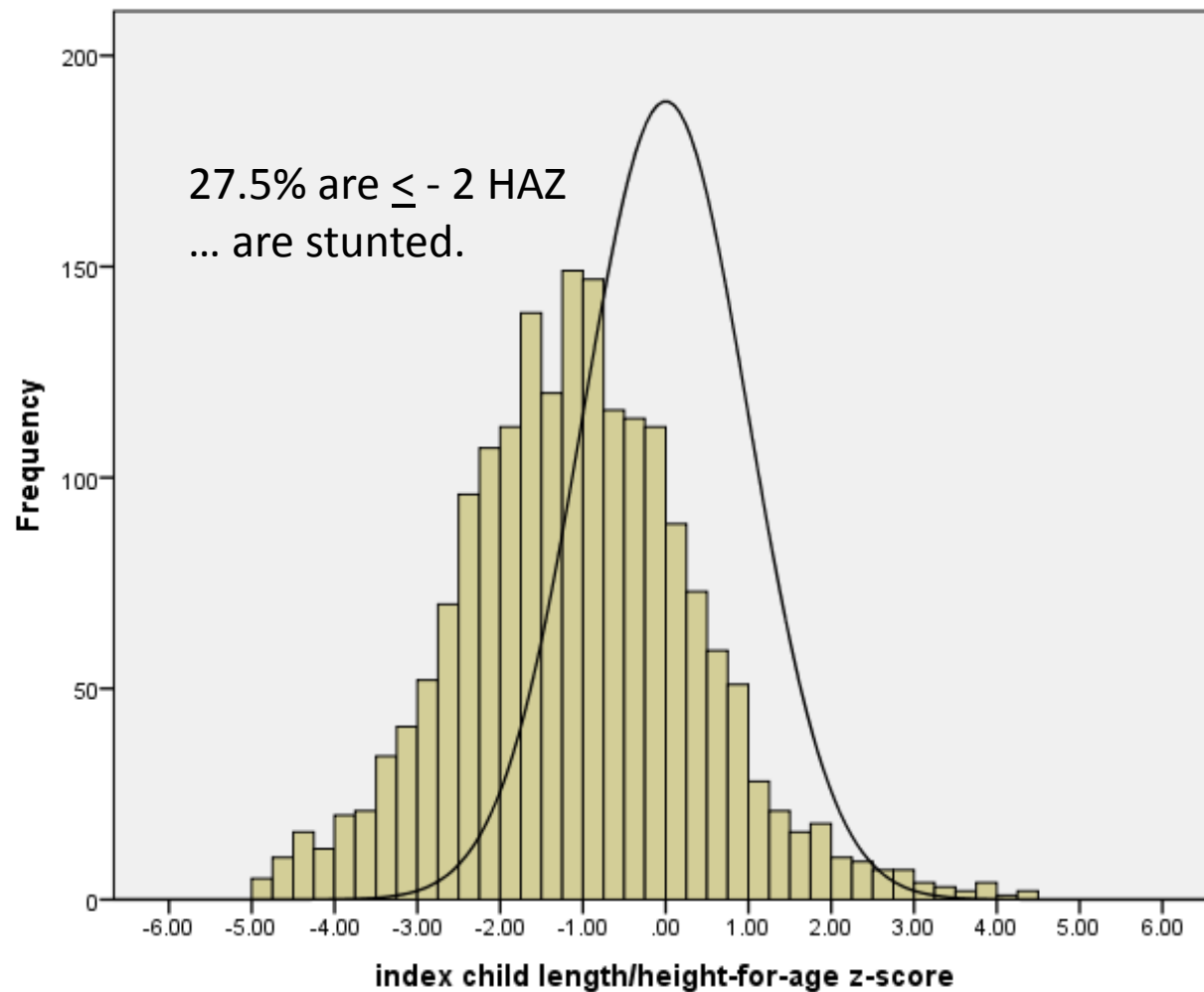
Jolly *et al* have shown the same in Ghana.



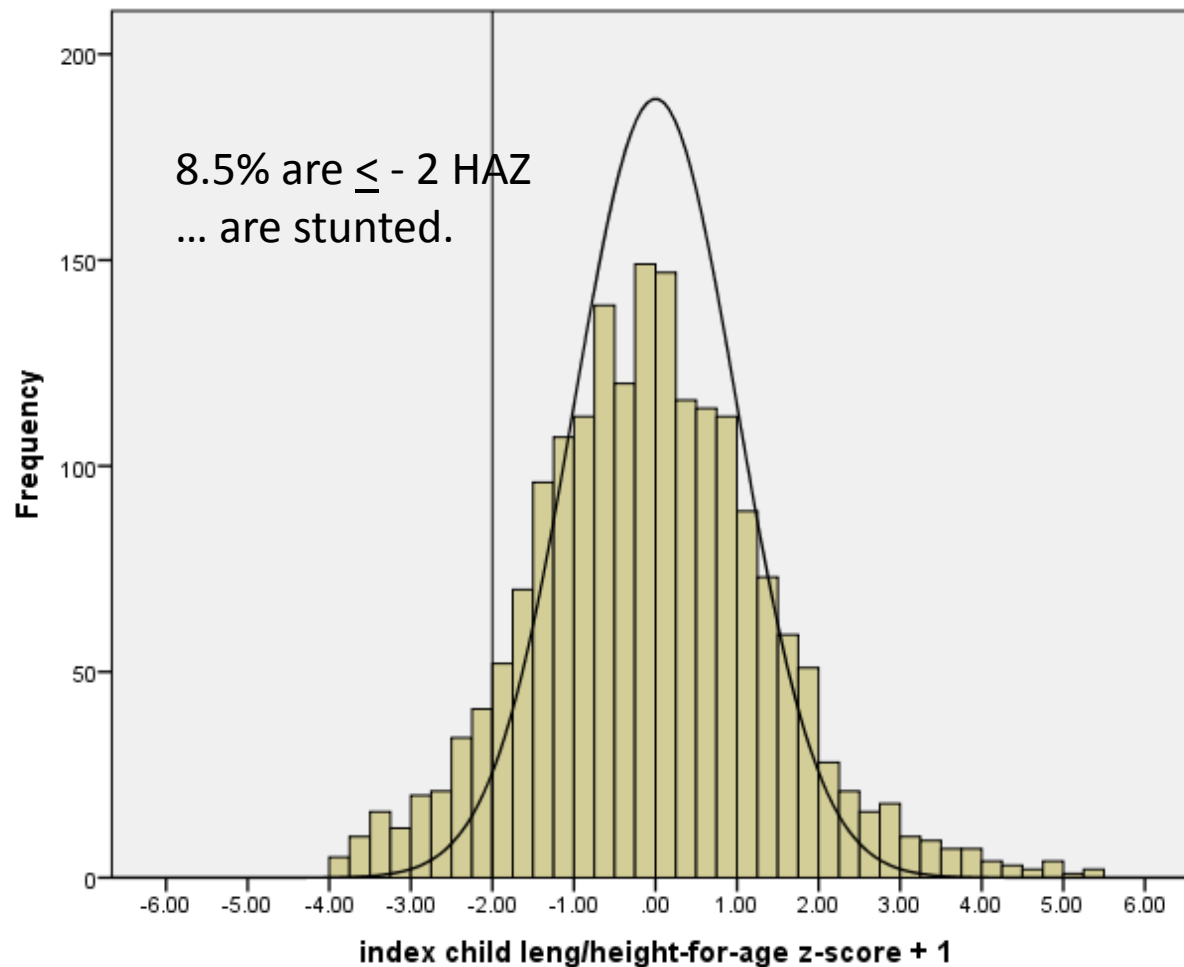




**Fig. 1.** The relationship between dietary aflatoxin and decrease in weight gain of pigs relative to a toxin-free control group ( $Y = 12.7 + 15.6X$ ;  $R^2 0.52$ ). Data were derived from Table 1: ( $\circ$ ), Harvey *et al.* (1989; cultured material); ( $\bullet$ ), Armbrecht *et al.* (1971; cultured material); ( $\square$ ), Lindemann *et al.* (1993; naturally contaminated material); ( $\blacksquare$ ), Panangala *et al.* (1986; naturally contaminated material); ( $\diamond$ ), Southern & Clawson (1979; naturally contaminated material); (+), Harvey *et al.* (1995a; naturally contaminated material); ( $\blacklozenge$ ), Harvey *et al.* (1995b; naturally contaminated material).



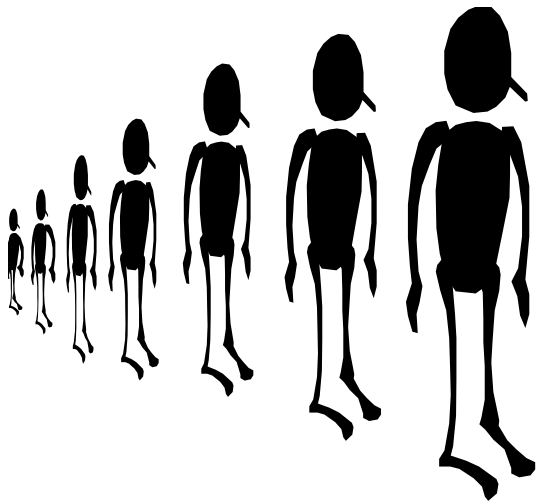
Nutrition Innovation Lab-Africa children 0-24 months 2012 panel survey  
Apply + 1 HAZ unit estimate from Turner et al 2007 (benefit reducing AF by one log) 48



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Apply + 1 HAZ unit estimate from Turner et al 2007 (benefit reducing AF by one log) 49

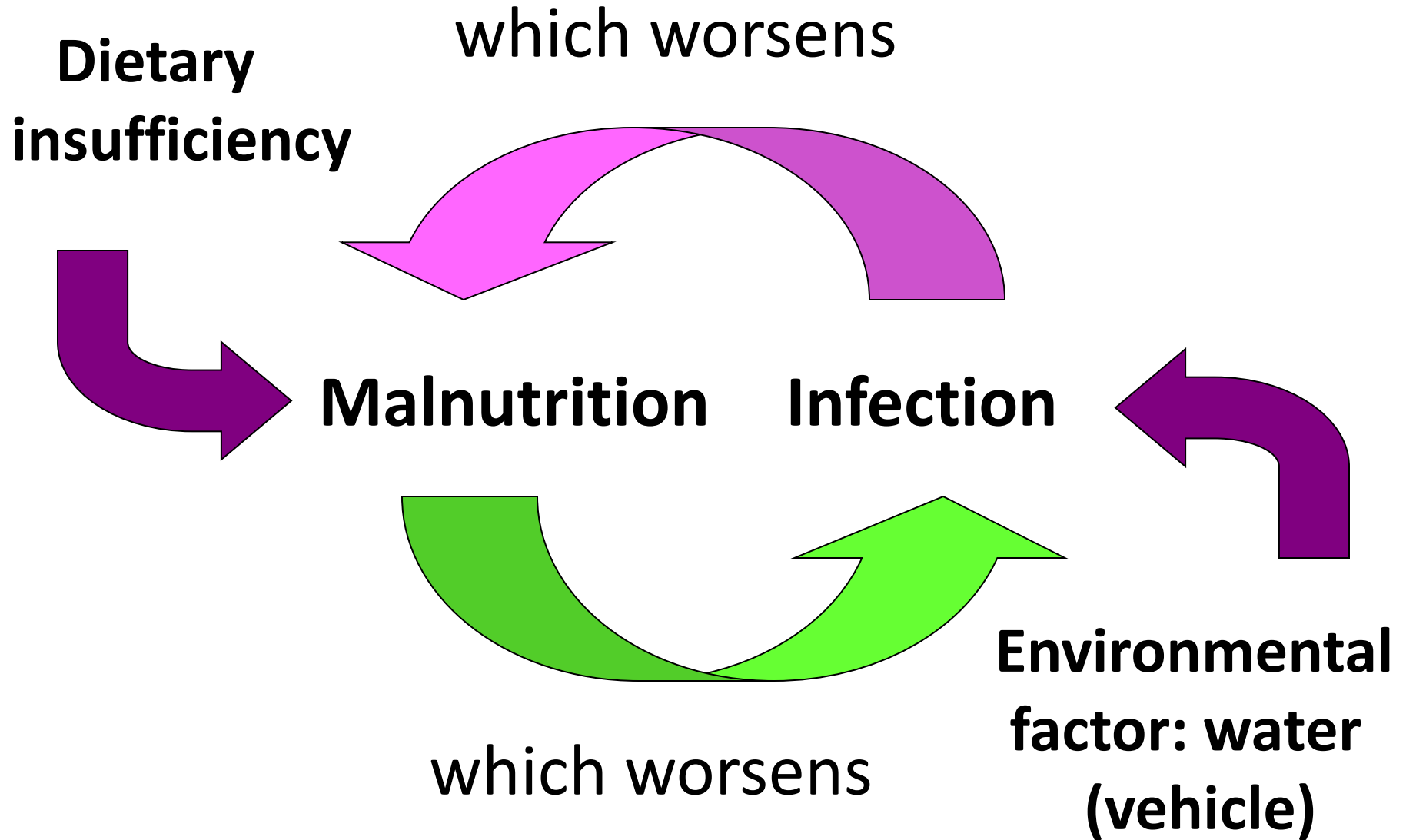


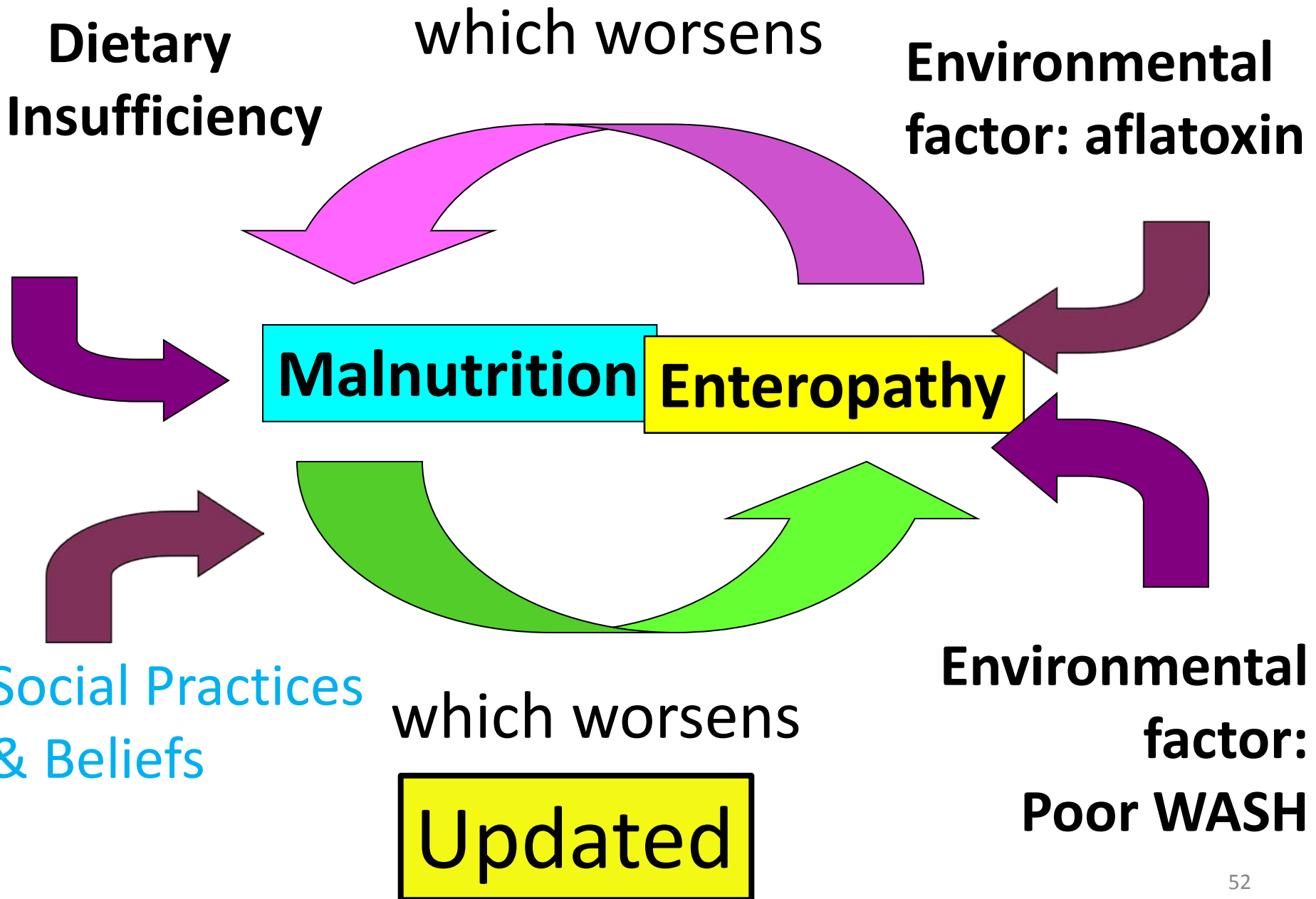
Good Nutrition for  
Growth & Health

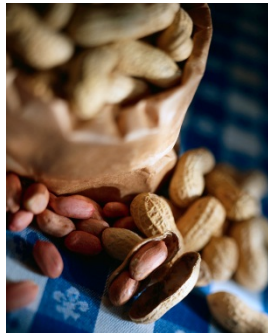
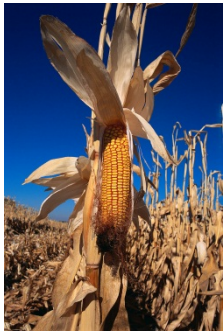


## Poor populations:

- Will have monotonous, non-diverse diets lacking key nutrients
- Will likely eat aflatoxins in foods.
- > 95% will have environmental enteropathy in the absence of good water/sanitation.
- Lacking WASH and barriers to fecal contamination, they will have a different spectrum of gut bacteria (the **gut microbiome**) than people with good WASH







*Aspergillus* spp. +  
moisture + warm  
temperature =  
Aflatoxin formation



**Aflatoxin ingestion,**  
duodenal uptake -  
Metabolites bind to  
DNA, proteins – can  
measure in blood,  
urine, tissues  
**Immunosuppression**

Maize, groundnuts  
Key staple crops

**Agricultural interventions**

Leaky Inflamed  
Intestine (EE)

**Enteropathy** – permeable intestine with  
documented increased nutrient needs,  
state of chronic inflammation  
**Microbiome** – less diverse, abnormal  
nutrient utilization by flora

**WASH interventions**



**Nutrition interventions**

**Clinical Manifestations:**  
Cycle of repeated infections  
Worsening nutritional status –  
stunting, underweight, IUGR

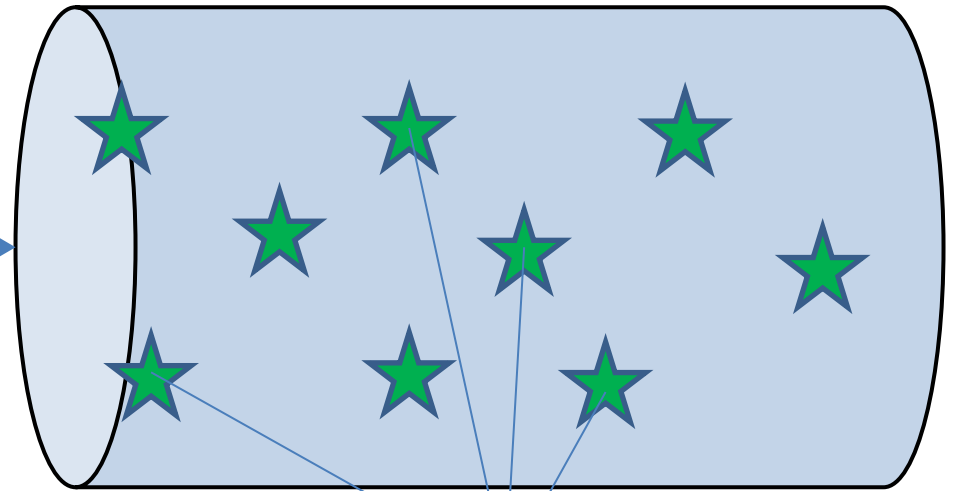
**Diet, Societal Conditions**  
**Diet:** poor diversity, inadequate  
caloric & micronutrient intake, leading  
to **immunosuppression**  
**Pathogen exposure:** Widespread food,  
water, environment contamination

MYCOTOXINS  
IN FOOD

NORMAL GUT – NOT  
PERMEABLE

HUMAN AND  
ANIMAL  
PATHOGENS

MICRO- AND  
MACRO-  
NUTRIENTS



HEALTHY  
INTESTINAL  
MICROBIOME



# Take-Home: healthy growth requires:

- ✓ Adequate, varied nutrition with enough calories, micronutrients, and vitamins
- ✓ The absence of environmental toxins such as aflatoxin – immunosuppression, poor intra-uterine and post-natal growth, liver toxicity
- ✓ A clean environment which prevents environmental enteropathy, with its chronic inflammation and higher nutritional needs
- ✓ A normal gut microbiome which does not starve its host of nutrients and promote weight loss

# Thanks!



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